



University of North Texas System

Board of Regents

Schedule of Events for Board of Regents Meeting

November 16-17, 2017

University of North Texas
University Union, Room 333
1155 Union Circle
Denton, TX

The University of North Texas System Board of Regents will meet on Thursday, November 16, 2017, from 9:00 am until approximately 5:30 pm and on Friday, November 17, 2017, from 9:00 am until approximately 11:00 am.

Agenda items are scheduled to follow each other consecutively and may start earlier or later than the posted time depending on the length of the discussions and the reports of previous items. Please note that the estimated times given in the posting are only approximate and may be adjusted as required with no prior notice.

Any members of the Board may attend committee meetings. Because some Board members who are not committee members may attend committee meetings and thereby create a quorum of the full Board, committee meetings are also being posted as meetings of the full Board.

Meetings will take place at the University Union at the University of North Texas. Please contact the Office of the Board Secretary with any questions at 214.752.5545.

Thursday, November 16, 2017

9:00 am **CONVENE FULL BOARD** (Room 333)

9:05 am **CHANCELLOR'S REMARKS**

- Progress Since Coming on Board October 9, 2017

9:15 am **UNT PRESIDENT'S INSTITUTIONAL UPDATE**

- FY17 in Review
- FY18 Implementation Plans
- Introduction of New Personnel

9:35 am SPOTLIGHT ON STUDENTS

9:45 am CAMPUS UPDATES

- Michael Williams, President, UNTHSC
 - Campus Good News
 - Opportunities for Improvement
 - Student Story
- Bob Mong, President, UNTD
 - College of Law Progress Report
 - Partnerships and Community Connectedness
 - Significant Accomplishments and Growth

10:00 am STRATEGIC AND OPERATIONAL EXCELLENCE COMMITTEE

Call to Order:

- Approval of minutes of August 17, 2017 meeting

Briefing:

UNT System Strategic Plans Review –Materials Revised on 11.14.17 and 11.15.17

- Lesa Roe, UNTS, Chancellor
- Bob Mong, UNTD, President
- Michael Williams, UNTHSC, President
- Neal Smatresk, UNT, President

Background Material:

- Quarterly Operations Report
- Quarterly Academic Measures Report

Adjourn Strategic & Operational Excellence Committee.

11:30 am ACADEMIC AFFAIRS AND STUDENT SUCCESS COMMITTEE

Call to Order:

- Approval of minutes of August 17-18, 2017 meeting

Briefings:

11:30 am *North Texas Athletics: Student-Athlete Recruiting Overview*

- Wren Baker, UNT, Director of Athletics

12:05 pm *National Merit Scholar Update*

- Shannon Goodman, UNT, Vice President for Enrollment

Background Material:

- Quarterly Academic Measures Report

Recess Academic Affairs & Student Success Committee.

12:30 pm LUNCH

1:30 pm AUDIT COMMITTEE

Call to Order

- Approval of minutes of the August 17, 2017 meeting

Briefings:

- 1:30 pm *Report of Audit Activities*
- Tracy Grunig, UNT System, Chief Audit Executive
- 1:40 pm *UNT System Enterprise Audit Report Inventory*
- Tracy Grunig, UNT System, Chief Audit Executive
- 1:50 pm *FY17 UNT System Internal Audit Recap*
- Tracy Grunig, UNT System, Chief Audit Executive
- 2:00 pm *UNT System-Wide Compliance and Integrity Program Regulation*
- Desiree Ramirez, UNTHSC, Chief Compliance Officer
 - Anissa Breaux-Schropp, UNT, Compliance Officer
- 2:20 pm *UNT System-Wide High Risk Update*
- Steve Hill, UNTS, Compliance Officer

Background Material:

- UNT System Consolidated Annual Compliance Report, September 2016 through August 2017

Adjourn Audit Committee.

2:45 pm FINANCE AND FACILITIES COMMITTEE

Call to Order:

- Approval of minutes of the August 17-18, 2017 meeting

Briefings:

- 2:45 pm *Annual Foundation and Investment Updates*
- 2:45 pm UNTHSC Foundation
- James Meintjes, J.P. Morgan Chase, CFA Banker
 - Kyle Hitchcock, J.P. Morgan Chase, Investment Specialist
- 3:00 pm UNT Foundation
- Mike Mlinac, UNT Foundation, President and CEO
 - Al Lockwood, UNT Foundation, Director of Investments and Client Relations
- 3:15 pm System Quarterly Update
- Janet Waldron, UNTS, VC for Finance

- 3:25 pm *Risk Management: Crime Statistics and Safety Strategies*
- Ed Reynolds, UNT, Police Chief
 - Maureen McGuinness, UNT, Dean of Students & Assistant Vice President for Student Affairs
 - Laurie Clouse, UNTHSC, Police Chief
 - Trisha Van Duser, UNTHSC, Executive Director, Student Services & Title IX Coordinator
 - Dan Edelman, UNTD, Chief Financial Officer
- 3:45 pm *UNT Campus Master Plan Update - **Materials Revised on 11.14.17***
- James Maguire, UNTS, VC for Facilities Planning & Construction

Background Material:

- Quarterly Operations Report

Recess Finance & Facilities Committee.

4:00 pm EXECUTIVE SESSION (Room 385 A&B)

Government Code, Chapter 551, Section .071 - Consultation with Attorneys Regarding Legal Matters or Pending and/or Contemplated Litigation or Settlement Offers

- Discussion of confidential legal matters, including pending, threatened, and contemplated litigation or settlement offers
- Discussion of legal issues related to contemplated, ongoing and/or finalized investigations and any findings, conclusions or recommendations related to those investigations
- Discussion of legal issues related to audits and any findings, conclusions or recommendations related to those audits
- Discussion of legal issues related to Title IX and sexual misconduct policies
- Discussion of legal duties and responsibilities of members of the Board of Regents, and System and University officers and employees

Government Code, Chapter 551, Section .076 – Deliberations Regarding Security Devices or Security Audits

- Consideration of matters related to the deployment of safety and security personnel, including security audits and the deployment of security personnel and devices

Government Code, Chapter 551, Section .074 - Personnel Matters Relating to Appointment, Employment, Evaluation, Assignment, Discipline, or Dismissal of Officers or Employees

- Consideration of individual personnel matters related to the appointment, employment, evaluation, reassignment, discipline and dismissal of System and Institution officers or employees
- Discussion with UNT System Chancellor regarding assignment and duties, including responsibilities associated with outlining a vision and goals for the System

RECESS

Friday, November 17, 2017

9:00 am CONVENE FULL BOARD

Recess for committee meetings.

9:00 am ACADEMIC AFFAIRS & STUDENT SUCCESS COMMITTEE

- 8. UNT Approval to Add the UNT Bachelor of Science Degree Program with a Major in Professional and Technical Communication
- 9. UNT Approval to Add the UNT Master of Science Degree Program with a Major in Emergency Management and Disaster Science
- 10. UNTD Approval to Add the UNT Dallas Bachelor of Arts in Spanish (with Spanish Teacher Certification) Degree

Adjourn Academic Affairs & Student Success Committee.

9:20 am FINANCE AND FACILITIES COMMITTEE

Action Items

- 11. UNTS Amendment to Regents Rules 03.300, *Board Ethics and Standards of Conduct*, and 05.700, *System Administration and Institution Ethics and Standards of Conduct*
- 12. UNTS Approval to Request to Exceed the Full-Time Equivalent Limitation for Fiscal Year 2018
- 13. UNTS Authorization to Amend the UNTS FY18 Capital Improvement Plan to Add Athletics Indoor Practice Facility at UNT
- 14. UNT Request for Increase of UNT Room and Board Rates for FY19
- 15. UNT Approval of UNT Undergraduate Differential Tuition Beginning Fall 2018
- 16. UNT Approval of UNT Graduate Differential Tuition Beginning Fall 2018
- 17. UNT Request for Increase of the Recreational Facility Fee

Adjourn Finance and Facilities Committee.

10:00 am CONVENE THE FULL BOARD

CONSENT AGENDA

- 1. UNTS Approval of Minutes of the August 17-18, 2017, Regular Board Meeting, and the September 8 and 28, 2017, Special Called Board Meetings
- 2. UNT Award of Honorary Degree
- 3. UNT Approval of Tenure for New UNT Faculty Appointees
- 4. UNT Approval of UNT Emeritus Recommendations
- 5. UNTHSC Approval of Grant Agreement to Tarrant County
- 6. UNTHSC Authorization to Enter into Agreement with Tarrant County Medical Examiner's Office for Joint Providership of Continuing Medical Education

ACTION ITEMS

- 7. UNTS Appointment of Lee F. Jackson as Chancellor Emeritus and Naming of 1901 Main Street, Dallas, Texas
- 8. UNT Approval to Add the UNT Bachelor of Science Degree Program with a Major in Professional and Technical Communication
- 9. UNT Approval to Add the UNT Master of Science Degree Program with a Major in Emergency Management and Disaster Science
- 10. UNTD Approval to Add the UNT Dallas Bachelor of Arts in Spanish (with Spanish Teacher Certification) Degree
- 11. UNTS Amendment to Regents Rules 03.300, *Board Ethics and Standards of Conduct*, and 05.700, *System Administration and Institution Ethics and Standards of Conduct*
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- 17. UNT Request for Increase of the Recreational Facility Fee

11:00 am

ADJOURNMENT



MINUTES
BOARD OF REGENTS
Strategic and Operational Excellence Committee
August 17, 2017

The Strategic and Operational Excellence Committee of the Board of Regents of the University of North Texas System convened on Thursday, August 17, 2017 in Room 109-111 of the Medical Education and Training (MET) Building, University of North Texas Health Science Center, 1000 Montgomery St., Fort Worth, Texas with the following committee members in attendance: Regents Al Silva, Milton Lee, A.K. Mago, and Gwyn Shea.

There being a quorum present, the meeting was called to order by Committee Chairman, Regent Milton Lee, at 10:05 a.m.

The minutes of the May 18, 2017 Strategic and Operational Excellence Committee meeting were approved on a 4-0 vote following a motion by Regent Gwyn Shea seconded by Regent A.K. Mago.

The Committee was briefed on the **UNT System Administration and Institutional Strategic Plan Review** by UNT System Chancellor Lee Jackson, UNT Health Science Center President Michael Williams, UNT President Neal Smatresk, and UNT Dallas President Bob Mong.

An **Update on the Employee Engagement Initiative** was given by Luis Lewin, UNT System Associate Vice Chancellor for Human Resources, and Steve Sosland, UNT Health Science Center Chief People Officer and three of his Health Science Center colleagues.

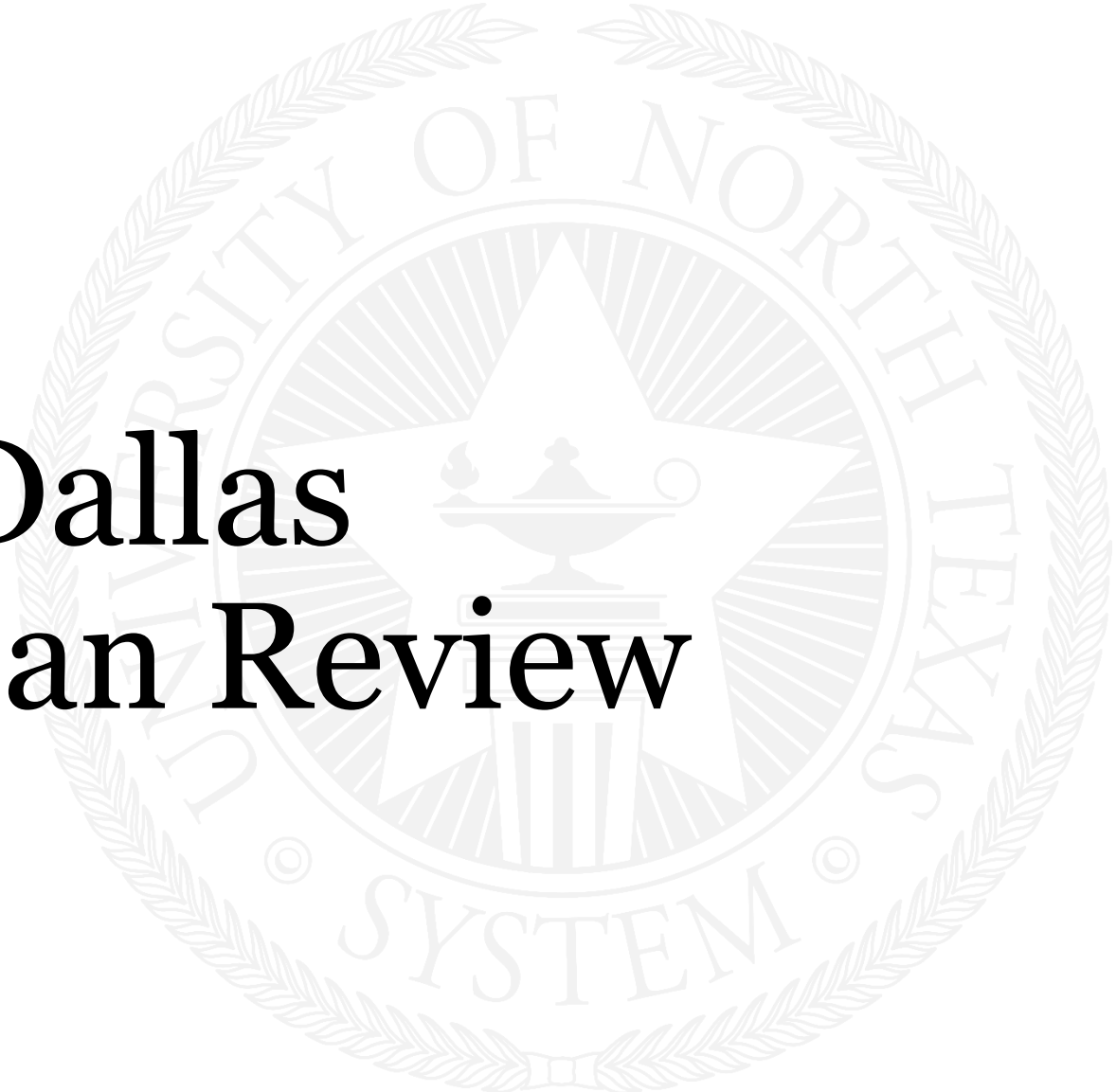
There being no further business, the Strategic and Operational Excellence Committee meeting adjourned at 11:32 a.m.

Submitted By:

Rosemary R. Haggett
Rosemary R. Haggett
Board Secretary

Date: *August 28, 2017*

UNT Dallas Strategic Plan Review



Goal: Grow Enrollment & Graduation

1. Fall 2018 Target: 4,001

Fall 2017: 3,509

Long-term Target:
5,000 headcount by Fall 2020

- Targeted Recruitment
- Key Community Partnerships
- International program planning strategically, focus on Mexico
- Planning for Intercollegiate Athletics

2. Fall 18 Target: 84% FTIC Retention

Long-term Target:
87% FTIC Retention

- 11% increase from Fall 2016 (66%) to Fall 2017 (77%)
- Closing the gap between our rate and state average

3. FY18 Target: 766 Degrees Awarded

Long-term Target:
1,101 Degrees Awarded

- FY 2017, 600 degrees awarded – UNT Dallas record
- 23% increase in degrees awarded (FY2011 to FY2017)

Goal: Grow Research

FY18 Target: 100% completion of project plan for UNT Dallas Office of Sponsored Research by 8/31/18

Long-term Target:
TBD

- Record number of Awards*
 - Administrative – \$230K
 - School of Education - \$3.5 Million
 - School of Human Services Management and Leadership - \$25K
 - School of Liberal Arts & Sciences - \$431K
- Create & Implement Policies for UNT Dallas to be independent
- Staffing for the future
 - Executive Director of Research & Development
 - Director of Grants & Reporting
 - Grant Accountant
 - Grant Writer & Prospect Researcher

****Awards are for multi-year projects and revenue can only be recognized as expenses occur.***

Goal: Grow Foundation Assets

FY18 Target: *3,200,000

- Started FY2016 at \$0 (baseline)
 - \$2,100,000 cumulative balance FY2016 & FY 2017
- UNT Dallas Foundation Reconstruction
 - Recruitment of new board members underway
 - Filing of 990s current
 - Assembling advisory boards for various units
- Establishing a presence in the donor community
- Annual fundraiser for endowed scholarships
- Planning phase of campaign in FY2019
- Over-delivering v over-promising

Long-term Target:
*5,000,000 by FY 2021

** Cumulative balance*

Goal: Grow Top Rated Programs

FY18 Target: 6 Priority Programs Identified

Long-term Target:
10 Priority Programs Identified by
FY 2021

- 5 Priority Programs identified
 - Bilingual Education
 - Juris Doctorate
 - Logistics
 - Mental Health
 - Public Health
- Provost program by program review underway
 - Sixth priority program will come out of review

Goal: Become Best Place to Work

1. FY18 Target: 60% employee engagement

- Build off employee engagement
 - 44% - UNT System Highest
- Focus on Gallup question in FY2018
 - I know what is expected of me at work
- Aligning with HSC's Culture Committee
- Building employee morale
- Strong sense of mission – hire for the mission
- Heavy investment in mid-level and high-level training

Long-term Target:
64% employee engagement

2. FY18 Target: Student NPS - 47

- FY 2017 included graduating seniors only – 46.3
- Adding other variables

Long-term Target:
Student NPS - TBD

Goal: Achieve Efficient and Effective System

FY18 Target: NEW – TBD

Long-term Target:
NEW – TBD

- Stabilize Department of Financial Aid
- Work to improve customer service, system relations, our own competencies
- Under hood Initiative
 - Work towards multi-semester registration
 - University Calendar
- Automation – CRM and Degree Audit
- Actual and manageable reports in a timely fashion

UNT Health Science Center Strategic Plans Review

Goal: Grow Enrollment & Graduation

FY18 Targets: School of Medicine THECB approval in 2018

GME growth by 168 new positions (agreed to)

Health system clinical training affiliations signed

- **Objective: School of Medicine THECB Approval**

- Submit THECB Proposal Fall 2017
- Site Visit in Spring/Summer 2018
- Presentation to the THECB Summer/Fall 2018

- **Objective: GME Development**

- Complete Health System Agreements
- Complete Fort Worth GME Consortium implementation

FY20 Target:

**Joint MD degree approved &
THECB GME goal met**

Goal: Grow Research

FY18 Target: **Grow research productivity across all schools**

- Objective: **Grow total research expenditures >5% (>\$47M)**
 - Productivity per research faculty member
 - Expenditures by \$/sq. ft. allotted research space
 - System to track research space utilization, capacity and productivity
- Objective: **Grow total research awards per school**
 - Assess each school's research program/strategy/performance
 - Establish 2018-2020 research goals per school
 - Continuing process improvement program for Research Support & Infrastructure
 - Strategically invest in growing interdisciplinary, translational research
 - Diversify research portfolio with enhanced community partnerships, commercialization and non-Federal funding sources

FY20 Target: Total annual research expenditures to \$55M

Goal: Grow Research

FY18 Target: **Grow research productivity across all schools**

| Research Awards | 2013 | 2017 |
|-------------------------------|---------------|--------------|
| Total Dollar Amount Awarded | \$32, 887,989 | \$50,139,118 |
| Graduate School (GSBS) | \$20,299,843 | \$33,398,045 |
| School of Health Professions | \$193,000 | \$467,076 |
| School of Public Health | \$6,899,715 | \$3,825,972 |
| TCOM | \$3,810,696 | \$5,971,284 |
| College of Pharmacy | \$50,000 | \$2,808,527 |
| TCU-UNTHSC School of Medicine | NA | NA |

Goal: Grow Foundation Assets

FY18 Target: Achieve >\$18.5M gifts/pledges (FY17 \$10.2M)

- Objective: **Focus growth efforts into key areas of need**
 - Scholarships
 - Endowments (faculty, programs, scholarships)
 - Naming gifts: IREB, UNTS College of Pharmacy, TCU-UNTHSC School of Medicine
 - Research (seed and field-specific)
 - Growth in new donors (emphasis on alumni donors)

**FY20 Target: (FY2015-2020)
Total gifts/pledges of \$100M
(Current total= \$32M)**

Goal: Grow Foundation Assets

Annual Gifts & Pledges

| Fiscal Year | Gifts & Pledges |
|-------------|-----------------|
| FY13 | \$3.9M |
| FY14 | \$4.6M |
| FY15 | \$3.5M |
| FY16 | \$9.8M |
| FY17 | \$10.2M |

Goal: Grow Top Rated Programs

FY18 Target: **100% Graduate career readiness/success**

- Objective: **100% of Graduates are career ready for employment**
 - Identify, interview and involve potential future employers
 - Identify needed curriculum changes and enhancements for readiness
 - Improve career counseling programs for all students
 - Position students with potential hiring candidates during training
 - Survey employers 6-12 months after hiring
 - Survey graduates 6-12 months after hiring

Long-term Target:
100% of Employers rate our graduates as well prepared “providers of the future”

Goal: Become Best Place to Work

FY18 Target: **UNTHSC is a *Best Place to Work***

- Objective: **Improve Gallup Engagement & Net Promoter Scores to top levels**
 - Hold sessions with departments, faculty groups & student groups
 - Improve understanding of importance and benefits
 - Gain clarity around survey tool
 - Continually gather employee and student input and ideas for improvement
 - Engage all team members in developing strategies for improvements
 - Communicate those improvements implemented
 - Do "pulse" surveys quarterly
 - Openly discuss results at President's Town halls
 - Use Gallup tool for Student Satisfaction

FY20 Target:

Achieve national status as a *Best Place to Work*

Goal: Achieve Efficient and Effective System (HSC)

FY18 Target: **Create a high performing service delivery operation**

- Objective: **Develop meaningful business processes throughout the enterprise**
 - Evaluate current & desired workflow status in key areas of focus
 - Review existing processes in each area
 - Build a strategy to address what is lacking, what is broken and what is working
 - Cultivate a system that is **customer centric**
 - **Internal customers to evaluate** the service offerings at least annually
 - **Outsourcing opportunities** should always be considered
 - Build a virtual Process Innovation Center
 - Develop, document and implement progressive business processes in each key area
 - Define performance metrics specific to each service area and department

UNT Strategic Plan Review



Goal: Grow Enrollment & Graduation

FY18 Target: 9,075 total degrees awarded

5 Year Target: 9,650 total degrees awarded

Enrollment

- Further refine our financial aid and recruiting plan, segmenting freshman, transfer, MS and doctoral enrollment
- Continue developing market automation for Salesforce (Customer Relations Management Software) to allow insights into enrollment management metrics to provide targeted interventions
- Expand international recruiting efforts and articulation, particularly in China (e.g. China online and Fuzhou partnerships) and India (growing articulation with top universities in India)
- Grow New College at Frisco and plan and initiate other regional sites
- Work with the Dell Design team to reimagine community college transfer and articulation, and with Toyota for Lean Process improvement for graduate students
- Begin construction of our new residence hall, dining hall and the Eagle Visitor Center
- Expand online offerings, especially high demand Masters programs to meet regional needs

Retention

- Expand advising support
- Use data driven approaches and predicative analytics to improve student performance and retention
- Improve financial aid delivery to meet retention needs
- Remove transfer and graduation barriers

We will exceed our 5 year (IPEDS) target this year and have upward revised our goals FY17 = 9027

Goal: Grow Research

FY18 Target: \$52M (HERD)

5 Year Target: \$68M (HERD)

- Increase funding per principal investigator and per sq ft to peer levels, award space to most productive research
- Continue methodically build research capacity through high impact hires, adding research space, renovating research space and investing in research institutes.
- Add Autism Spectrum Disorders research institute
- Expand doctoral funding
- Develop more multi-PI and large-scale program grants
- Build a new wing at Discovery Park for Biomedical Engineering
- Pursue large scale Dept. of Defense funding
- Expand corporate research funding
- Expand patents and licenses (we had dramatic growth in this area last year)

We use the NSF HERD (Higher Education Research and Development) Survey. All research institutions across the country report the HERD annually so it is the best metric to use for national comparisons. **FY17 HERD was \$48M**

Goal: Grow Foundation Assets

FY18 Target: \$33M/yr gifts and pledges

5 Year Target: \$48M/yr gifts and pledges

Gifts and Pledges

- Continue to grow gift base (total number of gifts/total number of donors)
- Continue to use Blackbaud to better manage prospects and asks
- Grow Foundation and corporate funding
- Establish goals for all development officers and Deans for fundraising

Endowment

- Increase number of endowed chairs and professorships
- Increase endowed scholarship funds (e.g Toulouse and Kuehne series)
- Communicate value of named endowments to donors better

We have upward revised our total gifts and pledges goal **FY17 = \$30.1M**

Goal: Grow Top Rated Programs

FY18 Target: 70 programs in top 100

5 Year Target: 75 programs in top 100

- Complete college and departmental reorganizations, and hire Deans for new units
- Invest in departments close to emerging as top 100 units
- Hire leadership to launch a next generation technology enhanced learning environment
- Work with NetDragon and other partners to create next generation learning ecosystems
- Continue to expand corporate partnerships
- Engage in nimble curricula and course development to keep pace with market changes
- Launch faculty design and process teams to work with partners - NetDragon, the Cowboys, Dell, and others to improve course design, learning outcomes and our operations
- Plan a new state-of-the-art classroom and innovation center to promote innovation and collaboration
- Continue to improve athletics performance (on the field and academic) and facilities to grow their national rankings

We have upward revised our 5 year national rankings goal **FY17 = 68 programs in top 100**

Goal: Become Best Place to Work

FY18 Target: Q4 to 3.4; Q11 to 3.65

5 Year Target: Q4 to 4.0; Q11 to 4.0

- VP specific initiatives by division to address recognition (Q4) and progress (Q11)
- Midyear informal performance reviews are beginning
- Team based recognition programs being put in place
- Enhanced communications to community
- Communicating about next Gallup distribution
- Preparing to launch campus climate survey
- Created new organizational behavior unit
- Initiated leadership training for all deans

Goal: Achieve Efficient and Effective System

Improve customer service and satisfaction across campus, reduce total cost of services

- Work with system partners to reduce costs and increase quality of shared and local services
- Continue to work with Toyota TPS team to improve facilities and graduate student recruitment
- Develop internal TPS team trained by Toyota TPS to continue process improvement across campus
- Continue to use tech solutions to improve performance (e.g., CRM marketing automation, self service dashboards for INSIGHTS program, change to Canvas LMS etc)
- Launch UNTonline to develop online courses and programs more quickly, and to take advantage of our NetDragon partnership
- Continue to develop regional UNT delivery model

UNT System Progress Card



| 1. Grow Enrollment and Graduation | | | | FY15 | FY16 | FY17 | FY18 Baseline/ Target | 2020 Target |
|----------------------------------------------------------------------------|--|--|--|---------------|---------------|---------------|--------------------------|-----------------------|
| 1.a. Total Fall Headcount Enrollment | | | | 40,966 | 42,025 | 43,375 | 43,923 | 47,825 |
| 1.b. Total Degrees & Certificates Awarded | | | | 9,193 | 9,243 | 9,857 | 10,553 | 11,177 |
| 2. Grow Research | | | | FY15 | FY16 | FY17 | FY18 Target | 2020 Target |
| 2.a. NSF Higher Education Research & Development (HERD) | | | | \$91,182,000 | \$89,963,000 | NYA* | \$106,555,379 | \$123,800,000 |
| 3. Grow Foundation Assets | | | | FY15 | FY16 | FY17 | FY18 Target | 2020 Target |
| 3.a. Grand Total Foundation & Institutional Gifts, UNT System Consolidated | | | | \$27,625,822 | \$35,796,120 | est. \$41M | \$52M | \$59M |
| 3.b. Total Institutional and Foundation Endowments | | | | \$188,023,604 | \$195,829,199 | \$222,575,400 | TBD** | TBD** |
| 4. Grow Top Rated Programs | | | | FY15 | FY16 | FY17 | FY18 Target | 2020 Target |
| 4.a. <i>Under Discussion</i> | | | | TBD** | TBD** | TBD** | | |
| 5. Become Best Place to Work | | | | FY15 | FY16 | FY17 | FY18 Target | Long-term Target |
| 5.a. Employee Engagement Grand Mean ¹ | | | | NA | NA | 3.81 | >FY17 Value | 4.40 |
| 5.b. % Engaged Employees | | | | NA | NA | 37.6% | >FY17 Value | Best Place to Work |
| 6. Achieve Efficient and Effective System | | | | FY15 | FY16 | FY17 | FY18 Target | 2020 Target |
| 6.a. <i>Under Discussion</i> | | | | TBD** | TBD** | TBD** | | |

Goal: Achieve Efficient and Effective System

FY18 Target: Create a system that is high performing

- Objective: **Create services that are competitive in service delivery, quality and costs, are customer centric, with a great experience and are what the customers need and want**
 - Review current structure and approaches with a focus on streamlining and delayering
 - Determine Cost : Benefit for each service (Focus on Value)
 - Create a mechanism for shared decision making
 - Create a system that is customer centric
 - Consider outsourcing opportunities
 - Create clear baseline services and associated costs



MINUTES

BOARD OF REGENTS Academic Affairs and Student Success Committee August 17-18, 2017

Thursday, August 17, 2017

The Academic Affairs and Student Success Committee of the Board of Regents of the University of North Texas System convened on Thursday, August 17, 2017 in Room 109-111 of the Medical Education and Training (MET) Building, University of North Texas Health Science Center, 1000 Montgomery St., Fort Worth, Texas with the following committee members in attendance: Regents Al Silva, Milton Lee, A.K. Mago, and Gwyn Shea.

There being a quorum present, the meeting was called to order by Committee Chairman, Regent Al Silva at 11:33 a.m.

The minutes of the May 18-19, 2017 Academic Affairs and Student Success Committee meeting were approved on a 4-0 vote following a motion by Regent A.K. Mago seconded by Regent Milton Lee.

Charles Taylor, Dean of the UNT System College of Pharmacy briefed the Committee on the **UNT System College of Pharmacy– Addressing Unmet Health Needs**.

The new UNT Dean of the College of Education, Randy Bomer, was introduced to the Committee and made brief remarks.

Chair Silva noted that the Committee had received the **Quarterly Academic Measures Report**, as background material.

There being no further business, the Academic Affairs and Student Success Committee meeting recessed at 12:01 p.m. until Friday, August 18 at approximately 9:00 a.m.

Friday, August 18, 2017

The Academic Affairs and Student Success Committee of the Board of Regents of the University of North Texas System reconvened on Friday, August 18, 2017 in Room 109-111 of the Medical Education and Training (MET) Building, University of North Texas Health Science Center, 1000 Montgomery St., Fort Worth, Texas with the following committee members in attendance: Regents Al Silva, Milton Lee, A.K. Mago, and Gwyn Shea.

There being a quorum present the meeting was called to order by Committee Chair Silva at 9:02 a.m.

Chair Silva noted that the Committee had two action items to consider. Both action items were presented by UNT Dallas Chief Financial Officer, Dan Edelman.

10. UNTD Naming of UNT Dallas' First Residence Hall

Pursuant to a motion by Regent Milton Lee and seconded by Regent A.K. Mago, the Committee approved the above item on a 4-0 vote.

**11. UNTD Review of the University of North Texas at Dallas
Amended Policy Related to the *Carrying of Concealed
Handguns on Campus***

Pursuant to a motion by Regent A.K. Mago and seconded by Regent Milton Lee, the Committee approved the above item on a 4-0 vote.

There being no further business, the Committee meeting adjourned at 9:06 a.m.

Submitted By:

Rosemary R. Haggett
Rosemary R. Haggett
Board Secretary

Date: *Aug 28, 2017*

A collage of grayscale images of North Texas athletes. At the top, a football player in a helmet and jersey with the number 22 is shown. Below him, a basketball player in a white jersey with the number 12 is visible on the left, and a volleyball player in a white jersey with the number 10 is on the right. The text 'NORTH TEXAS ATHLETICS' is centered over the collage in a large, bold, black font.

NORTH TEXAS ATHLETICS

Student-Athlete Recruiting Overview
Board of Regents Meeting
November 2017

STUDENT-ATHLETE PROFILE

| | 2017 | 2016 | 2015 | 2014 | 2013 |
|--------------------------------------------------|------|------|------|------|------|
| # of Transfer SAs | NA | 31 | 22 | 18 | 21 |
| # of Freshmen SAs | NA | 64 | 70 | 71 | 42 |
| Retention Rate Scholarship SAs | NA | 98.4 | 97.4 | 96.4 | 97.4 |
| Retention Rate – Student Body – FR to SOPH | NA | 79.6 | 79.1 | 77.8 | 75.5 |
| SA GPA | 2.93 | 2.90 | 2.98 | 2.96 | 3.03 |
| Student Body GPA | 2.91 | 2.88 | 2.88 | 2.86 | 2.84 |



OVERVIEW OF RECRUITING PROCESS - FRESHMEN

- Coach submits prospective student-athlete name for Eligibility Center Check(Exhibit A).
- Completed evaluation shared with coach who then submits official visit paperwork (Exhibit B) to compliance.
- Coach consults with student-athlete services to set up visit itinerary – requires 48 hour notice for visits during the week and 72 hours for weekend visits and after hours visits.
- Coach submits NLI/Scholarship request (Exhibit C) to compliance if coaching staff evaluation finds PSA is a fit for the program academically, athletically and socially.
- Paperwork completed and sent out to prospective student-athletes for signature and return to UNT Athletics (Exhibit D).
- Student then completes Texas Common application for admission. If PSA does not meet admission standards, they go through the talent admit process outlined later in this presentation.
- Students are asked to self identify any legal history or issues in the application.
- Once admitted, university sends details on housing and orientation.
- Beginning in 2017 – all incoming freshmen and transfers are required to take revamped Student Success Course (syllabus outlined in Exhibit E).

OVERVIEW OF RECRUITING PROCESS - TRANSFERS

- Coach submits unofficial transcript(s) to compliance office for evaluation.
- Compliance completes transfer evaluation form (Exhibit A) and unofficial transcript(s) to admissions for review.
- Admissions determines transferable credits and gpa and sends forms back to compliance.
- Compliance reviews notes from admissions and sends to coaching staff and athletic academic advisor.
- Coaching staff and PSA work with athletic academic advisor to determine intended major so that progress toward degree can be determined and an academic plan put in place.
- Prospective student-athlete then begins the admission process.
- Student then completes Texas Common application for admission. If PSA does not meet admission standards, they go through the talent admit process outlined later in this presentation.
- Students are asked to self identify any legal history or issues in the application.
- Once admitted, university sends details on housing and orientation.
- Beginning in 2017 – all incoming freshmen and transfers are required to take revamped Student Success Course (syllabus outlined in Exhibit E).

TALENT ADMIT PROCESS

DOMESTIC STUDENTS

- If student does not meet admission standards and denied once, they must submit an essay and 3 letters of recommendation from core teachers.
- If student is denied a second time, student-athlete services completes the Talent Admit Approval form, along with a detailed academic plan from student's athletic academic advisor, as well as proof of scholarship and prospective student-athlete's certification status with the NCAA Eligibility Center.
- All paperwork is reviewed by Associate Vice President/Chief Operating Officer in Athletics and forwarded to Admissions for processing.

INTERNATIONAL STUDENTS

- If student does not meet the English Proficiency requirement (TOEFL >79; SAT Verbal >560; CAE of B or higher; CPE of C or higher; MELAB of 80 or higher) the student moves through the talent admit process.
- If student is denied a second time, student-athlete services completes the Talent Admit Approval form, along with a detailed academic plan from student's athletic academic advisor, as well as proof of scholarship and prospective student-athlete's certification status with the NCAA Eligibility Center.
- All paperwork is reviewed by Associate Vice President/Chief Operating Officer in Athletics and forwarded to Admissions for processing.

MODIFICATIONS TO PROCESS – IMPLEMENTED IN 2016-17

- Coaches are required to acknowledge on National Letter of Intent or Grant-in-Aid request form that they have fully investigated any and all background issues or academic concerns with student-athlete with designated sport program administrator, Director of Athletics or his/her appointed designee. Coaches sign and date every form for scholarship student-athletes.
- All National Letter of Intent and Grant-in-Aid (Exhibit D) forms are reviewed and signed by Vice President/Director of Athletics.
- Profile of each student-athlete provided to Vice President/Director of Athletics when paperwork is submitted for signature.
- Revised Sport Program Administrator assignments and created detailed expectations document to all administrators with this responsibility (Exhibit F).


STUDENT-ATHLETE EDUCATION – 2017-18

- Modified mandatory student-athlete compliance meetings to include additional material on student-athlete safety, wellness, decision making.
- Team presentations focused on campus safety and domestic/sexual assault and violence.
- Brought in Emily Austen as a guest speaker on Tuesday, August 29th to speak to student-athletes about their choices and the impact of social media.
- Increased student-athlete programming budget by \$10,000 to assist with guest speakers and educational opportunities as identified by North Texas Athletics executive team.

OTHER STRATEGIES TO CONSIDER

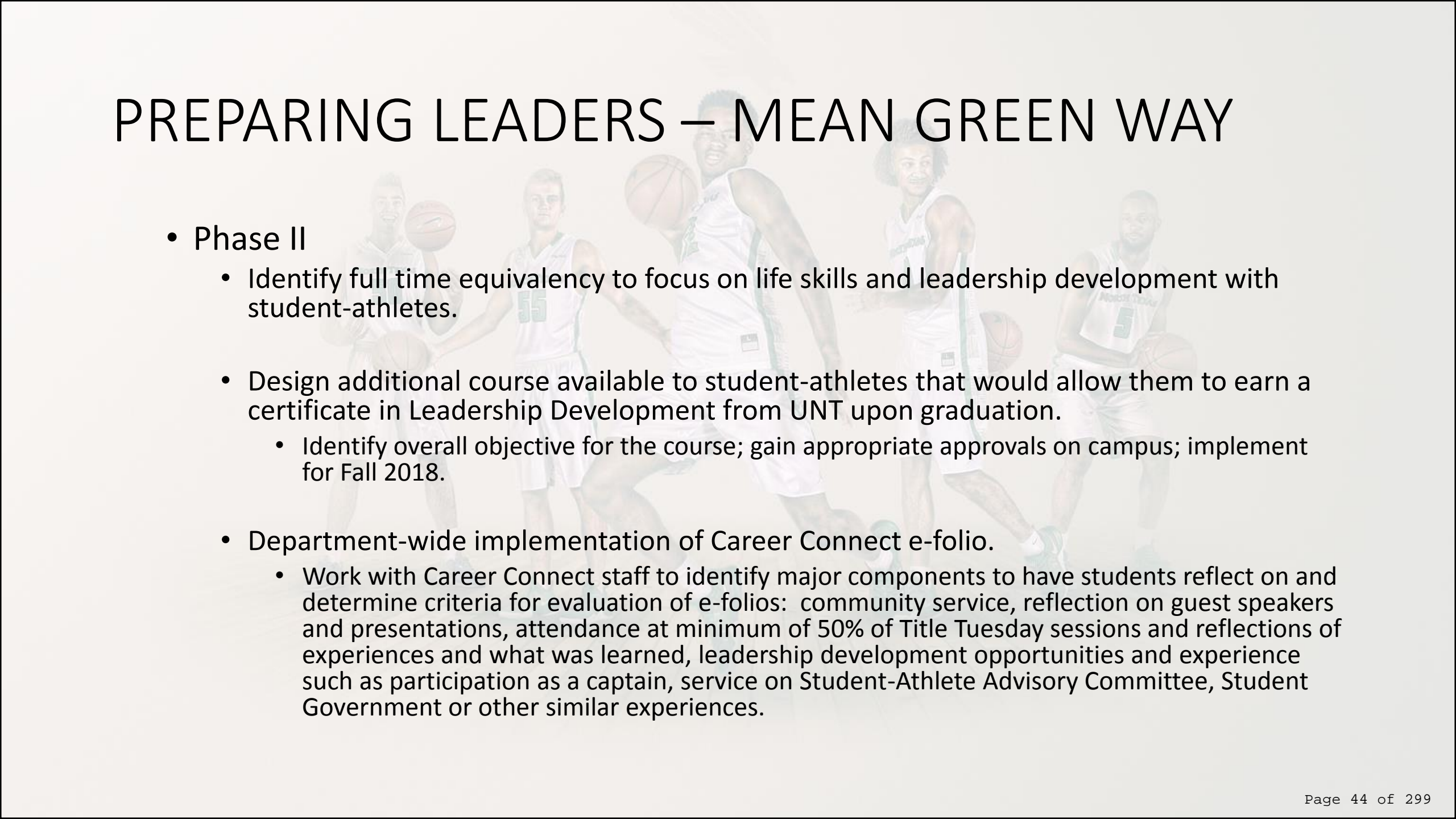
- Surveyed CUSA and Peer Group of 5 institutions in Texas.
 - 7 of 9 respondents conduct some form of social media checks in the recruiting process. Will explore a more formal policy to scan social media activity of prospective student-athletes during the recruiting process.
 - 5 of 9 respondents indicate institutional application process asks for students to disclose any previous criminal or legal issues.
 - Only 1 of 9 respondents use criminal background checks for all student-athletes in the recruiting process.
- Validity Screening Solutions
 - OmniSearch (\$33/each) – includes social security number validation; criminal record search in ALL jurisdictions within the past 7 years; national criminal database/multi-state sex offender registry.
 - CountyPlus (\$17.50/each) – includes felony/misdemeanor search in current county of residence; national criminal database/multi-state sex offender registry.
 - Background checks would have to be completed on a significantly larger number of prospective student-athletes than is actually signed each year in order to be effective.

PREPARING LEADERS – MEAN GREEN WAY

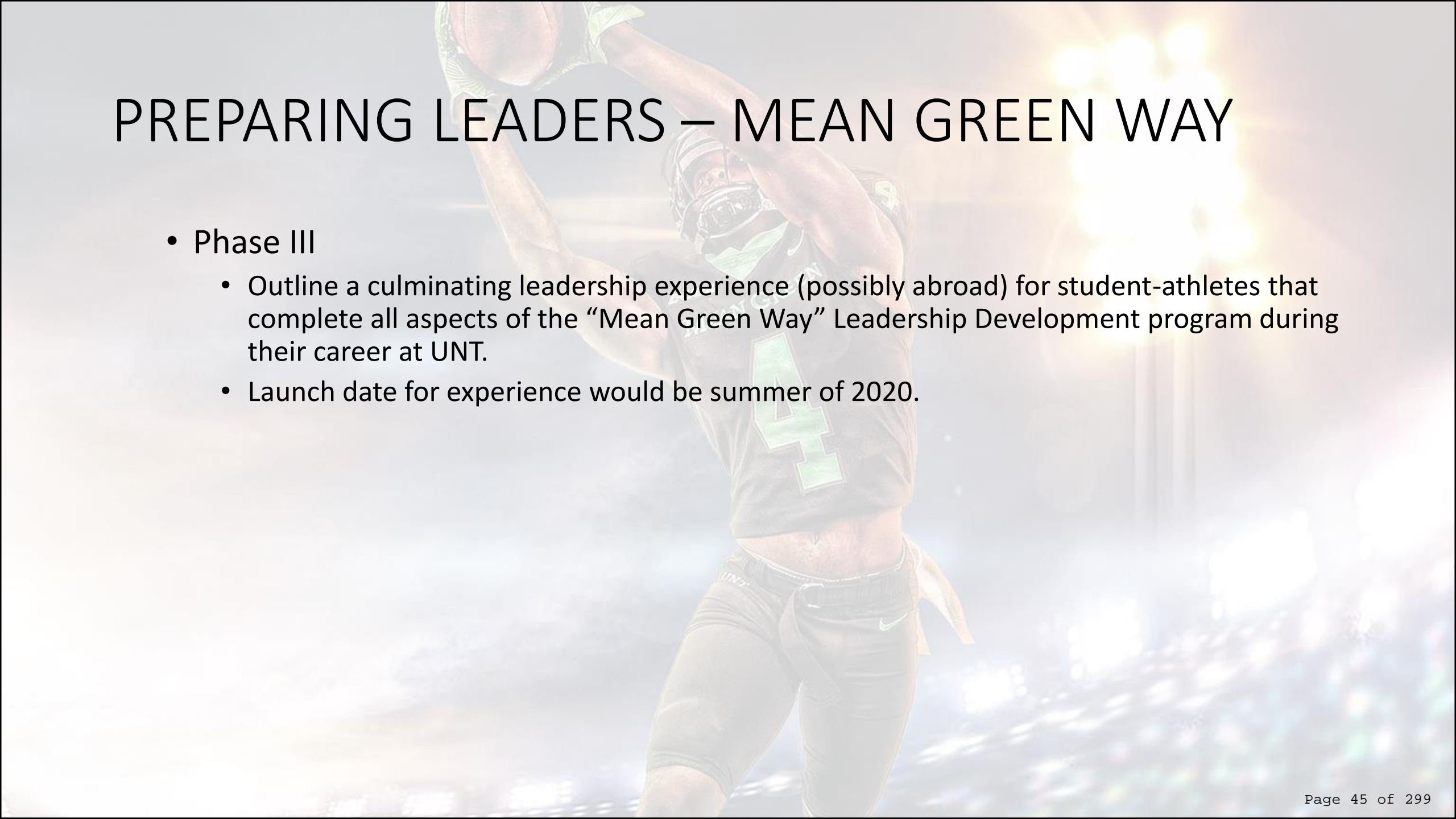


- Phase I
 - UCRS 1850 – Developing Student-Athlete Success
 - Class Overhaul – focus on skills needed to ensure academic success: critical thinking, writing, reading for comprehension, public speaking, etc.
 - Implementation of Growing Leaders nationally recognized leadership development curriculum Habitudes-Navigating Transitions. The Habitudes curriculum is used by a number of professional organizations in Major League Baseball, the National Football League and college athletic programs across the country such as Alabama, Purdue, Texas and many others.
 - Title Tuesdays – monthly programs designed to assist student-athletes in development of skill needed for life after college and sports.
 - Schedule for 2017-18: 8/29 – Social Media, 9/26 – Nutrition, 10/31 – Money Management, 11/28 – Building a Resume, 1/30 – Interviewing, 2/27 – Dinner Etiquette, 3/27 – Career Fieldtrip, 4/24 – Career Fair

PREPARING LEADERS – MEAN GREEN WAY



- Phase II
 - Identify full time equivalency to focus on life skills and leadership development with student-athletes.
 - Design additional course available to student-athletes that would allow them to earn a certificate in Leadership Development from UNT upon graduation.
 - Identify overall objective for the course; gain appropriate approvals on campus; implement for Fall 2018.
 - Department-wide implementation of Career Connect e-folio.
 - Work with Career Connect staff to identify major components to have students reflect on and determine criteria for evaluation of e-folios: community service, reflection on guest speakers and presentations, attendance at minimum of 50% of Title Tuesday sessions and reflections of experiences and what was learned, leadership development opportunities and experience such as participation as a captain, service on Student-Athlete Advisory Committee, Student Government or other similar experiences.



PREPARING LEADERS – MEAN GREEN WAY

- Phase III
 - Outline a culminating leadership experience (possibly abroad) for student-athletes that complete all aspects of the “Mean Green Way” Leadership Development program during their career at UNT.
 - Launch date for experience would be summer of 2020.

RECRUITING CHALLENGES AT UNT

The background of the slide features a faded image of three soccer players in green uniforms on a grassy field. They are in various poses, some with their arms outstretched, and there are several soccer balls scattered on the ground. The overall tone is light and airy.

- Brand and reputation in the marketplace – both external and among our own constituents.
- Competition in the marketplace.
- Facilities – specifically those that impact day to day development of student-athletes (academic center, weight room, sports medicine, nutrition).
- Budgets

ADDITIONAL EXHIBITS

The background of the slide is a faded collage of North Texas sports teams. At the top, a football player in a white jersey with the number 2 is visible. Below that, a basketball player in a white jersey with the number 12 is on the left, and a basketball player in a dark jersey with the number 10 is in the center. On the right, a volleyball player in a white jersey with the number 1 is shown. The overall theme is North Texas athletics.

- Exhibit F – NCAA Academic Requirement Overview
- Exhibit G – Unofficial Visit Procedures



OUTSTANDING.
OPPORTUNITY.
TIER ONE.

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National Merit Scholars

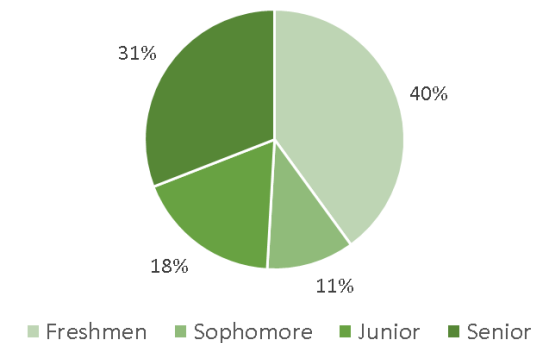
Shannon Goodman,
Vice President for Enrollment



Meet Our Scholars

- 30 Females, 25 Males
- 7 UNT Colleges
- 27 Distinct Majors
- In-State and Out-of-State

UNT National Merit Scholars by Classification:
Fall 2017

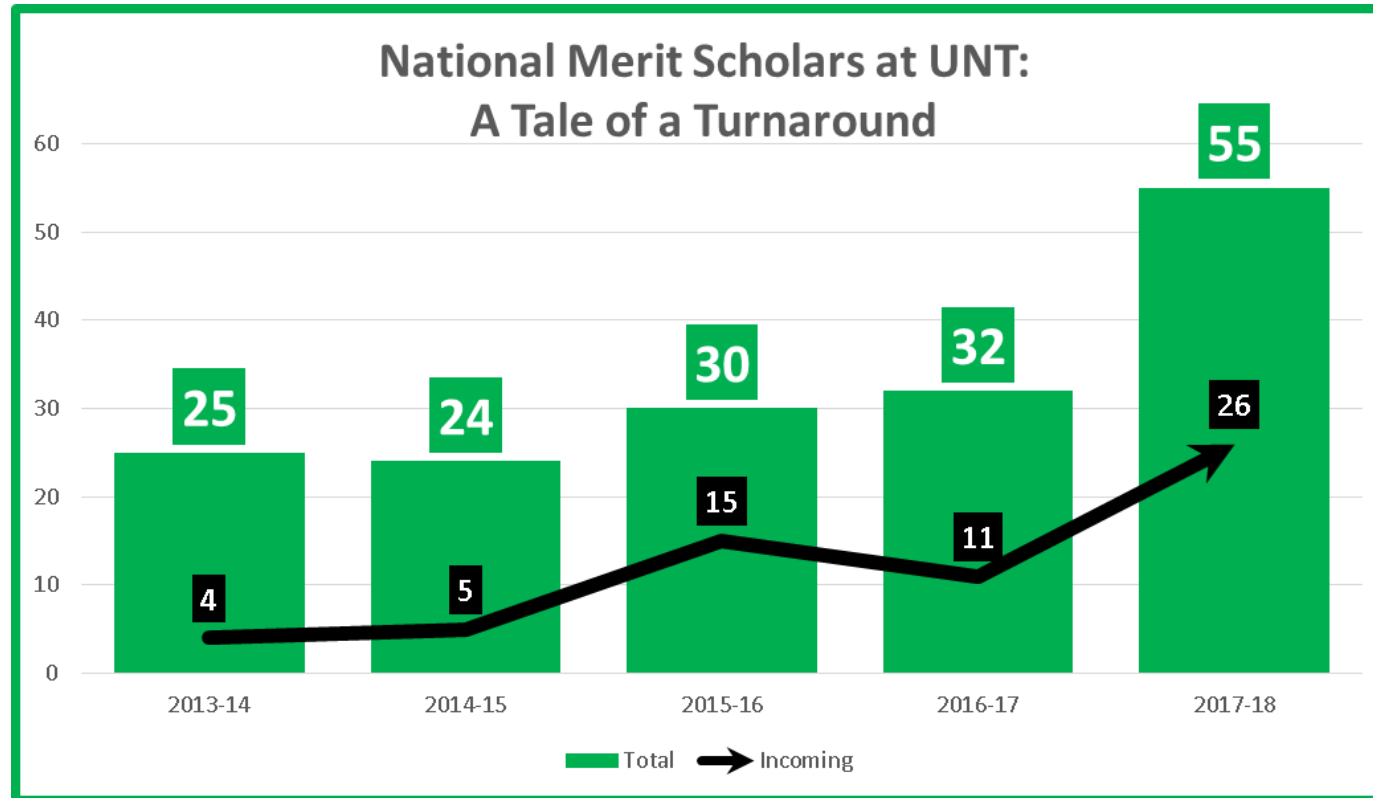


**Strategic Planning
+ Organizational Implementation
= Success**

- Vision
- Commitment
- Strategy
- Execution
- Outcome



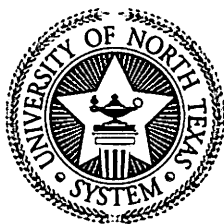
UNT- 5 Year Comparison



Catching Excellence

- Promote the UNT Brand and Build Outward
- Refine with Student in Mind
- Secure New Resources
- Optimize Existing Resources
- Market Relevance and Reputation
- Redefine the UNT National Merit Experience





MINUTES
BOARD OF REGENTS
Audit Committee
August 17, 2017

The Audit Committee of the Board of Regents of the University of North Texas System convened on Thursday, August 17, 2017 in Room 109-111 of the Medical Education and Training (MET) Building, University of North Texas Health Science Center, 1000 Montgomery St., Fort Worth, Texas with the following committee members in attendance: Regents Don Potts, Rusty Reid, Glen Whitley, and Laura Wright.

There being a quorum present, the meeting was called to order by Committee Chairman, Regent Glen Whitley, at 1:04 p.m.

The minutes of the May 18-19, 2017 and July 31, 2017 Audit Committee meetings were approved on a 4-0 vote following a motion by Regent Rusty Reid seconded by Regent Don Potts.

There were two action items and two briefing for the Committee's consideration.

Tracy Grunig, UNT System Chief Audit Executive, presented the FY 18 System Internal Audit Plan.

8. UNTS Approval of FY18 UNT System Internal Audit Plan

Pursuant to a motion by Regent Laura Wright and seconded by Regent Don Potts, the Committee approved the above item on a 4-0 vote.

Steve Hill, UNT Director, Business Development, Institutional Compliance, presented the next action item.

9. UNTS FY18 UNT System Compliance Risk Assessment and Work Plan

Pursuant to a motion by Regent Rusty Reid and seconded by Regent Don Potts, the Committee approved the above item on a 4-0 vote.

Tracy Grunig presented two briefings: **Report of Audit Activities** and **UNT System Enterprise Audit Report Inventory**.

Committee Chairman Whitley noted that there was one background report, **UNT System Consolidated Quarterly Compliance Report, March 2017 through May 2017**, for the Committee's information.

There being no further business, the Audit Committee meeting adjourned at 1:57 p.m.

Submitted By:

Rosemary R. Haggett

Rosemary R. Haggett
Board Secretary

Date: August 28, 2017

Quarterly Report of Audit Activities

Activities reflected within are as of September 30, 2017

Summary Statement

The information below is presented in more detail on the following slides:

- Highlights of Internal Audit Activity – 1st Quarter 2018
- Audit and Management Advisory Services Reports Issued Matrix
- Summary of Follow-up Activity
- Detail for Follow-up Activity

In response to the Board of Regents and Senior Leadership, Internal Audit is strengthening financial processes to meet challenges and be a trusted and valuable business partner.

Internal Audit is making adjustments to enable the success of strategic plans across components.

Highlights of Internal Audit Activity – 1st Quarter 2018

- State Auditor's Office Fiscal Year 2017 Annual Internal Audit Report
- Quality Assurance Review (QAR)
- Staff Achievement
 - One staff member obtained CISA certification

Audit and Management Advisory Services Reports Issued Matrix



| Audit Name | Component Institution | | | | Risk Rating | Audit Score | Identified Risk |
|---------------------------------------------------------------------------------|-----------------------|-----|--------|------------|-------------|-------------|----------------------------------------------------------------------------------------|
| | UNTS | UNT | UNTHSC | UNT Dallas | | | |
| Classroom Support Services | | X | | | | | Compliance; Operational; Reputational |
| Minor Capital Projects | | X | | | | | Financial; Operational; Contract Compliance; Economical and Efficient Use of Resources |
| College of Music Transition Audit | | X | | | | | Compliance; Reputational; Financial; Operational; Regulatory |
| Student-Managed Investment Fund (co-sourced PwC) | | X | | | | | Compliance; Reputational; Financial; Operational |
| Lifelong Learning & Professional Development Business Process Review | | X | | | | | Compliance; Reputational; Financial; Operational; Regulatory |
| Faculty and Staff International Travel | | X | | | | | Compliance; Operational; Reputational |
| Financial Review of HSC Reserves (co-sourced PwC) | | | X | | | | Financial; Operational; Reputational |
| Primary Care Innovation Grant Validation | | | X | | | | Compliance; Reputational; Financial; Operational; Regulatory |
| Management Advisory Service : Graduate School of Biomedical Sciences 70/30 Rule | | | X | | | | Financial; Operational; Reputational |
| Community Counseling Clinic Transition Audit | | | | X | | | Compliance; Reputational; Financial; Operational; Regulatory |
| Scholarships Review (co-sourced PwC) | | | | X | | | Compliance; Reputational; Operational |
| Management Advisory Service : Registrar's Office Business Process Review | | | | X | | | Reputational; Operational; Compliance with Policies |
| (8) Investigations (*4 formal reports; 4 case reports) | | | | | | | |
| *College of Visual Arts and Design Adjunct | | X | | | | | Conflict of Interest; Management Oversight |
| *Facilities Time Accounting II | | X | | | | | Management Oversight (Theft of Time) |
| *Facilities Worker | | X | | | | | Management Oversight; Employee Misconduct |
| *College of Visual Arts and Design Art Lab Commercial Art | | X | | | | | Employee Misconduct |

University of North Texas System Internal Audit FY18 Annual Audit Plan



| Source | Functional Area | Title of Audit | Status | Component Institution | | | | Identified Risk |
|------------------------------------------------|------------------------------|----------------------------------------------------------------------|-----------------------------------------------------------------------------|-----------------------|-----|--------|------------|----------------------------------------------------------------------------------------|
| | | | | System | UNT | UNTHSC | UNT Dallas | |
| FY17 Audits Requiring FY18 Audit Hours: | | | | | | | | |
| MGMT REQUEST | MANAGEMENT ADVISORY SERVICES | Graduate School of Biomedical Sciences 70/30 Rule | Mgmt Advisory Services Work Performed at Direction of HSC IA Work Completed | | | X | | Financial; Operational; Reputational |
| CO-SOURCED | COMPLIANCE | Student-Managed Investment Fund (SMIF) | Final Draft Report November 2017 AC | | X | | | Compliance; Reputational; Financial; Operational |
| CO-SOURCED | ACADEMIC | Scholarships Review | Final Draft Report November 2017 AC | | | | X | Compliance; Reputational; Operational |
| INTERNAL AUDIT | FINANCIAL | Minor Capital Projects | Final Draft Report November 2017 AC | | X | | | Financial; Operational; Contract Compliance; Economical and Efficient Use of Resources |
| MGMT REQUEST | FINANCIAL | Community Counseling | Final Draft Report November 2017 AC | | | | X | Compliance; Reputational; Financial; Operational; Regulatory |
| INTERNAL AUDIT | FINANCIAL | Faculty and Staff International Travel | Final Draft Report November 2017 AC | | X | | | Compliance; Operational; Reputational |
| MGMT REQUEST | FINANCIAL | College of Music Transition Audit | Final Draft Report November 2017 AC | | X | | | Compliance; Reputational; Financial; Operational; Regulatory |
| MGMT REQUEST | FINANCIAL | Lifelong Learning & Professional Development Business Process Review | Final Draft Report November 2017 AC | | X | | | Compliance; Reputational; Financial; Operational; Regulatory |
| CO-SOURCED | FINANCIAL | Financial Review of UNTHSC Reserves | Final Draft Report November 2017 AC | | | X | | Financial; Operational; Reputational |
| MGMT REQUEST | INFORMATION TECHNOLOGY | Classroom Support Services | Final Draft Report November 2017 AC | | X | | | Compliance; Operational; Reputational |
| MGMT REQUEST | MANAGEMENT ADVISORY SERVICES | Registrar's Office Business Process | Final Draft Report November 2017 AC | | | | X | Reputational; Operational; Compliance with Policies |

University of North Texas System Internal Audit FY18 Annual Audit Plan (page 2)



| Source | Functional Area | | Title of Audit | Status | Component Institution | | | | Identified Risk |
|------------------------------------------------------|------------------------------|---|---------------------------------------------------------------------|-------------------------------------------------------------------------------|-----------------------|-----|--------|------------|--------------------------------------------------------------|
| | | | | | System | UNT | UNTHSC | UNT Dallas | |
| MGMT REQUEST | MANAGEMENT ADVISORY SERVICES | | Graduate Medical Education | In-Process | | | X | | Financial; Operational; Reputational |
| FY18 Hours Allocated for FY18 Audit Projects: | | | | | | | | | |
| MGMT REQUEST | COMPLIANCE | U | Primary Care Innovation Grant Validation | Mgmt Advisory Services Work Performed at Direction of THECB IA Work Completed | | | X | | Compliance; Reputational; Financial; Operational; Regulatory |
| REQ-BOARD OF REGENTS | COMPLIANCE | | Chancellor's/President's Expenditure Reviews | In-Process February 2018 AC | X | X | X | X | Compliance; Reputational; Financial; Operational |
| REQ-REGENT RULES AND ENTERPRISE POLICIES | COMPLIANCE | | Investments | In-Process February 2018 AC | X | X | X | X | Compliance; Reputational; Financial; Operational; Regulatory |
| REQ-REG AGENCY | COMPLIANCE | | THECB Faculty Development Center Award | In-Process February 2018 AC | | | X | | Compliance; Reputational; Financial; Operational; Regulatory |
| REQ-REG AGENCY | COMPLIANCE | | THECB Plaza Medical Center Family Medicine Residency Program (FMRP) | In-Process February 2018 AC | | | X | | Compliance; Reputational; Financial; Operational; Regulatory |
| MGMT REQUEST | COMPLIANCE | U | Out-of-State Teaching Fee Research Project | In-Process | | X | | | Compliance; Reputational; Financial; Regulatory |
| REQ-REG AGENCY | COMPLIANCE | | Cancer Prevention and Research Institute of Texas (CPRIT) | | | | X | | Compliance; Reputational; Financial; Operational; Regulatory |
| REQ-REG AGENCY | COMPLIANCE | | Student-Managed Investment Fund (SMIF) | | | X | | | Compliance; Reputational; Financial; Operational |
| REQ-REG AGENCY | COMPLIANCE | | Senate Bill 20 Mandated Internal Audit | | X | | | | Compliance; Reputational; Financial; Operational; Regulatory |
| CO-SOURCED | COMPLIANCE | | Benefits Proportionality | | | X | X | X | Compliance; Reputational; Financial; Operational; Regulatory |

University of North Texas System Internal Audit FY18 Annual Audit Plan (page 3)



| Source | Functional Area | | Title of Audit | Status | Component Institution | | | | Identified Risk |
|----------------|------------------------|---|-----------------------------------------------|------------|-----------------------|-----|--------|------------------------------------------------------------------------------------------------------|-----------------|
| | | | | | System | UNT | UNTHSC | UNT Dallas | |
| INTERNAL AUDIT | ACADEMIC | | Scholarships Review | | | X | | Compliance; Reputational; Operational | |
| MGMT REQUEST | ACADEMIC | | Health Center/Counseling Center Audit | | | X | | Compliance; Reputational; Financial; Operational; Regulatory | |
| MGMT REQUEST | FINANCIAL | | Provost Transition Audit | In-Process | | X | | Compliance; Reputational; Financial; Operational | |
| MGMT REQUEST | FINANCIAL | U | Deloitte Financial Remediation | In-Process | X | | | Compliance; Financial; Operational | |
| MGMT REQUEST | FINANCIAL | | School of Health Professions Financial Review | | | X | | Financial; Operational | |
| MGMT REQUEST | FINANCIAL | | College of Pharmacy Financial Review | | | X | | Financial; Operational | |
| INTERNAL AUDIT | FINANCIAL | | Minor Capital Projects Construction Audit | | | X | | Compliance; Reputational; Financial; Operational; Regulatory | |
| INTERNAL AUDIT | INFORMATION TECHNOLOGY | | IT Asset Inventory Controls Audit | In-Process | | | X | Financial; Asset Misappropriation; Economical and Efficient Use of Resources; Safeguarding of Assets | |
| INTERNAL AUDIT | INFORMATION TECHNOLOGY | | Business Continuity Planning | In-Process | | X | X | Information Technology Security; Operational; Compliance with Policies; Reputational | |
| CO-SOURCED | INFORMATION TECHNOLOGY | | IT Risk Assessment | In-Process | X | | | Information Technology Security; Operational; Compliance with Policies; Reputational | |
| CO-SOURCED | INFORMATION TECHNOLOGY | | IT Governance | In-Process | X | | | Information Technology Security; Operational; Compliance with Policies | |

University of North Texas System Internal Audit FY18 Annual Audit Plan (page 4)



| Source | Functional Area | | Title of Audit | Status | Component Institution | | | | Identified Risk |
|----------------|------------------------|---|--------------------------------------------|------------|-----------------------|-----|--------|------------|---------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | System | UNT | UNTHSC | UNT Dallas | |
| INTERNAL AUDIT | NCAA | | NCAA Compliance | | | X | | | Regulatory; Reputational; Compliance with Policies; Operational |
| INTERNAL AUDIT | OPERATIONAL | U | Property Management | | | | X | | |
| MGMT REQUEST | STUDENTS | | Student Awareness and Training- Lab Safety | In-Process | | X | X | X | Student Safety; Operational; Compliance with Policies; Reputational |
| CO-SOURCED | STUDENTS | | Enrollment | In-Process | | X | X | X | Compliance; Reputational; Financial; Operational; Regulatory |
| INTERNAL AUDIT | RESEARCH | | Grants and Contracts Compliance | | | X | X | | Compliance; Reputational; Financial; Operational; Regulatory |
| INTERNAL AUDIT | RESEARCH | | Effort Reporting Process | | | X | X | | Compliance; Reputational; Financial; Operational; Regulatory |
| INTERNAL AUDIT | INTEGRITY UNIT | | Investigations | | X | X | X | X | |
| INTERNAL AUDIT | UNALLOCATED HOURS-UNTS | | | | X | | | | Financial; Operational; Asset Misappropriation; Economical and Efficient Use of Resources; Compliance with Policies; Safeguarding of Assets |
| INTERNAL AUDIT | UNALLOCATED HOURS-UNT | | | | | X | | | Financial; Operational; Asset Misappropriation; Economical and Efficient Use of Resources; Compliance with Policies; Safeguarding of Assets |

University of North Texas System Internal Audit FY18 Annual Audit Plan (page 5)



| Source | Functional Area | | Title of Audit | Status | Component Institution | | | | Identified Risk |
|--------------------------------|----------------------------------|--|---------------------------|--------|-----------------------|-----|--------|------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | System | UNT | UNTHSC | UNT Dallas | |
| INTERNAL AUDIT | UNALLOCATED HOURS-UNTHSC | | | | | | X | | Financial; Operational; Asset Misappropriation; Economical and Efficient Use of Resources; Compliance with Policies; Safeguarding of Assets |
| INTERNAL AUDIT | UNALLOCATED HOURS-UNT Dallas | | | | | | | X | Financial; Operational; Asset Misappropriation; Economical and Efficient Use of Resources; Compliance with Policies; Safeguarding of Assets |
| INTERNAL AUDIT | UNALLOCATED HOURS-IT | | | | X | X | X | X | Information Technology Security; IT System Network Authorization and Access; Operational; Compliance with Policies; Reputational |
| INTERNAL AUDIT | UNALLOCATED HOURS-INTEGRITY UNIT | | | | X | X | X | X | Financial; Operational; Asset Misappropriation; Economical and Efficient Use of Resources; Compliance with Policies; Safeguarding of Assets; Employee Misconduct, Conflicts of Interest; Reputational |
| REG-IIA PROFESSIONAL STANDARDS | | | Follow-Up of Prior Audits | | X | X | X | X | Due Diligence |

University of North Texas System Internal Audit FY18 Annual Audit Plan (page 6)



| Source | Functional Area | Title of Audit | Status | Component Institution | | | | Identified Risk |
|------------------------------------------------------------------|-----------------|---------------------------------------------------------------------------------------------------------------------|--------|-----------------------|-----|--------|------------|-----------------|
| | | | | System | UNT | UNTHSC | UNT Dallas | |
| FY18 Hours Allocated for Value-Added Management Services: | | | | | | | | |
| | | IA Quality Assurance Review Recommendation Triage | | | | | | |
| | | Workgroup - Payroll and Employee Benefit Process Review | | | | | | |
| | | Workgroup - Journal Entry Process Review | | | | | | |
| | | Workgroup - PCI DSS Compliance Process Review | | | | | | |
| | | Workgroup - UNTHSC Information Security/Privacy Committee | | | | | | |
| | | Workgroup - Co-Sourcing with PwC | | | | | | |
| | | Workgroup - NextGen | | | | | | |
| | | Workgroup - Clinical Billing, Coding and Revenue Cycle Compliance Committee/UNTHSC Compliance Committee | | | | | | |
| | | UNT Workgroups/Committee/Staff Meetings | | | | | | |
| | | Workgroup - UNT Academic Computing Workgroup | | | | | | |
| | | Workgroup - PeopleSoft Grants Billing and Account Receivable | | | | | | |
| | | Resource/Training - Subject Matter Experts to Stakeholders on Business and IT Processes, Policies and Procedures | | | | | | |
| | | Resource - Academic Course Faculty Supplemental Presentation | | | | | | |
| | | Resource - Shared Services Presentation | | | | | | |
| | | Ad Hoc Requests from Management | | | | | | |

Summary of Follow-up Activity

| | Beginning Balance Open Recommendations (Past Due and Not Yet Due) | New Recommendations During This Quarter | Total Closed Recommendations During This Quarter | Total Current Open Recommendations | Open Recommendations- Not Yet Due | Open Recommendations- Past Due |
|-------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------------------|-----------------------------------------------|--------------------------------------------------|-----------------------------------------------|
| UNTS | 8 | 31 | (25) | 14 | 14 | 0 |
| UNT | 6 | 52 | (22) | 36 | 35 | 1 |
| UNTHSC | 8 | 49 | (23) | 34 | 30 | 4 |
| UNT Dallas | 0 | 6 | (5) | 1 | 0 | 1 |
| Total | 22 | 138 | (75) | 85 | 79 | 6 |

Questions?

UNT-Detail for Follow-up Activity

| Audit Information | Finding and Recommendation(s) | Management Response(s) | Status |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: Moderate</p> <p>Report Name: Residence Hall - Student Safety Practices</p> <p>Report Number: 17-021 UNT</p> <p>Component Institution: UNT</p> <p>Department: Residence Halls</p> <p>Brief Description of Audit: Internal Audit performed an audit of the safety of students residing in University residence housing. Additionally, Internal Audit evaluated current evacuation plans and other safety measures. This audit is part of the approved audit plan for fiscal year 2017. The scope of this audit pertained to the current safety practices in place during fiscal year 2017.</p> | <p>Room Key Cabinets Not Locked</p> <p>Lockable cabinets that have extra keys to resident rooms in five halls were not always locked.</p> <p>Five of fifteen residence halls had unlocked dumb boxes – cabinets where the extra room keys are stored. The key cabinets for Traditions Hall and Santa Fe Square are located in Traditions Hall. These cabinets are too full with keys to close, and one of two lock mechanisms was broken.</p> <p>Recommendation for Gina Vanacore, Director of Housing and Residence Life:</p> <p>10.1.b. For Traditions Hall and Santa Fe Square, obtain key cabinets large enough to contain all the duplicate room keys.</p> | <p>Director Vanacore will obtain key cabinets large enough to contain all the duplicate room keys for Traditions Hall and Santa Fe Square.</p> <p>Party responsible for implementation: Gina M. Vanacore, Director of Housing and Residence Life</p> <p>Expected Implementation Date: August 31, 2017 Revised Implementation Date: December 31, 2017</p> | <p>2 months past due</p> <p>The key cabinet has been ordered, but due to manufacturing delays it has not yet been received and installed.</p> |

UNTHSC-Detail for Follow-up Activity

| Audit Information | Finding and Recommendation(s) | Management Response(s) | Status |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: High</p> <p>Report Name: UNTHSC IT Change Management</p> <p>Report Number: 16-109 HSC</p> <p>Component Institution: UNTHSC</p> <p>Department: UNTHSC IT Change Management</p> <p>Brief Description of Audit: The objective of the UNT Health Science Center (UNTHSC) Change Management Audit was to determine if the change management process was controlled, monitored, and in compliance with standards and best practices in order to mitigate risks involved with production changes. This review was focused on the change management process affecting key applications, where the data and application usage is critical to operations.</p> | <p>Segregation of duties did not exist between the development of new code to bringing the code into the production environment.</p> <p>No evidence was produced to show that segregation of duties existed during change management between the development and production environment.</p> <p>Recommendation for Chief Information Officer, ITS: 1.1.a. Strengthen controls around moving code changes into production by:</p> <ul style="list-style-type: none"> • Acquiring and implementing a configuration management system, or if that is not feasible; • Designating more resources for development changes over critical applications. | <p>We are adding resources/reassigning duties to expand the development pipeline to include Quality Assurance (QA). QA will review code before it is moved into Staging and Production. The addition of QA into the production pipeline for segregation of duties will occur in the second quarter of 2017.</p> <p>Party responsible for implementation: Anthony Tissera, Director of Product Development and Engineering, Information Technology Services</p> <p>Expected Implementation Date: June 30, 2017 Revised Implementation Date: August 31, 2018</p> | <p>4 months past due</p> <p>To mitigate separation of duties risk, resources will be reassigned and procedures redesigned over the fiscal year.</p> |

UNTHSC-Detail for Follow-up Activity



| Audit Information | Finding and Recommendation(s) | Management Response(s) | Status |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: Moderate</p> <p>Report Name: Graduate School of Biomedical Sciences Review</p> <p>Report Number: 17-401 HSC</p> <p>Component Institution: UNTHSC</p> <p>Department: Graduate School of Biomedical Sciences</p> <p>Brief Description of Audit: Internal Audit completed a financial review of UNTHSC's Graduate School of Biomedical Sciences (GSBS). This audit was performed at the request of UNTHSC Senior Management.</p> | <p>Inaccurate recording of leave of absences from the HRM-64 Leave Request forms to Enterprise Information System (EIS) PeopleSoft were identified for 4 out of 59 active employees resulting in incorrect leave accrual balances for these employees from September 1, 2015 through August 31, 2016. Additionally, we noted that each department within GSBS had different procedures of Leave Request Form usage including departments where they either did not keep records of the HRM-64 Leave Request forms as required by UNTHSC Policy or HRM-64 Leave Request forms were not used.</p> <p>Recommendation for the Dean of GSBS and Senior Director of Controller Operations:</p> <p>2.1.a. Use and retain appropriate approved support documents for leave requests as required by UNTHSC policies and Institutional procedures.</p> | <p>The Graduate School of Biomedical Science (GSBS) will establish a committee consisting of key administrative staff (with prior experience with processing of HRM-64 Leave forms and faculty leaders (e.g., former Chairs and Institute Directors) to recommend a standard operating procedure by which supporting documentation for leave requests will be collected and archived, to be consistent with UNTHSC policies and procedures. These recommendations will be considered by the Dean of the GSBS, and in consultation with the Provost, adopted and disseminated to all faculty and staff within the GSBS. The Dean of the GSBS will communicate with all former staff whose responsibility included maintenance of HRM-64 forms to cross check that the information within the leave forms were accurately entered into EIS.</p> <p>Party responsible for implementation: Johnny He, Interim Dean of GSBS</p> <p>Expected Implementation Date: September 1, 2017 Revised Implementation Date: December 31, 2017</p> | <p>2 months past due</p> <p>Initial implementation date was not feasible due to restructuring within the School coupled with a shortage of staff. The School seems to be moving forward with plan to implement.</p> |

UNTHSC-Detail for Follow-up Activity

| Audit Information | Finding and Recommendation(s) | Management Response(s) | Status |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: Moderate</p> <p>Report Name: Graduate School of Biomedical Sciences Review</p> <p>Report Number: 17-401 HSC</p> <p>Component Institution: UNTHSC</p> <p>Department: Graduate School of Biomedical Sciences</p> <p>Brief Description of Audit: Internal Audit completed a financial review of UNTHSC's Graduate School of Biomedical Sciences (GSBS). This audit was performed at the request of UNTHSC Senior Management.</p> | <p>Inaccurate recording of leave of absences from the HRM-64 Leave Request forms to Enterprise Information System (EIS) PeopleSoft were identified for 4 out of 59 active employees resulting in incorrect leave accrual balances for these employees from September 1, 2015 through August 31, 2016. Additionally, we noted that each department within GSBS had different procedures of Leave Request Form usage including departments where they either did not keep records of the HRM-64 Leave Request forms as required by UNTHSC Policy or HRM-64 Leave Request forms were not used.</p> <p>Recommendations for the Dean of GSBS and Senior Director of Controller Operations:</p> <p>2.1.b. Review all current and terminated employees within GSBS from September 2015 - August 2016 to ensure the HRM-64 Leave forms are accurately entered into EIS.</p> | <p>The Dean of the GSBS will identify key staff members to review all current and terminated employees within the GSBS between September 2015 to August 2016 to ensure leave forms are accurately entered into EIS.</p> <p>Party responsible for implementation: Johnny He, Interim Dean of GSBS</p> <p>Expected Implementation Date: September 1, 2017 Revised Implementation Date: December 31, 2017</p> | <p>2 months past due</p> <p>Initial implementation date was not feasible due to restructuring within the School coupled with a shortage of staff. The School seems to be moving forward with plan to implement.</p> |

UNTHSC-Detail for Follow-up Activity

| Audit Information | Finding and Recommendation(s) | Management Response(s) | Status |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: High</p> <p>Report Name: Student Data Access Audit</p> <p>Report Number: 16-108 SYS</p> <p>Component Institution: UNTHSC</p> <p>Department: Student Data Access</p> <p>Brief Description of Audit: Securing student safety in all aspects is the most important responsibility of the University. With this as the basis, Internal Audit initiated an audit directed toward the security of student cell phone information and what could be done to limit the risk exposure.</p> | <p>75 unauthorized users had access to personal student data including cell phone numbers on February 3, 2017.</p> <p>Recommendation for UNTHSC Executive Director, Enrollment Services:</p> <p>1.2.e. Annually review access lists based on documented risk management decisions.</p> | <p>We agree. Responsible individual will work develop process to annually review user access to determine if access remains appropriate according to documented risk management decisions.</p> <p>Party responsible for implementation: A.J. Randolph, Registrar, UNTHSC</p> <p>Expected Implementation Date: September 1, 2017 Revised Implementation Date: November 15, 2017</p> | <p>2 months past due</p> <p>A new process has been created to annually review user access. The annual review is expected to take place by November 15, 2017. Internal Audit will continue to follow up on this item until the annual review has been completed.</p> |

UNT Dallas-Detail for Follow-up Activity

| Audit Information | Finding and Recommendation(s) | Management Response(s) | Status |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: High</p> <p>Report Name: Student Data Access Audit</p> <p>Report Number: 16-108 SYS</p> <p>Component Institution: UNT Dallas</p> <p>Department: Student Data Access</p> <p>Brief Description of Audit: Securing student safety in all aspects is the most important responsibility of the University. With this as the basis, Internal Audit initiated an audit directed toward the security of student cell phone information and what could be done to limit the risk exposure.</p> | <p>75 unauthorized users had access to personal student data including cell phone numbers on February 3, 2017.</p> <p>Recommendation for UNT Dallas, Registrar:</p> <p>1.3.e. Annually review access lists based on documented risk management decisions.</p> | <p>We agree. There will be an annual review of all UNT Dallas employees with EIS security to ensure access is accurate and appropriate and any foreseeable risk mitigated.</p> <p>Party responsible for implementation: Karen Jarrell, Registrar, UNT Dallas</p> <p>Expected Implementation Date: September 1, 2017 Revised Implementation Date: December 31, 2017</p> | <p>2 months past due</p> <p>A new process has been created to annually review user access. The annual review is expected to take place by December 31, 2017. Internal Audit will continue to follow up on this item until the annual review has been completed.</p> |

UNTS Enterprise Audit Report Inventory

Summary of UNTS Enterprise Audit Inventory



| | Fiscal Year 2018 | | | | Fiscal Year 2017 | | | | Fiscal Year 2016 | | | | Fiscal Year 2015 | | | |
|--------------------------------------|------------------|----------|----------|----------|------------------|------------|-----------|------------|------------------|------------|----------|------------|------------------|----------|----------|----------|
| | Recommendations | | | | Recommendations | | | | Recommendations | | | | Recommendations | | | |
| | # of Audits | Total | Open | Closed | # of Audits | Total | Open | Closed | # of Audits | Total | Open | Closed | # of Audits | Total | Open | Closed |
| UNTS | 0 | 0 | 0 | 0 | 7 | 49 | 14 | 35 | 5 | 31 | 0 | 31 | 0 | 0 | 0 | 0 |
| UNT | 0 | 0 | 0 | 0 | 7 | 68 | 36 | 32 | 9 | 63 | 0 | 63 | 0 | 0 | 0 | 0 |
| UNTHSC | 0 | 0 | 0 | 0 | 6 | 65 | 34 | 31 | 4 | 47 | 0 | 47 | 0 | 0 | 0 | 0 |
| UNTD | 0 | 0 | 0 | 0 | 1 | 8 | 1 | 7 | 2 | 41 | 0 | 41 | 0 | 0 | 0 | 0 |
| Total Internal Audit Activity | 0 | 0 | 0 | 0 | 21 | 190 | 85 | 105 | 20 | 182 | 0 | 182 | 0 | 0 | 0 | 0 |

| | Fiscal Year 2018 | | | | Fiscal Year 2017 | | | | Fiscal Year 2016 | | | | Fiscal Year 2015 | | | |
|------------------------------------------|------------------|----------|----------|----------|------------------|------------|-----------------|------------|------------------|------------|----------------|------------|------------------|----------|----------------|----------|
| | Recommendations | | | | Recommendations | | | | Recommendations | | | | Recommendations | | | |
| | # of Audits | Total | Open | Closed | # of Audits | Total | Open | Closed | # of Audits | Total | Open | Closed | # of Audits | Total | Open | Closed |
| Conducted by UNTS Component Institutions | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 |
| Audits of Sponsored Research Activity | 0 | 0 | 0 | 0 | 3 | 7 | 0 | 7 | 4 | 9 | 0 | 9 | 0 | 0 | 0 | 0 |
| State Auditor's Office | 0 | 0 | 0 | 0 | 1 | 5 | 0 | 5 | 3 | 7 | 0 | 7 | 0 | 0 | 0 | 0 |
| Other External Agencies | 0 | 0 | 0 | 0 | 19 | 314 | 40 ³ | 274 | 20 | 278 | 6 ² | 272 | 1 | 1 | 1 ¹ | 0 |
| Total Other Audit Agencies | 0 | 0 | 0 | 0 | 23 | 326 | 40 | 286 | 28 | 295 | 6 | 289 | 1 | 1 | 1 | 0 |

¹ Texas Comptroller of Public Accounts Post-Payment Audit: Recommendation - System should verify months of service data for its employees and enhance its internal controls to prevent incorrect longevity payment amounts. In addition, the System should verify all personnel files and ensure that prior state service is properly verified and documented for its employees.

² Comprised of the following: 5 State Office of Risk Management recommendations; 1 State Fire Marshal's Office recommendation.

³ Comprised of the following: 1 State Office of Risk Management recommendation; 27 State Fire Marshal's Office recommendations; 12 Ventilation Assessment recommendations.

Questions?

FY17 Annual Recap of Internal Audit Activities

FY17 Annual Audit Plan Status

- **95% of the FY17 Plan Completed (Includes Issued, Final Draft Report, Draft Report and Value-Added Management Services)**
 - 42 Audit Projects Total on FY17 Plan - 25 Originally Allocated Audits and 17 Unallocated Audits
 - 35 Audits and Value-Added Management Services Completed
 - **20 Audit Reports on the FY17 Plan Issued** (Chancellor's Expenditure Review; UNT President's Expenditure Review; UNTHSC President's Expenditure Review; UNT Dallas President's Expenditure Review; Joint Admissions Medical Program; School of Public Health; Investments; THECB Plaza Family Medical Residency Program; College of Visual Arts and Design Transition Audit; Management Administrative Review; THECB Faculty Development Grant; Cancer Prevention and Research Institute of Texas; Conflict of Interest; Status Report - Senate Bill 20 Mandated Internal Audit Assessment; Texas College of Osteopathic Medicine Financial Review; Graduate School of Biomedical Sciences; Behavioral Analysis PhD Program Review; Retirement Contributions; International Student Sponsorships Review; Residence Hall-Student Safety Practices)
 - **11 Audit Reports In Final Draft Report Status** (Student-Managed Investment Fund; Faculty and Staff International Travel; Minor Capital Projects; Community Counseling Clinic Transition Audit; Financial Review of UNTHSC Reserves; Scholarships Review; College of Music Transition Audit; Lifelong Learning & Professional Development Business Process Audit; Classroom Support Services; UNT Dallas Registrar's Office Business Process; Graduate School of Biomedical Sciences 70/30 Rule)
 - **1 Audit Report In Draft Report Development Status** (Graduate Medical Education)
 - **3 Value-Added Management Services** (Health Care Data Security; Resource Protection Program Review; Inventory Control Review)
 - **2 Audit Projects In-Process** (UNT Enrollment Audit; IT Risk Assessment)
 - **5 Audit Reports on the FY16 Audit Plan Issued in FY17** (Cancer Prevention and Research Institute of Texas; Benefits Proportionality; National Science Foundation; IT Privacy and Data Protection; IT Change Management)

- **3 Audits Cancelled** (Revenue Cycle-Billing; Virtual Server Environments; Vulnerability Scanning & Penetration Testing Review)
- **2 Audits Deferred to FY18** (Student Safety Awareness and Training-Lab Safety; NCAA Compliance)

Strategic Plan Objectives & Staff Professionalism

- Customer Service Metrics
 - Post Audit Survey
 - Survey sent to 70 persons correlated to 17 audits in FY17
 - 23 responses returned
 - For our first year, we monitored 4 questions that we felt were most impactful to assist in developing our level of service
 1. All appropriate areas of risk, including your specific concerns, were thoroughly considered.
 2. Internal Audit staff fostered a relationship of cooperation and were responsive to your questions or concerns.
 3. Internal Audit findings and recommendations were constructive, accurate, mutually agreed upon and addressed the root cause of the problems.
 4. The Audit enhanced your awareness of business risks and controls and added value to the department/organization.
 - Based on the responses for those 4 questions, we received 33 Strongly Agree, 46 Agree, 6 Disagree, 0 Strongly Disagree ratings. CAE followed-up on all 6 of the Disagrees and new processes are in place.
 - Starting with FY18, we will seek more quantitative information on the surveys
- Staff Professional Certifications Earned in FY17
 - 5 professional certifications earned
 - One staff member obtained both the CPA and CFE
 - One staff member obtained both the CIA and CISA
 - One staff member obtained the CISM

Value-Added Management Services

- Met with Senior Leadership quarterly to discuss audit results & identified risk activities
- Served on various workgroups, committees including the following;
 - PCI DSS Compliance Process Review;
 - PeopleSoft Grants, Billing and Account Receivable;
 - NextGen Migration Advisory Services;
 - Information Security/Privacy Committee;
 - Technical Architecture Group;
 - Committee on Student Conduct;
 - Clinical Billing, Coding and Revenue Cycle Compliance Committee;
 - Compliance Committees; and
 - Office of Sponsored Research Committee for UNT Dallas
- Facilitated co-source opportunity with PricewaterhouseCoopers (PwC) for the IT Risk Assessment

- Quality Assurance Review (August 2017)
- Employment of two Student Interns at the Denton IA Office
- Staffed booth & distributed information at campus events (Mean Green Fling and Homecoming)

University of North Texas System Internal Audit FY17 Annual Audit Plan



| Source | Functional Area | | Title of Audit | Status | Presented at Audit Committee | Component Institution | | | | Identified Risk |
|------------------------------------------------------|------------------------|---|---------------------------------------------------------------------------------|--------------------|------------------------------|-----------------------|-----|--------|------------|----------------------------------------------------------------------------------------|
| | | | | | | UNTS | UNT | UNTHSC | UNT Dallas | |
| FY16 Audits Issued in FY17: | | | | | | | | | | |
| REQ-REG AGENCY | COMPLIANCE | | FY15 Cancer Prevention and Research Institute of Texas (CPRIT) (co-sourced BKD) | Issued | November 2016 | | X | X | | Compliance; Reputational; Financial; Operational; Regulatory |
| REQ-REG AGENCY | COMPLIANCE | | Benefits Proportionality (co-sourced PwC) | Issued | November 2016 | | X | X | X | Reputational; Financial; Operational |
| INTERNAL AUDIT | COMPLIANCE | | Selected Grants Review - National Science Foundation | Issued | November 2016 | | X | | | Compliance |
| MGMT REQUEST | FINANCIAL | | Toulouse Graduate School | Issued | November 2016 | | X | | | Compliance with Policy; Operational |
| MGMT REQUEST | FINANCIAL | | Athletics Department - Business Process Review | Issued | November 2016 | | X | | | Compliance with Policy; Operational |
| INTERNAL AUDIT | INFORMATION TECHNOLOGY | | IT Privacy and Data Protection | Issued | November 2016 | X | X | | X | Data Security; Sensitive and Confidential Information |
| INTERNAL AUDIT | COMPLIANCE | | Endowments (co-sourced PwC) | Issued | February 2017 | | X | | | Compliance; Reputational; Financial; Operational |
| INTERNAL AUDIT | INFORMATION TECHNOLOGY | | IT Change Management | Issued | February 2017 | | X | X | | Compliance; Financial; Operational |
| INTERNAL AUDIT | INFORMATION TECHNOLOGY | | Student Data Access | Issued | August 2017 | X | | | | Reputational; Operational |
| FY17 Hours Allocated for FY17 Audit Projects: | | | | | | | | | | |
| REQ-REG AGENCY | COMPLIANCE | | Student-Managed Investment Fund (SMIF) (co-sourced PwC) | Final Draft Report | November 2017 | | X | | | Compliance; Reputational; Financial; Operational |
| INTERNAL AUDIT | FINANCIAL | U | Faculty and Staff International Travel | Final Draft Report | November 2017 | | X | | | Compliance; Operational; Reputational |
| INTERNAL AUDIT | FINANCIAL | | Minor Capital Projects | Final Draft Report | November 2017 | | X | | | Financial; Operational; Contract Compliance; Economical and Efficient Use of Resources |

University of North Texas System Internal Audit FY17 Annual Audit Plan (pg. 2)



| Source | Functional Area | | Title of Audit | Status | Presented at Audit Committee | Component Institution | | | | Identified Risk |
|----------------------|------------------------------|---|----------------------------------------------------------------------------|--------------------|------------------------------|-----------------------|-----|--------|------------|--------------------------------------------------------------------------------------|
| | | | | | | UNTS | UNT | UNTHSC | UNT Dallas | |
| MGMT REQUEST | FINANCIAL | U | Community Counseling Clinic Transition Audit | Final Draft Report | November 2017 | | | | X | Compliance; Reputational; Financial; Operational; Regulatory |
| MGMT REQUEST | FINANCIAL | | Financial Review of UNTHSC Reserves (co-sourced PwC) | Final Draft Report | November 2017 | | | X | | Financial; Operational; Reputational |
| INTERNAL AUDIT | FINANCIAL | U | Scholarships Review (outsourced-PwC) | Final Draft Report | November 2017 | | | | X | Compliance; Reputational; Operational |
| MGMT REQUEST | FINANCIAL | U | College of Music Transition Audit | Final Draft Report | November 2017 | | X | | | Compliance; Reputational; Financial; Operational; Regulatory |
| MGMT REQUEST | FINANCIAL | U | Lifelong Learning & Professional Development (LLPD) Business Process Audit | Final Draft Report | November 2017 | | X | | | Compliance; Reputational; Financial; Operational; Regulatory |
| MGMT REQUEST | INFORMATION TECHNOLOGY | U | Classroom Support Services | Final Draft Report | November 2017 | | X | | | Compliance; Operational; Reputational |
| MGMT REQUEST | MANAGEMENT ADVISORY SERVICES | | Registrar's Office Business Process | Final Draft Report | November 2017 | | | | X | Reputational; Operational; Compliance with Policies |
| MGMT REQUEST | MANAGEMENT ADVISORY SERVICES | U | Graduate School of Biomedical Sciences 70/30 Rule | IA Work Completed | November 2017 | | | X | | Financial; Operational; Reputational |
| MGMT REQUEST | MANAGEMENT ADVISORY SERVICES | U | Graduate Medical Education | Draft Report Dev | Estimated February 2018 | | | X | | Financial; Operational; Reputational |
| MGMT REQUEST | ACADEMIC | | Enrollment Audit (co-sourced PwC) | In-Process | Estimated February 2018 | | X | X | X | Student Safety; Financial; Operational |
| INTERNAL AUDIT | INFORMATION TECHNOLOGY | U | IT Risk Assessment (co-sourced PwC) | In-Process | Estimated February 2018 | X | | | | Information Technology Security; Operational; Compliance with Policies; Reputational |
| REQ-BOARD OF REGENTS | COMPLIANCE | | Chancellor's/President's Expenditure Reviews | Issued | November 2016 | X | X | X | X | Compliance; Reputational; Financial; Operational |
| REQ-REG AGENCY | COMPLIANCE | | Joint Admissions Medical Program (JAMP) | Issued | November 2016 | | X | | | Compliance; Reputational; Financial; Operational; Regulatory |
| MGMT REQUEST | FINANCIAL | U | School of Public Health | Issued | November 2016 | | | X | | Financial; Operational |

University of North Texas System Internal Audit FY17 Annual Audit Plan (pg. 3)



| Source | Functional Area | | Title of Audit | Status | Presented at Audit Committee | Component Institution | | | | Identified Risk |
|------------------------------------------|-----------------|---|----------------------------------------------------------------------------|--------|------------------------------|-----------------------|-----|--------|------------|-----------------------------------------------------------------------|
| | | | | | | UNTS | UNT | UNTHSC | UNT Dallas | |
| INTERNAL AUDIT | INTEGRITY UNIT | | (10) Investigations - (5 formal reports, 5 case reports) | Issued | November 2016 | | X | X | | Personnel Issues |
| REQ-REGENT RULES AND ENTERPRISE POLICIES | COMPLIANCE | | Investments | Issued | February 2017 | X | X | X | X | Compliance; Reputational; Financial; Operational |
| REQ-REG AGENCY | COMPLIANCE | | THECB Plaza Medical Center Family Medicine Residency Program (FRMP) | Issued | February 2017 | | | X | | Compliance; Reputational; Financial; Operational; Regulatory |
| MGMT REQUEST | FINANCIAL | U | College of Visual Arts and Design Transition Audit | Issued | February 2017 | | X | | | Financial; Operational; Regulatory |
| MGMT REQUEST | FINANCIAL | | Management Administrative Review | Issued | February 2017 | | | | X | Financial; Operational; Regulatory |
| INTERNAL AUDIT | INTEGRITY UNIT | | (11) Investigations - (5 formal reports, 6 case reports) | Issued | February 2017 | | X | | | Personnel Issues; Cash Loss; Management Oversight |
| INTERNAL AUDIT | COMPLIANCE | U | THECB Faculty Development Grant (outsourced-PwC) | Issued | May 2017 | | | X | | Compliance; Financial; Operational; Reputational |
| INTERNAL AUDIT | INTEGRITY UNIT | | (9) Investigations - (3 formal reports, 6 case reports) | Issued | May 2017 | | X | | | Management Oversight; Reputational |
| REQ-REG AGENCY | COMPLIANCE | | Cancer Prevention and Research Institute of Texas (CPRIT) (outsourced-BKD) | Issued | August 2017 | | | X | | Compliance; Reputational; Financial; Operational; Regulatory |
| REQ-REG AGENCY | COMPLIANCE | | Conflict of Interest | Issued | August 2017 | X | | | | Contract Compliance; Reputational; Financial; Operational; Regulatory |
| REQ-REG AGENCY | COMPLIANCE | | Status Report - Senate Bill 20 Mandated Internal Audit Assessment | Issued | August 2017 | X | X | X | X | Compliance; Reputational; Financial; Operational; Regulatory |
| MGMT REQUEST | FINANCIAL | U | Texas College of Osteopathic Medicine Financial Review (TCOM) | Issued | August 2017 | | | X | | Financial; Operational |
| MGMT REQUEST | FINANCIAL | U | Graduate School of Biomedical Sciences (GSBS) | Issued | August 2017 | | | X | | Financial; Operational |

University of North Texas System Internal Audit FY17 Annual Audit Plan (pg. 4)



| Source | Functional Area | | Title of Audit | Status | Presented at Audit Committee | Component Institution | | | | Identified Risk |
|----------------|------------------------|---|------------------------------------------------------|------------------------------------|------------------------------|-----------------------|-----|--------|------------|----------------------------------------------------------------------------------------------------------------------------------|
| | | | | | | UNTS | UNT | UNTHSC | UNT Dallas | |
| MGMT REQUEST | FINANCIAL | U | Behavioral Analysis PhD Program Review | Issued | August 2017 | | X | | | Financial; Operational; Regulatory |
| MGMT REQUEST | FINANCIAL | U | Retirement Contributions | Issued | August 2017 | X | | | | Compliance; Reputational; Financial; Operational; Regulatory |
| MGMT REQUEST | FINANCIAL | U | International Student Sponsorships Review | Issued | August 2017 | | X | | | Compliance; Reputational; Financial; Operational; Regulatory |
| MGMT REQUEST | STUDENTS | | Residence Hall - Student Safety Practices | Issued | August 2017 | | X | | | Student Safety; Operational; Compliance with Policies; Reputational |
| INTERNAL AUDIT | INTEGRITY UNIT | | (1) Investigation (1 case report) | Issued | August 2017 | | | | | |
| MGMT REQUEST | INFORMATION TECHNOLOGY | | Health Care Data Security - assigned to a work group | Value Added Resource to Management | | | | X | | Information Technology Security; Safeguarding of Assets; Operational; Compliance with Policies; Reputational |
| INTERNAL AUDIT | INTEGRITY UNIT | | Resource Protection Program Review | Value Added Resource to Management | | | X | X | X | Reputational; Asset Misappropriation; Physical Safety |
| INTERNAL AUDIT | INTEGRITY UNIT | | Inventory Control Review | Value Added Resource to Management | | | X | X | X | Reputational; Asset Misappropriation; Compliance with Policies; Financial; Economical and Efficient Use of Resources |
| MGMT REQUEST | FINANCIAL | | Revenue Cycle-Billing Cycle | Cancelled | | | | X | | Financial; Operational; Reputational |
| INTERNAL AUDIT | INFORMATION TECHNOLOGY | | Virtual Server Environments | Cancelled | | X | | | | Information Technology Security; Safeguarding of Assets; Operational; Compliance with Policies; Reputational |
| INTERNAL AUDIT | INFORMATION TECHNOLOGY | | Vulnerability Scanning & Penetration Testing Review | Cancelled | | X | | | | Information Technology Security; IT System Network Authorization and Access; Operational; Compliance with Policies; Reputational |
| MGMT REQUEST | STUDENTS | | Student Awareness and Training - Lab Safety | Defer to FY18 | | | X | X | X | Student Safety; Operational; Compliance with Policies; Reputational |
| MGMT REQUEST | NCAA | | NCAA Compliance | Defer to FY18 | | | X | | | Regulatory; Reputational; Compliance with Policies; Operational |

UNT System-Wide Compliance and Integrity Program Regulation

| Component | Responsibility | Status |
|-----------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| Policies Related to Ethics and Standards of Conduct | Adopt and maintain policies essential to establishing a culture of compliance through the detection and prevention of criminal activity, and that promote integrity, ethical behavior, and compliance with federal and state laws, Regents Rules, Institution policies and standards adopted by applicable accrediting bodies. | Employee Ethics and Standards of Conduct Policy is in place at all campuses |

| Component | Responsibility | Status |
|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| Chief Compliance Officer | Institutions shall designate an individual to have full-time, day-to-day operational responsibility for the Compliance and Integrity Program. | Chief Compliance Officers are currently employed |
| Background Checks | Institutions shall require criminal history background checks for all positions with financial or substantial discretionary authority, with proximity to minors, or in security sensitive positions upon hiring or upon assumption of materially different responsibilities. | Campuses utilize a third-party vendor for background checks |

| Component | Responsibility | Status |
|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mandatory Education and Training | The Compliance and Integrity Program will regularly communicate compliance standards and procedures to all employees by requiring participation in compliance education and training | The campuses provide core compliance training to faculty and staff via web-based and lecture formats and core compliance training is being formalized for presentation to faculty and staff using the new Learning Management System |

| Component | Responsibility | Status |
|------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Reporting System | The Compliance and Integrity Program shall administer and publicize a system for reporting suspected misconduct. The reporting system shall operate in coordination with the Compact with Texas. | Campuses currently utilize a reporting system that includes a web portal and phone hotline Additionally, employees and students can confidentially request a meeting with the Chief Compliance Officer if they choose |



| Component | Responsibility | Status |
|----------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| <p>Reviewing and Investigating Reports of Misconduct</p> | <p>The Compliance and Integrity Program will regularly communicate compliance standards and procedures to all employees by requiring participation in compliance education and training</p> | <p>All reporting system reports of suspected misconduct are investigated by Institutional Compliance</p> |

| Component | Responsibility | Status |
|-----------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| Confidentiality and Protection of Information | Compliance and Integrity Programs shall be designed to protect against the disclosure of information and establish criteria for the lawful disclosure of information in accordance with this section. | By statute, the campuses may protect confidential information from disclosure during a compliance investigation |

| Component | Responsibility | Status |
|--------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|
| Compliance Assessment and Monitoring | Each Chief Compliance Officer shall regularly assess and monitor the Institution's policies and practices related to detecting and preventing potential or actual misconduct and for evaluating the overall effectiveness of the program | Responsibility for updates, monitoring and adherence of policies comes directly from the Chief Compliance Officer |

| Component | Responsibility | Status |
|------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| Review of Compliance Program | Each Institution shall evaluate the effectiveness of its Compliance and Integrity Program after each finding of misconduct and on a periodic basis. | Institutional Compliance continues to engage the Office of Internal Audit when issues of wrongdoing are investigated and concluded |

Compliance Governance



System Executive Compliance
Committee

Institution Executive
Compliance Committee

Compliance
Workgroup

Enforcement and Corrective Action

- Investigations of misconduct are reviewed and discussed with the Campus President and others as deemed appropriate.
- The Chief Compliance Officer documents and discusses recommendations for corrective action based on violation of policy(ies).
- A consensus for corrective action is implemented and executed by the appropriate personnel.
- Issues may be discussed with the Office of General Counsel as needed for legal sufficiency and advice.

False Reporting

All employees must adhere to the Reporting Suspected Wrongdoing Policy. Failure to adhere can result in disciplinary action, including termination of employment, termination of authority to act on behalf of the Institution, or termination of business relationship or affiliation.

UNT System-Wide Compliance and Integrity Program Regulation

Compliance and Integrity Program Regulation *Essentials*

The objective of this regulation is to establish the structure for an institutional or organizational compliance program that is based on the eight elements of an effective compliance program set out in the U.S. Sentencing Commission Guidelines:

1. Compliance standards and procedures reasonably capable of reducing the prospect of criminal activity;
2. Oversight by high-level personnel;
3. Due care in delegating substantial discretionary authority;
4. Effective communication to all levels of employees;
5. Reasonable steps to achieve compliance, which include systems for monitoring, auditing and reporting suspected wrongdoing without fear of reprisal;
6. Consistent enforcement of compliance standards including disciplinary mechanisms;
7. Reasonable steps to respond to and prevent further similar offenses upon detection of a violation; and
8. Organizations promote an organizational culture that encourages a commitment to compliance and the law.

These are compliance “must haves.”

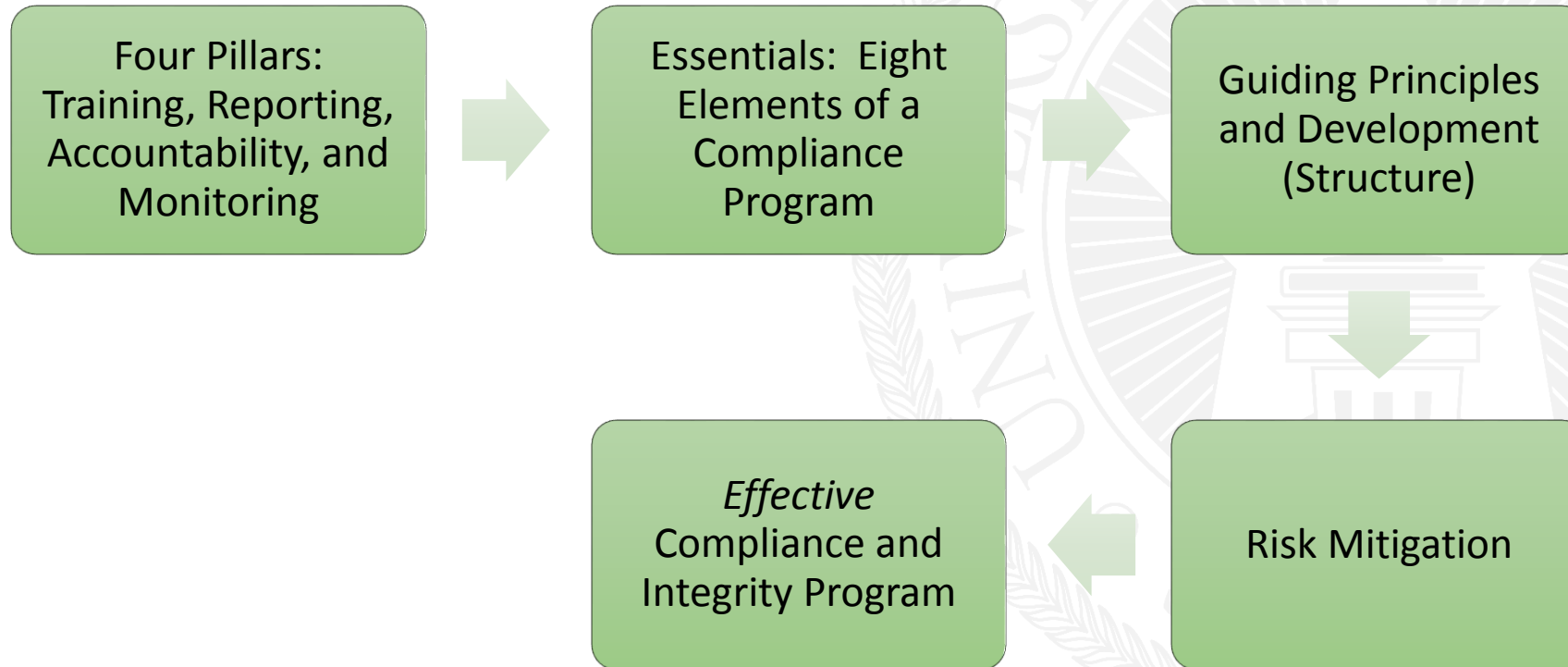
Compliance and Integrity Program Regulation

Guiding Principles and Development

- Policies Related to Ethics and Standards of Conduct
- Chief Compliance Officer (CCO)
- Background Checks
- Mandatory Education and Training
- Reporting System
- Informing the CCO and Appropriate Official of Compliance Concerns
- Reviewing and Investigating Reports of Misconduct
- Protection against Unlawful Retaliation
- Confidentiality and Protection of Information
- Compliance Assessment and Monitoring
- Review of the Compliance Program

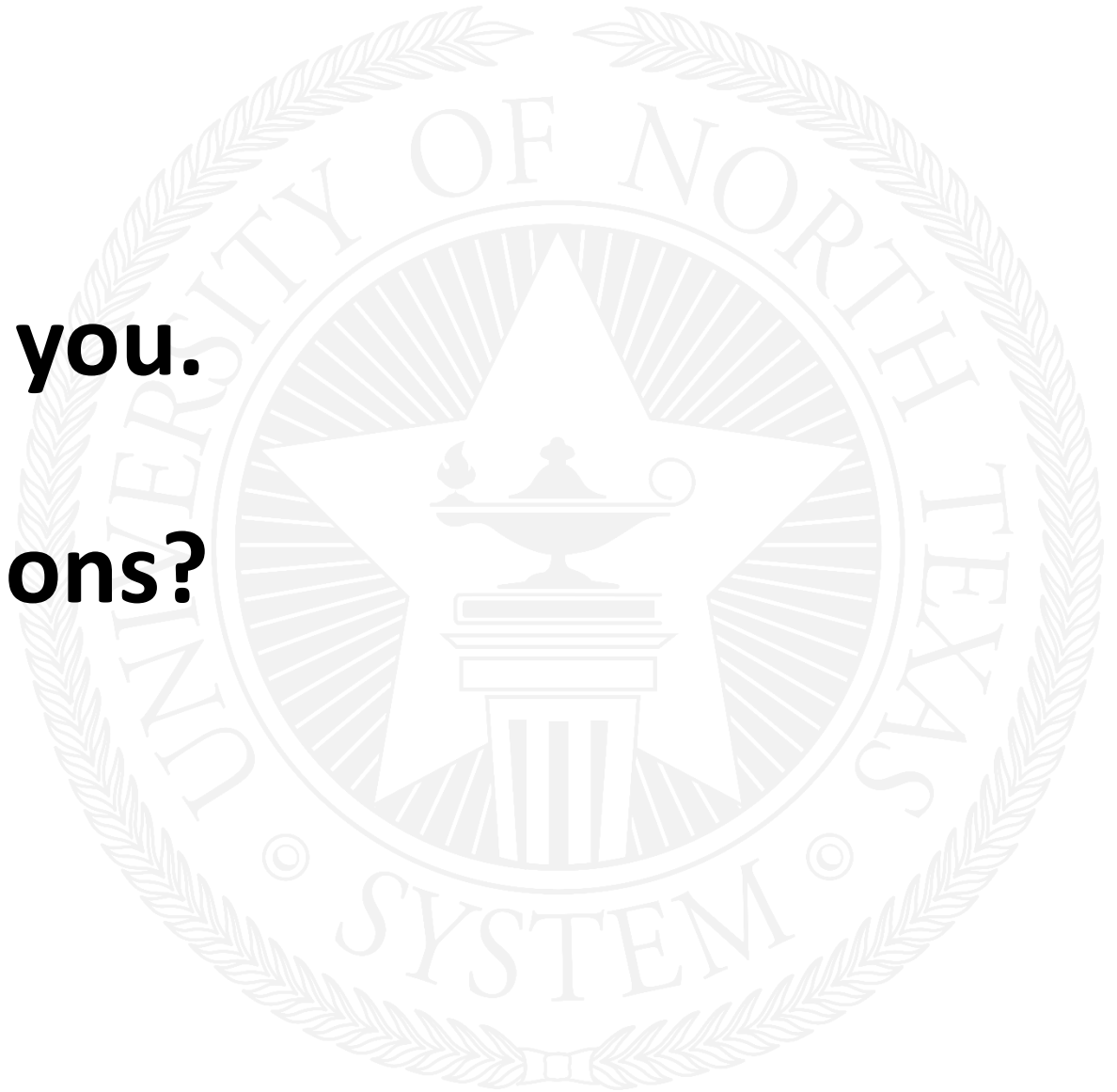
These lead to an *Effective* Compliance and Integrity Program

Compliance and Integrity Program Regulation



Thank you.

Questions?



UNT System-Wide High-Risk Update



UNT System-Wide High-Risk Update

UNTHSC:

1. Billing and Coding in Compliance: This risk is rated as High Impact and Probability.
2. HR Outdated Policies: This risk is rated as High Impact and Probability.
3. Unauthorized Access to Protected Data/HIPAA: This risk continues to be rated as High Impact and Medium Probability.
4. Time and Effort Reporting: Upgraded from Low Impact and Probability to High Impact and Medium Probability.
5. Distance Education Compliance: This risk is rated as High Impact and Medium Probability.
6. Non-Compliance with CMS 1115 Waiver Requirements: This risk is rated as High Impact and Medium Probability.
7. Title IX Compliance: This risk continues to be rated as High Impact and Low Probability.



UNT System-Wide High-Risk Update

UNT:

1. Protecting Minors Participating in UNT Related Activities: Upgraded from Medium Impact and Probability to High Impact and Probability.
2. Electronic Information Mis-Handling: Upgraded from Medium Impact and Probability to High Impact and Probability.
3. Hazardous Waste Management: New risk rated as Medium Impact and High Probability - This risk was created from the prior risk "Environmental Compliance." An additional risk "Waste Water Management" was also created from this risk.
4. Time and Effort Reporting: Upgraded from Low Impact and Probability to High Impact and Medium Probability.
5. Grants Management: Upgraded from Medium Impact and Probability to High Impact and Medium Probability.
6. Research Conflict of Interest: Upgraded from Medium Impact and Probability to High Impact and Medium Probability.
7. Required Compliance Related Training: Upgraded from Medium Impact and Probability to Medium Impact High Probability.



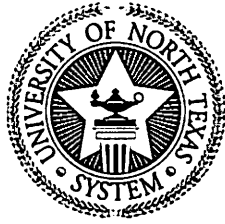
UNT System, UNT, UNT Dallas High-Risk Update

UNT System:

1. Electronic Information Mis-Handling: Upgraded from Medium Impact and Probability to High Impact and Probability.
2. Required Compliance Related Training: Upgraded from Medium Impact and Probability to Medium Impact High Probability.

UNT Dallas:

1. Protecting Minors Participating in UNT Dallas Related Activities: Upgraded from Medium Impact and Probability to High Impact and Probability.
2. Electronic Information Mis-Handling: Upgraded from Medium Impact and Probability to High Impact and Probability.
3. Grants Management: Upgraded from Medium Impact and Probability to High Impact and Medium Probability.
4. Required Compliance Related Training: Upgraded from Medium Impact and Probability to Medium Impact High Probability.



MINUTES
BOARD OF REGENTS
Finance and Facilities Committee
August 17-18, 2017

Thursday, August 17, 2017

The Finance and Facilities Committee of the Board of Regents of the University of North Texas System convened on Thursday, August 17, 2017 in Room 109-111 of the Medical Education and Training (MET) Building, University of North Texas Health Science Center, 1000 Montgomery St., Fort Worth, Texas with the following committee members in attendance: Regents Don Potts, Rusty Reid, Glen Whitley, and Laura Wright.

There being a quorum present, the meeting was called to order by Committee Chairman, Regent Rusty Reid, at 1:58 p.m.

The minutes of the May 18-19, 2017 Finance and Facilities Committee meeting was approved on a 4-0 vote following a motion by Regent Laura Wright seconded by Regent Glen Whitley.

The Committee received a presentation on **Optional Retirement Program (ORP) Improvements** given by Christopher DeClerk, UNT System Assistant Vice Chancellor for Total Rewards, and Barry Schmitt and John Pickett, Senior Vice Presidents and Financial Advisors with CAPTRUST.

James Mauldin, UNTS Associate Vice Chancellor for Treasury and Reporting, briefed the Committee on the **Consolidated Financial Ratios and Debt Measures**.

UNT Vice Chancellor for Finance Janet Waldron briefed the Committee on the **Quarterly Operations Report and Budget to Actual Review**.

The Committee then discussed the proposed **FY18 UNT System budgets**.

12. UNTS Approval of the FY18 UNT System Consolidated Budget

Chancellor Jackson presented the FY18 UNT System Administration Operating Budget. The Presidents presented highlights of each of their component FY18 Operating Budgets. Finally, Associate Vice Chancellor Paige Smith presented the UNT System Consolidated Operating Budget. After some discussion, it was decided to postpone action on the budgets until the following day.

**13. UNTS Approval of the UNTS FY18 Capital Improvement Plan
and Amending the FY17 Capital Improvement Plan**

Pursuant to a motion by Regent Don Potts and seconded by Regent Glen Whitley, the Committee approved the above item on a 4-0 vote.

There being no further business, the Finance and Facilities Committee meeting recessed at 4:45 p.m. until Friday, August 18 at approximately 9:15 a.m.

Friday, August 18, 2017

The Finance and Facilities Committee of the Board of Regents of the University of North Texas System convened on Thursday, August 17, 2017 in Room 109-111 of the Medical Education and Training (MET) Building, University of North Texas Health Science Center, 1000 Montgomery St., Fort Worth, Texas with the following committee members in attendance: Regents Don Potts, Rusty Reid, Glen Whitley, and Laura Wright.

There being a quorum present the meeting was called to order by Committee Chair Reid at 9:06 a.m.

Chair Reid noted that the Committee had seven action items to consider plus the budget item that was held over from the previous day. The Committee decided to act on the budget first

12. UNTS Approval of the FY18 UNT System Consolidated Budget

Regent Laura Wright made the motion to approve the budget with an addendum that was incorporated into the motion. Regent Don Potts seconded the motion. The Committee approved the above item on a 4-0 vote.

The next three action items were presented by James Mauldin.

**14. UNTS Resolution Declaring Intention to Reimburse Certain
Expenditures with Proceeds from Debt**

Pursuant to a motion by Regent Glen Whitley and seconded by Regent Don Potts, the Committee approved the above item on a 4-0 vote.

**15. UNTS Twenty-Sixth Supplemental Resolution to the Master
Resolution Authorizing the Issuance, Sale, and Delivery of
Board of Regents of the University of North Texas System
Revenue Financing System Bonds, in One or More Series;
and Approving and Authorizing Instruments and
Procedures Relating Thereto**

Pursuant to a motion by Regent Don Potts and seconded by Regent Glen Laura Wright, the Committee approved the above item on a 4-0 vote.

16. UNTS **Amendment to Regents Rule 10.300, *Debt Management***

Pursuant to a motion by Regent Laura Wright and seconded by Regent Glen Whitley, the Committee approved the above item on a 4-0 vote.

Steve Hill, UNT Director, Business Development, Institutional Compliance, presented the next action item.

17. UNTS **FY17 Identity Theft Prevention Programs for UNTS, UNT, UNTHSC, and UNTD**

Pursuant to a motion by Regent Glenn Whitley and seconded by Regent Don Potts, the Committee approved the above item on a 4-0 vote.

The next action item related to a UNT electric utility planning and was presented by Vice Chancellor James Maguire.

18. UNTS **Authorization of UNT Electric Utility Planning**

Pursuant to a motion by Regent Laura Wright and seconded by Regent Glen Whitley, the Committee approved the above item on a 4-0 vote.

The next action item was presented by UNT President Neal Smatresk.

19. UNT **Delegation of Authority to Execute an Extension of UNT's Agreement with the Texas Department of Aging and Disability Services on behalf of the Denton State Supported Living Center for Psychology and Behavioral Services**

Pursuant to a motion by Regent Glen Whitley and seconded by Regent Don Potts, the Committee approved the above item on a 4-0 vote.

Greg Anderson, UNTHSC Chief Financial Officer, presented the last action item for the Committee's consideration.

20. UNTHSC **Delegation of Authority to the Chancellor for Approval of UNTHSC Intergovernmental Transfers (IGT) of Funds for FY 2018 in the Texas Healthcare Transformation and Quality Improvement Program (1115 Transformation Waiver)**

Pursuant to a motion by Regent Glen Whitley and seconded by Regent Laura Wright, the Committee approved the above item on a 4-0 vote.

There being no further business, the Committee meeting adjourned at 9:30 a.m.

Submitted By:

Rosemary R. Haggett
Rosemary R. Haggett
Board Secretary

Date: Aug 28, 2017

FINANCIAL REVIEW AND MARKET OUTLOOK

UNTHSC
FOUNDATION

November 16, 2017

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We are giving you this report at your request and for your information. It is not an official account record.

Please read the important disclosures at the end of this report. This report could cover some or all of your J.P. Morgan accounts and even some assets held elsewhere. See the "Assets Covered by This Report" section in the important disclosures at the end of the report.

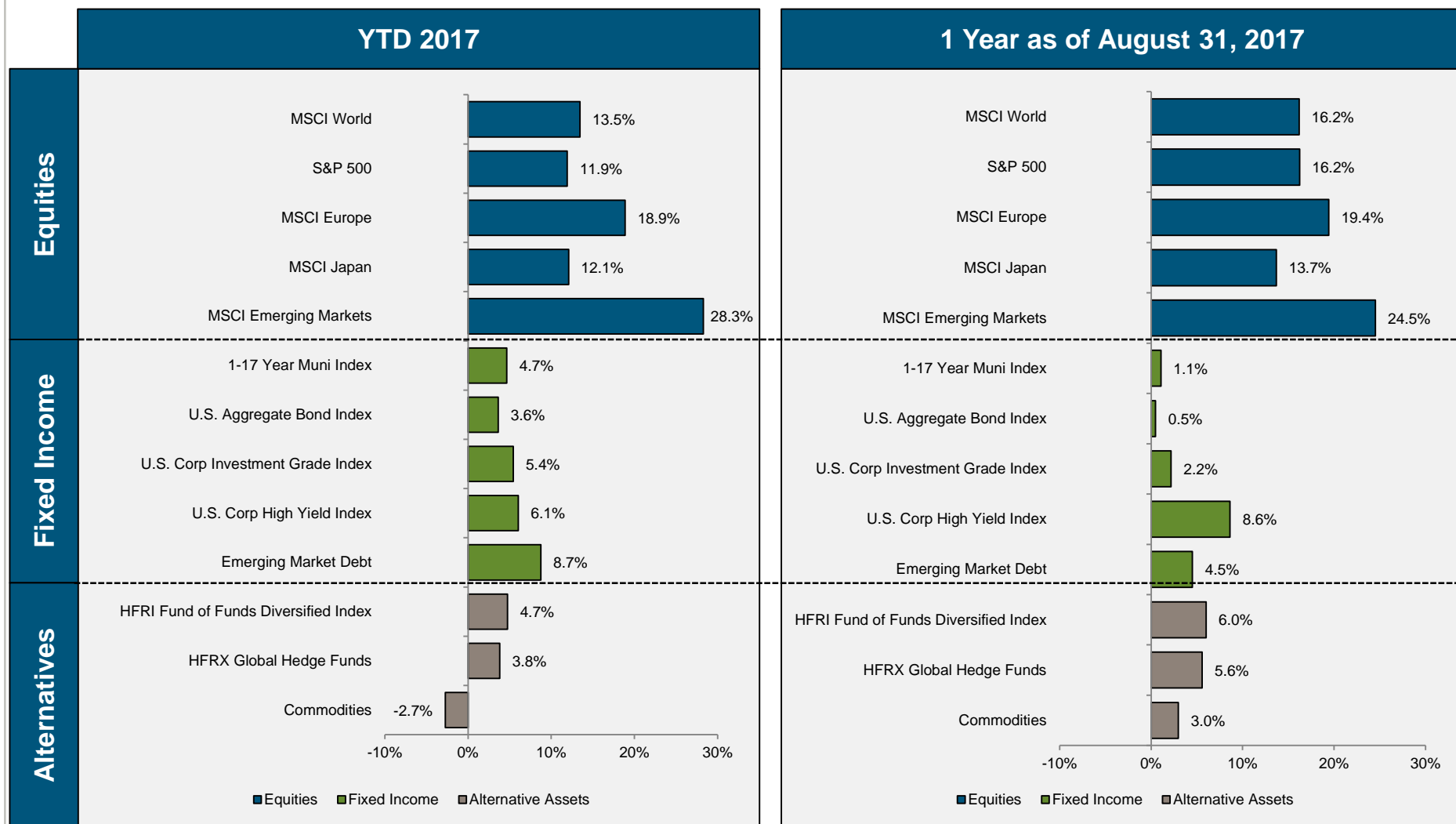
If you have questions about this report or your accounts, please contact your J.P. Morgan representative.

INVESTMENT PRODUCTS ARE: • NOT FDIC INSURED • NOT A DEPOSIT OR OTHER OBLIGATION OF, OR GUARANTEED BY, JPMORGAN CHASE BANK, N.A. OR ANY OF ITS AFFILIATES • SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED

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Recent Market Returns



Source: Bloomberg, 8/31/2017. All fixed income indices are maintained by Barclays Capital. All returns shown in USD. HFRF estimates are currently unavailable.

Outlooks and past performance are not reliable indicators of future results. It is not possible to invest directly in an index.

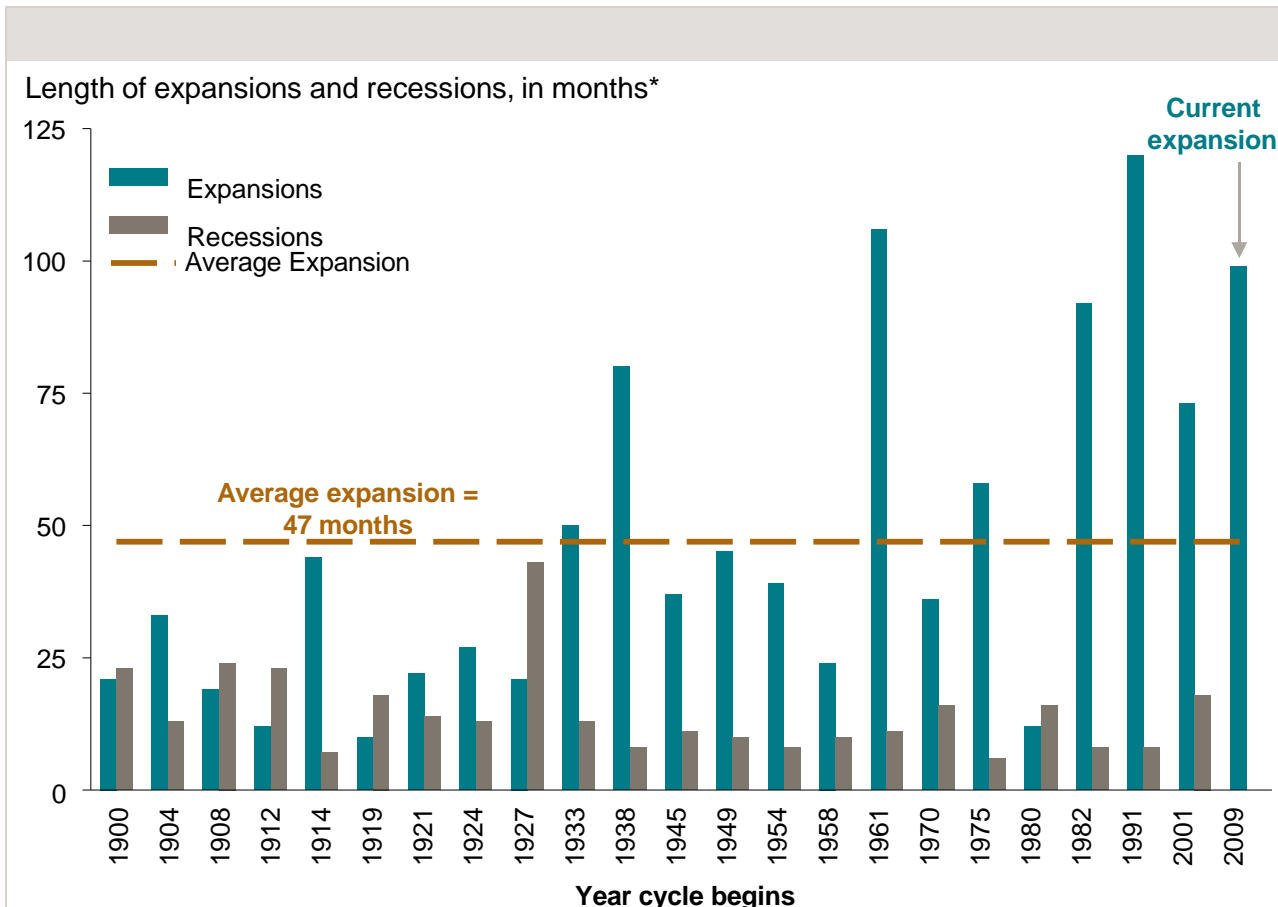
Investing in fixed income products is subject to certain risks, including interest rate, credit, inflation, call, prepayment, and reinvestment risk.

Investments in alternative investment strategies is speculative, often involves a greater degree of risk than traditional investments including limited liquidity and limited transparency, among other factors and should only be considered by sophisticated investors with the financial capability to accept the loss of all or part of the assets devoted to such strategies.

Investments in commodities may have greater volatility than investments in traditional securities and may be affected by changes in overall market movements, commodity index volatility, changes in interest rates, or factors affecting a particular industry or commodity, such as drought, floods, weather, livestock disease, embargoes, tariffs and international economic, political and regulatory developments.

This expansion has been one of the longest on record...

...but cycles don't die of old age



This has already been a long cycle.

Now in its eighth year, the current expansion stands as the third longest in history.

This has prompted some to wonder how tall this tree can grow...

...after all, trees don't grow to the sky.

So what has ended past cycles?

- Inflation and aggressive tightening
- Major commodity price spikes
- Bubbles or extreme valuations
- Private sector imbalances

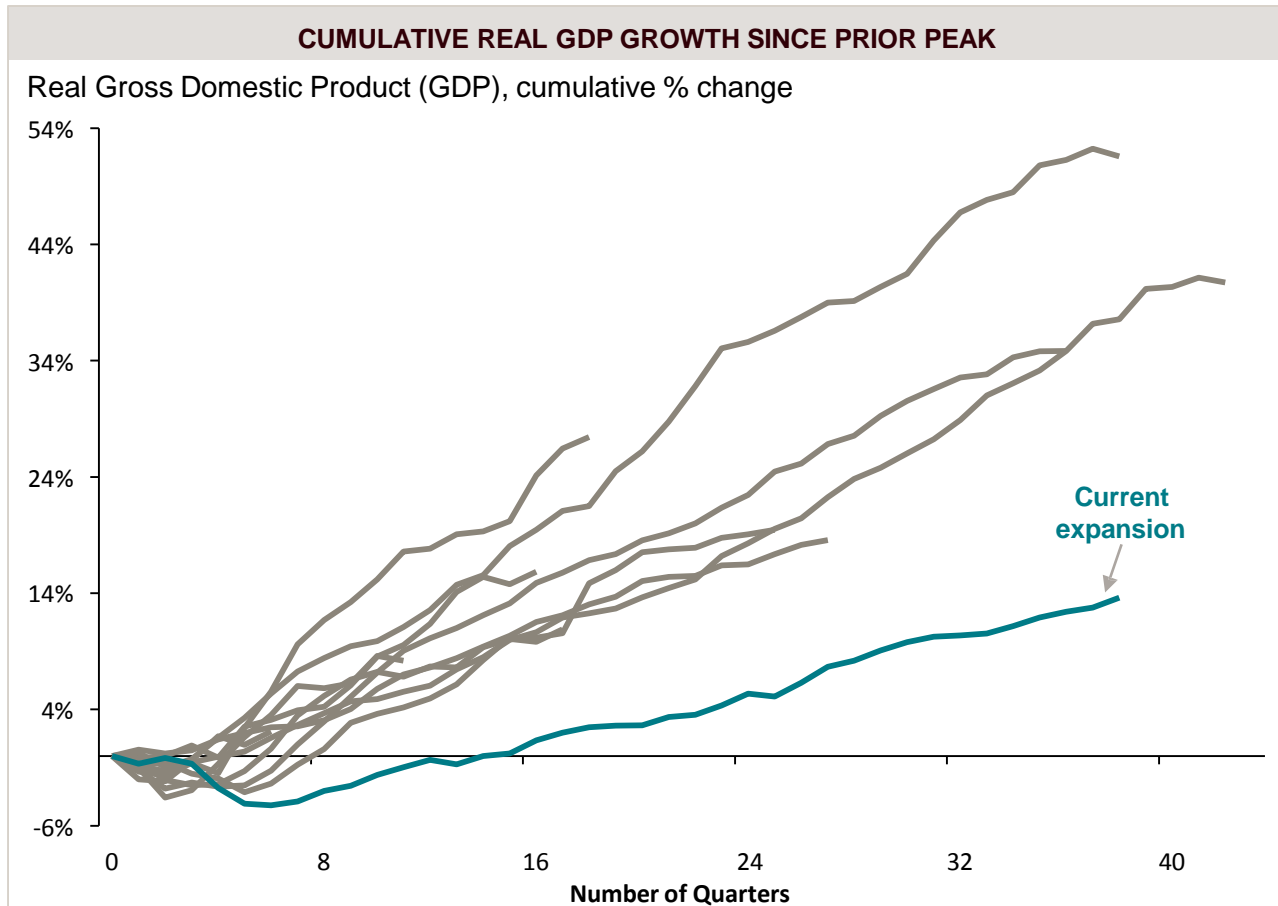
Sources: National Bureau of Economic Research (NBER), Bureau of Economic Analysis (BEA), FactSet, J.P. Morgan Asset Management - Guide to the Markets. Chart assumes current expansion started in July 2009 and continued through September 2017, lasting 99 months so far. *Represents months from one cycle ending to the next beginning. Data for length of economic expansions and recessions obtained from the National Bureau of Economic Research (NBER). Data is as of September 30, 2017.

For illustrative purposes only. This information does not reflect the performance of any specific investment scenario.

The views and strategies described herein may not be suitable for all investors, and more complete information is available which discusses risks, liquidity, and other matters of interest. This information is not intended as an offer or solicitation for the purchase or sale of any financial instrument. **Past performance is no guarantee of future results.** It is not possible to invest directly in an index. Please refer to "Definition of Indices and Terms" for important information.

The pace of growth has been very slow...

...but this has kept inflation in-check and the Fed on a gradual path



But the cycle has been very slow.

The pace of the current economic expansion has been well below the historical average (around 2% real GDP growth per year).

But there is an upside to slow growth...

Since this cycle began:

- 17 million jobs added
- 300% stock market return

Currently:

- 4.2% unemployment rate
- **1.3% core inflation (PCE)**

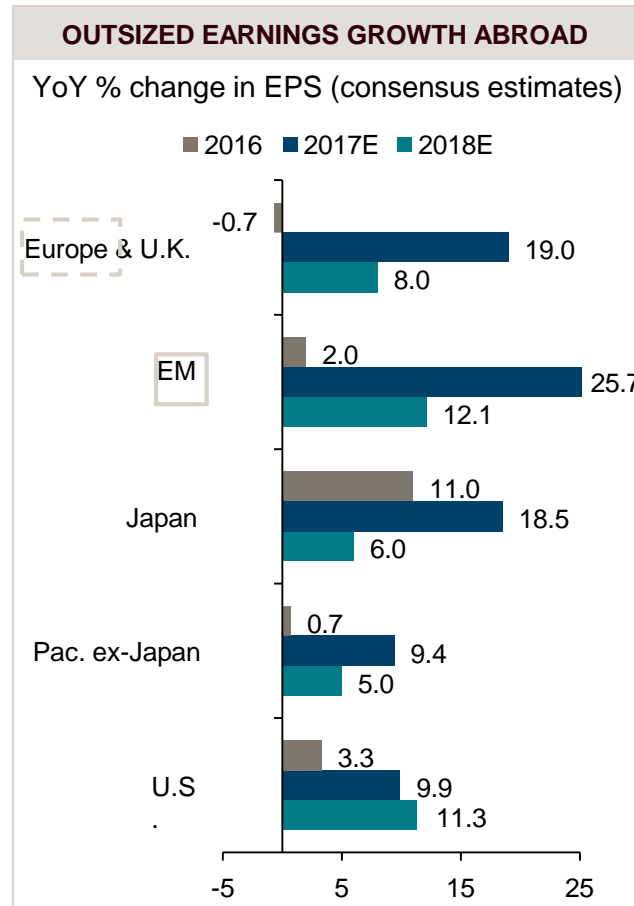
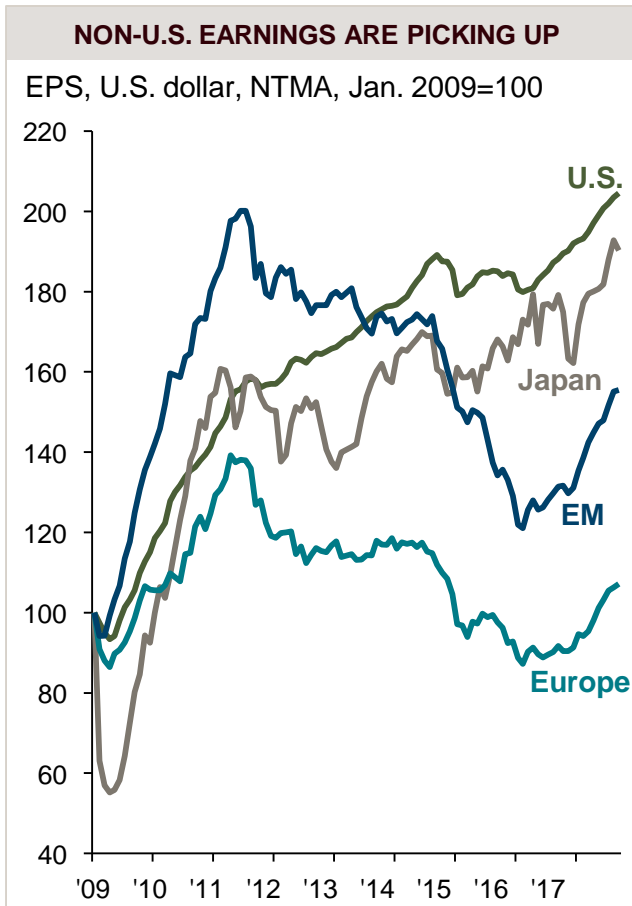
Sources: BEA, NBER, BLS, J.P. Morgan Asset Management - Guide to the Markets. Chart assumes current expansion started in July 2009 and continued through September 2017, lasting 99 months so far. Data for length of economic expansions and recessions obtained from the National Bureau of Economic Research (NBER). This data can be found at www.nber.org/cycles/ and reflect information through September 30, 2017. Economic indicator data on the current cycle is sourced from Reuters as of September 30, 2017.

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Global growth is showing up in earnings...

...led by improvements in Europe and Emerging Markets



Earnings are responding.

- After years of lagging, earnings growth outside the U.S. is finally picking up, particularly in Europe, Asia, and some emerging economies.

Risks to our view: renewed pressure on commodity prices curbs the recovery in profits; China growth scare as stimulus fades.

Sources: (Left): FactSet, MSCI, Standard & Poor's, J.P. Morgan Asset Management - Guide to the Markets. Data is as of September 30, 2017. (Right): Citi Research, MSCI, Worldscope, FactSet Consensus estimates. Data is as of September 8, 2017.

For illustrative purposes only. This information does not reflect the performance of any specific investment scenario.

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Performance Detail for the periods ending August 31, 2017

UNTHSC FOUNDATION (HGP-F10597006)*

| | Market Value (\$) | Current Allocation | Returns (%) | | | | | Since | Inception Date |
|-------------------------------------------|-------------------|--------------------|-------------|---------------|------------|-------------|------------|-------------|-------------------|
| | | | YTD | 1YR Inception | 3YR | 5YR | 10YR | | |
| Total Portfolio (Gross of Fees) | 44,079,331 | 100.0 | 9.6 | 11.4 | 4.5 | 7.2 | 4.9 | 6.8 | 12/31/1994 |
| Total Portfolio (Net of Fees) | 44,079,331 | 100.0 | 9.3 | 11.0 | 4.2 | 6.8 | 4.6 | 6.3 | 12/31/1994 |
| Equity | 26,139,400 | 59.3 | 13.5 | 17.0 | 6.5 | 11.2 | 5.1 | 8.2 | 12/31/1994 |
| <i>MSCI World USD Net</i> | | | 13.5 | 16.2 | 5.9 | 11.1 | 4.5 | 7.1 | 12/31/1994 |
| <i>MSCI World ex US USD Net</i> | | | 16.2 | 17.1 | 2.2 | 7.9 | 1.6 | 5.3 | 12/31/1994 |
| <i>S&P 500 Total Return Index USD</i> | | | 11.9 | 16.2 | 9.5 | 14.3 | 7.6 | 9.8 | 12/31/1994 |
| Total US Equity | 15,973,237 | 36.2 | 11.5 | 16.6 | 8.8 | 13.7 | 7.3 | 8.0 | 12/31/2005 |
| US Large Cap Equity | 13,028,699 | 29.6 | 14.3 | 18.5 | 9.4 | 14.1 | 7.4 | 7.9 | 12/31/2005 |
| US Mid Cap Equity | 2,426,279 | 5.5 | 4.0 | 10.4 | 6.6 | 12.6 | 6.8 | 7.9 | 12/31/2005 |
| US Small Cap Equity | 518,259 | 1.2 | 1.1 | 11.2 | 7.4 | - | - | 10.1 | 5/31/2013 |
| Total Non-US Equity | 7,310,530 | 16.6 | 18.1 | 17.5 | 2.9 | 7.7 | 1.0 | 5.8 | 10/31/2001 |
| EAFE Equity | 6,575,315 | 14.9 | 17.6 | 16.7 | 4.5 | 9.2 | 2.1 | 4.4 | 12/31/2005 |
| Japanese Large Cap Equity | 492,299 | 1.1 | 15.3 | 18.8 | 7.1 | - | - | 8.4 | 5/31/2014 |
| Emerging Market Equity | 242,916 | 0.6 | - | - | - | - | - | 21.8 | 4/30/2017 |
| Global Equity | 2,855,633 | 6.5 | 13.6 | 16.7 | - | - | - | 6.2 | 2/28/2015 |
| Fixed Income & Cash | 17,939,931 | 40.7 | 3.6 | 3.3 | 2.6 | 2.6 | 4.4 | 5.5 | 12/31/1994 |
| <i>BB U.S. Aggregate Index</i> | | | 3.6 | 0.5 | 2.6 | 2.2 | 4.4 | 5.8 | 12/31/1994 |
| Cash & Short Term | 2,577,254 | 5.8 | 0.5 | 0.6 | 0.2 | 0.1 | 0.4 | 2.4 | 12/31/1994 |
| US Fixed Income | 14,556,512 | 33.0 | 3.7 | 3.5 | 2.9 | 3.0 | 5.2 | 5.9 | 12/31/1994 |
| Non-US Fixed Income | 806,165 | 1.8 | 9.2 | 4.6 | - | - | - | 5.5 | 7/31/2016 |

* HGP-F10597006 is comprised of: F10597006, V3736500

| | Inception Date | Commitment Amount | Net Capital Called | % Called | Net Distributions Value | Estimated Market Value | Net IRR |
|----------------------------------------|------------------|-------------------|--------------------|------------|-------------------------|------------------------|---------|
| Private Investments | 7/31/2016 | 2,000,000 | 138,395.21 | 7 % | - | 139,051 | - |
| Diversified Strategies (LBO/VC) | 7/31/2016 | 2,000,000 | 138,395.21 | 7 % | - | 139,051 | - |
| * Vintage 2016 Private Inv O/F | 7/31/2016 | 1,000,000 | 85,895.21 | 9 % | - | 86,551 | - |
| * Vintage 2017 Private Inv LLC | 6/30/2017 | 1,000,000 | 52,500.00 | 5 % | - | 52,500 | - |

* Priced On 09/05/2017

Net IRR is after management fees, carried interest and fund expenses.

Please see the important disclosures at the end of this report. Percentages may not add to 100% due to rounding. **Past performance does not guarantee future results.** Returns for periods greater than one year are annualized, and returns for periods less than one year are not annualized. Index names are italicized. You cannot invest directly in an index. The Inception Date is generally the last day of the month in which the account was opened or in which the index was established (except that, for indices established before an account's Inception Date, the Inception Date shown for the index is instead the account's Inception Date).

"Gross of Fees" returns reflect fund-level fees embedded in fund valuations, but not any other types of fees. If returns are shown "Net of Fees" for the Total Portfolio (and, if applicable, the Total Portfolio less Excluded Assets) they reflect some fees, but not all fees and expenses. As well as fund-level fees embedded in the fund's valuation, Net of Fees returns reflect the advisory fees paid to J.P. Morgan for the services it provides in any investment management accounts and advisory accounts, based in each case on the total market value of managed assets in the account. Net of Fees returns do not reflect (1) separate fees for investment vehicles in investment management or advisory accounts or (2) other fees or expenses in any type of account (such as trading costs). Net of Fees returns would be lower if they reflected all fees and expenses. Please see your account statement for these other fees charged to your account.



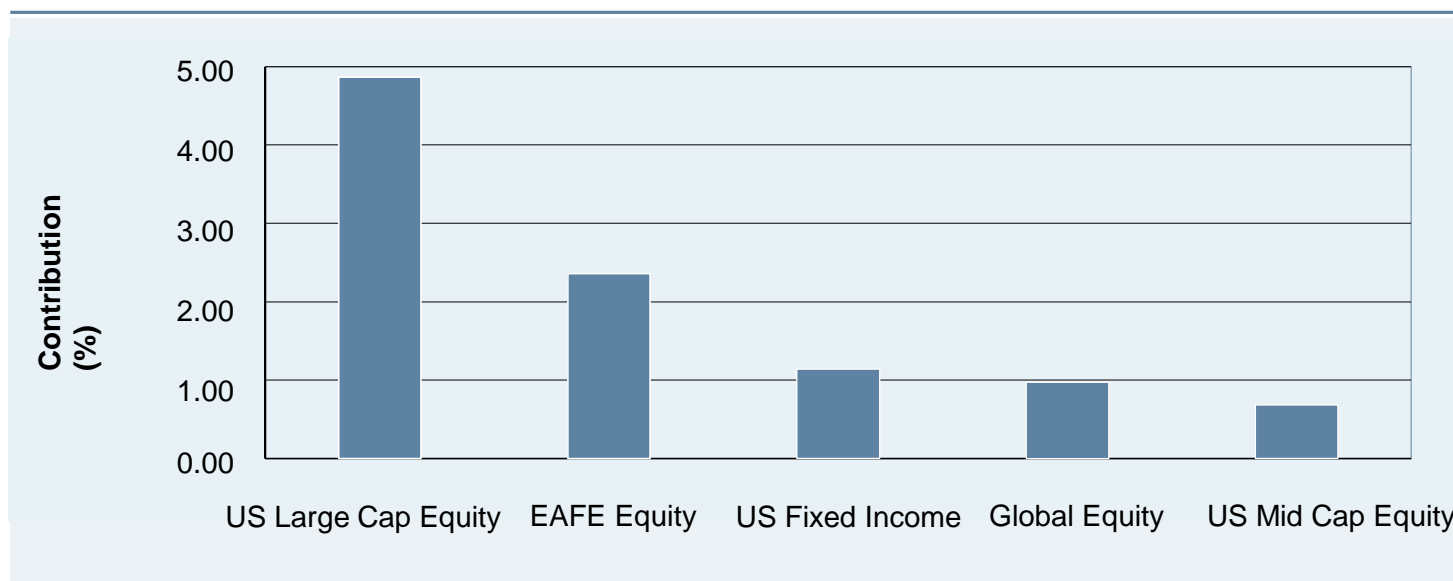
Returns shown for Excluded Assets, if any, are included in the Total Portfolio and this return does not show the Total Portfolio less Excluded Assets. Returns would be lower if they reflected all fees and expenses.

Contributors to Performance for the period ending August 31, 2017

UNTHSC FOUNDATION (HGP-F10597006)*

Top Contributing Investments

| | Contribution (%) |
|---------------------|------------------|
| | Total |
| US Large Cap Equity | 4.87 |
| EAFE Equity | 2.36 |
| US Fixed Income | 1.14 |
| Global Equity | 0.97 |
| US Mid Cap Equity | 0.68 |



Results shown represent 12 month(s) as of 8/31/17

* HGP-F10597006 is comprised of: F10597006, V37365000.

Please see the important disclosures at the end of this report.

Past performance does not guarantee future results. Returns shown are gross of fees and would be lower if they reflected all fees and expenses.

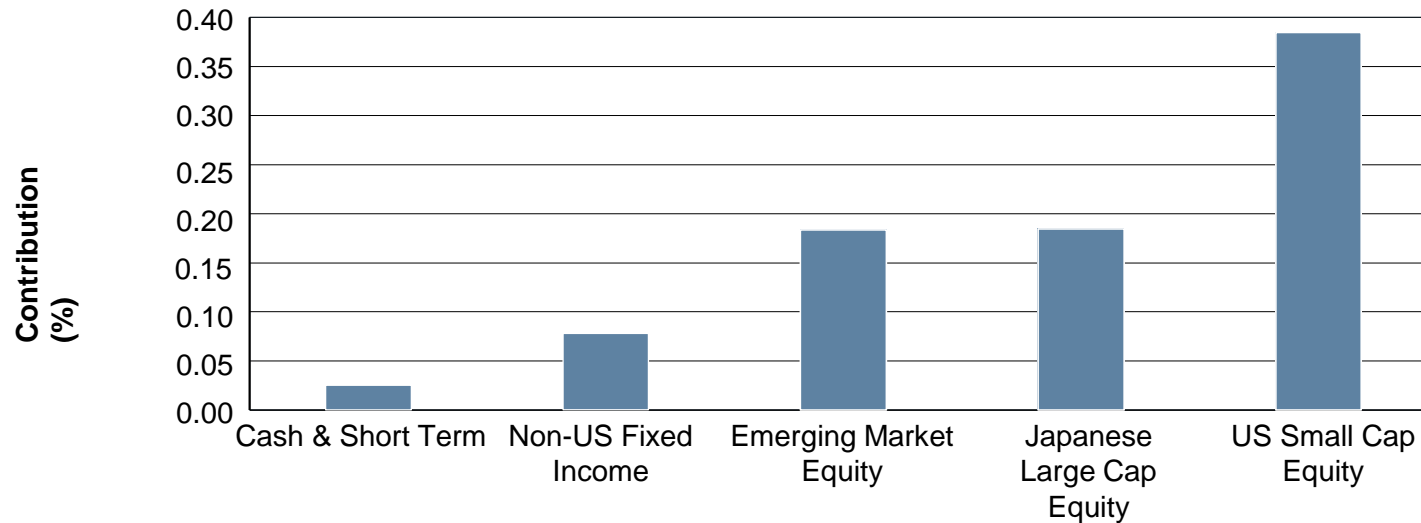
To calculate the top and bottom contributing investments, we first determine the component of the overall rate of return attributable to each asset class. For each asset class, we take into account both its rate of return and asset size. The sum of all such components is the overall return. This report shows you the top five and bottom five of those asset classes.

Contributors to Performance for the period ending August 31, 2017

UNTHSC FOUNDATION (HGP-F10597006)*

Bottom Contributing Investments

| | Contribution (%) | |
|---------------------------|------------------|-------|
| | | Total |
| Cash & Short Term | | 0.03 |
| Non-US Fixed Income | | 0.08 |
| Emerging Market Equity | | 0.18 |
| Japanese Large Cap Equity | | 0.18 |
| US Small Cap Equity | | 0.38 |



Results shown represent 12 month(s) as of 8/31/17

* HGP-F10597006 is comprised of: F10597006, V37365000.

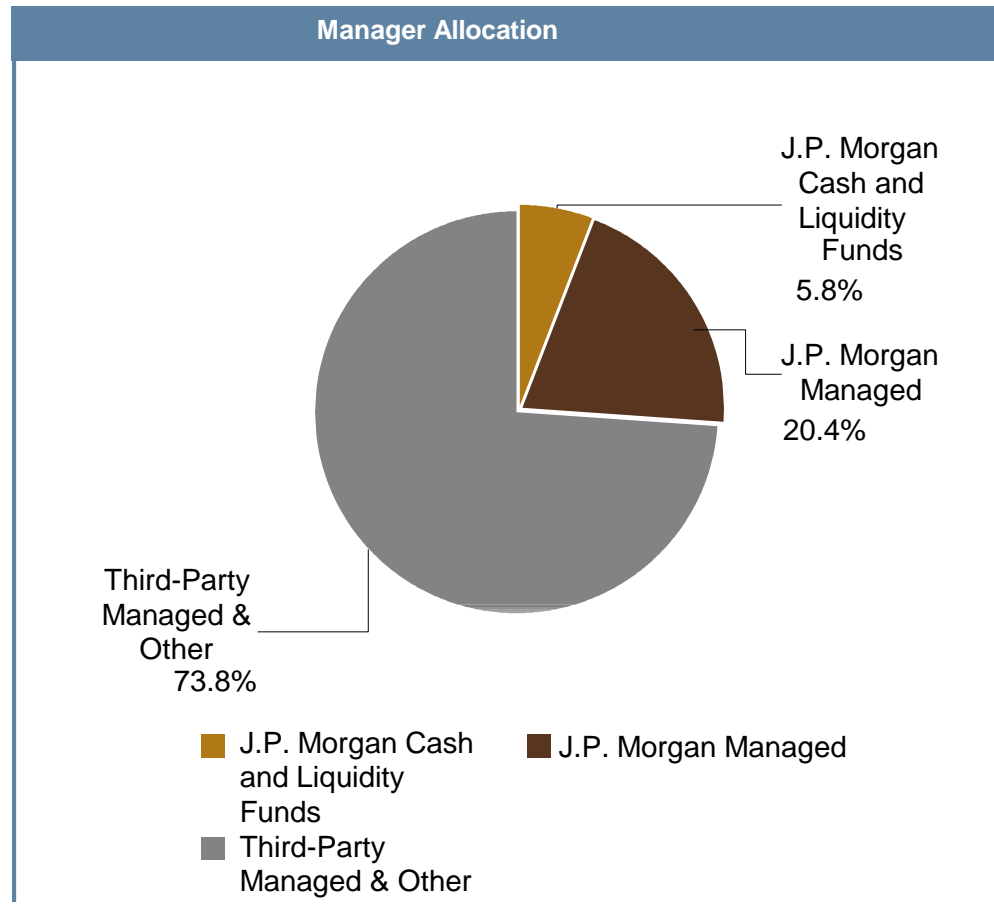
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Past performance does not guarantee future results. Returns shown are gross of fees and would be lower if they reflected all fees and expenses.

To calculate the top and bottom contributing investments, we first determine the component of the overall rate of return attributable to each asset class. For each asset class, we take into account both its rate of return and asset size. The sum of all such components is the overall return. This report shows you the top five and bottom five of those asset classes.

Investment Summary for Discretionary Accounts as of August 31, 2017

UNTHSC FOUNDATION (HGP-F10597006)*



J.P. Morgan Managed includes mutual funds, other registered funds and hedge funds managed by J.P. Morgan and structured products issued by J.P. Morgan.

J.P. Morgan Cash & Liquidity Funds includes cash, J.P. Morgan deposit sweeps and J.P. Morgan money market mutual funds.

Third-Party Managed & Other includes mutual funds, exchange traded funds, hedge funds, and separately managed accounts managed by parties other than J.P. Morgan; separately managed accounts managed by J.P. Morgan where a party other than J.P. Morgan is the appointed investment advisor; structured products and exchange traded notes issued by parties other than J.P. Morgan; investment conduits investing in non-J.P. Morgan managed hedge funds, where J.P. Morgan is solely administrator to the conduit; and other investments not managed or issued by J.P. Morgan.

*Discretionary account(s) are comprised of: F10597006.

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Account Summary as of August 31, 2017

| Account Number | Account Name | Product | Current Balance (\$) As of Aug 31, 2017 |
|--------------------------------------|--------------|-------------------------------|-----------------------------------------|
| Investments | | | |
| F10597006 | UNTHSC | Balanced | 44,079,331 |
| B1666400 | FOUNDATION | Standard Custody (with JPMSI) | 114,138 |
| Total Investments¹ | | | 44,193,469 |
| Total Assets¹ | | | 44,193,469 |

¹ If an account is in more than one group, the market value will only be accounted for once in Total Investments. Total Investments includes Excluded Assets. ² Deposit products and services are offered by JPMorgan Chase Bank, N.A. Member FDIC.

An asterisk () next to account number indicates an account/facility that is currently closed

Benchmark Indices

Indices are unmanaged. They do not reflect management fees, transaction costs or other expenses, and assume reinvestment of dividends and interest. An individual cannot invest directly in an index. Past performance of any index does not guarantee future results.

Benchmark Definitions

The **Blended Benchmark** is comprised of one or more indices, based upon the J.P. Morgan model portfolio that has been selected to reflect the overall asset allocation for your accounts, and may change over time if you change your selection. If the benchmark is not available (n/a), a return cannot be calculated for the period because the index for a given asset class does not have enough historical data.

The **Bloomberg Barclays 1-17 Year Municipal Bond Index** is an index that represents the performance of municipal bonds with maturities from 1-17 years. It is a component of the Municipal Bond Index which is a rules-based, market-value-weighted index engineered for the long-term tax-exempt bond market.

The **Bloomberg Barclays Global Aggregate 1-10y ex-Japan hedged to EUR (Total Return Gross)** provides a broad-based measure of the global investment-grade fixed income markets. The three major components of this index are the US Aggregate, the Pan-European Aggregate, and the Asian-Pacific Aggregate (excluding Japan) Indices. The index also includes Eurodollar corporate bonds, Canadian government, agency and corporate securities, and USD investment grade 144A securities. The securities included in the Barclays Capital Global Aggregate 1-10y ex-Japan hedged to EUR (Total Return Gross) have maturities between 1 and 10 years.

The **Bloomberg Barclays Taxable Indices** represent fixed income securities that are U.S. domestic, taxable, and dollar-denominated. The Barclays Capital Aggregate Index covers the U.S. investment grade bond market, with index components for government and corporate securities, mortgage pass-through securities, and asset-backed securities. These major sectors are subdivided into more specific indices that are calculated and reported on a regular basis.

The **Bloomberg Barclays Municipal Bond Indices** are broad market performance benchmarks for the tax-exempt bond market. They are also rule-based and market weighted.

The **Bloomberg Barclays US TIPS Index** includes all publicly issued, U.S. Treasury inflation protected securities that have at least one year remaining to maturity, are rated investment grade, and have \$250 million or more of outstanding face value.

The **Bloomberg Barclays 1-10 Year U.S. Treasury Inflation-Protected Securities (TIPS) Index** tracks the performance of 1-10 year inflation-protected securities issued by the U.S. Treasury.

The **Bloomberg Barclays Global Aggregate Index** provides a broad-based measure of the global investment grade fixed-rate debt markets. The Global Aggregate Index contains three major components: the U.S. Aggregate, the Pan-European Aggregate, and the Asian-Pacific Aggregate Index. In addition to securities from these three benchmarks, the Global Aggregate Index includes Global Treasury, Eurodollar, Euro-Yen, Canadian and Investment Grade 144A index-eligible securities not already in the three regional aggregate indices.

The **BofA Merrill Lynch USD Libor 3 Month Constant Maturity Index** is an unmanaged index of 3 month constant maturity dollar-denominated deposits derived from interest rates on the most recent available dollar-denominated deposits.

The **BofA Merrill Lynch 3-month US Treasury Bill Index** is an unmanaged index which represents the performance of 3-month U.S. Treasury bills and it is based on a single issue held for a month, sold and then rolled into a new issue the next month.

The **BofA Merrill Lynch High Yield Bond Master II Index** is an unmanaged index that tracks the performance of below investment grade U.S. dollar-denominated corporate bonds publicly issued in the U.S. domestic market.

The **BofA Merrill Lynch 1-3 Year Treasury Index** is a subset of the BofA Merrill Lynch Treasury Master Index. The maturity range of these securities is from one to three years. This index is available on a monthly basis in price-only and total return versions. The value was set at 100 on 12/31/1975.

The **BofA Merrill Lynch 91 Day Treasury (Auction Rate) Index** is an unmanaged index that reflects the total return of a hypothetical Treasury Bill with a discount rate equal to the average rate established at each of the auctions during a given month. The monthly return of this index is based on the assumption that the bill is held to maturity. Therefore, it represents a risk-free total return. The performance of the index does not reflect the deduction of expenses associated with a mutual fund, such as investment management fees.

The **BofA Merrill Lynch High Yield Europe Index** is an unmanaged index that tracks the performance of below investment grade corporate bonds issued in the European Market.

The **CBOE S&P 500 BuyWrite Index (BXMSM)** is designed to represent a proposed hypothetical buy-write strategy.

The **CISDM Trading Advisor Qualified Universe Index** is a dollar weighted index that includes the performance of commodity trading advisors that have the objective of speculative trading profits.

The **Citigroup Broad Investment-Grade Bond Index (BIG)** (formerly Salomon Brothers BIG Bond Index) comprises all public, non-convertible fixed rate domestic debt of the U.S. government and its agencies, including GNMA, FNMA and FHCMC mortgage-backed bonds. Corporate bonds of industrial, utility and financial companies are also included. All bonds must have an outstanding par value of at least \$75 million and a maturity of at least one year.

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Benchmark Indices

Benchmark Definitions (continued)

The **Citigroup Corporate 1-10 Index** is an unmanaged broad-based index of corporate bonds with maturities between 1 and 10 years.

The **Citigroup Non-US World Global Bond Index** is a market capitalization weighted benchmark that measures the performance of 19 government bond markets outside the US.

The **Citigroup 1-5 Year U.S. Treasury Agency Index** is an unmanaged index comprised of U.S. Treasury Notes and Agency securities with maturities of one year or greater, but less than five years.

The **Citigroup 3 month T-Bill Index** measures monthly return equivalents of yield averages that are not marked to market. The Three-Month Treasury Bill Indexes consist of the last three three-month Treasury bill issues.

The **Citigroup World Global Bond Index Hedged USD (WGBI)** is a market-capitalization-weighted benchmark that tracks the performance of 19 government bond markets.

The **Bloomberg Commodity Index** is a commodity price index composed of futures contracts on 19 physical commodities and designed to be a highly liquid and diversified benchmark for the commodity futures market.

The **Dow Jones U.S. Utilities Sector Index** is comprised of fifteen of the largest utilities companies in the United States.

The **Dow Jones Transportation Average** is the most widely recognized gauge of the transportation sector.

The **Emerging Markets Bond Global Index** tracks the total return for the U.S. dollar-denominated emerging markets debt, included Brady bonds, Eurobonds, and loans. It does not include fees or expenses.

The **EONIA Total Return Index** is a measure of the effective interest rate prevailing in the euro interbank overnight market. It is calculated as a weighted average of the interest rates on unsecured overnight lending transactions denominated in euro, as reported by a panel of contributing banks.

The **Eureka Global Macro Fund of Funds Index** tracks the performance of Macro funds of funds globally since 2000. The index is equally weighted and monthly index values are the respective mathematical means (average) of monthly returns of all constituents in the index at that time.

The **FTSE XINHUA China 25 Index** includes the largest 25 Chinese companies comprising H Shares and Red Chip Shares, ranked by total market capitalization.

The **Goldman Sachs Commodity Index (GSCI)** provides a reliable and publicly accessible investment performance benchmark. The index's components qualify for inclusion in the index based on liquidity measures and are weighted in relation to their global production levels.

The **Goldman Sachs Natural Resource Index** is a market capitalization-weighted index of 112 stocks designed to measure the performance of companies in the natural resources sector, which includes energy, precious metals, timber, and other sub sectors.

The **Goldman Sachs Technology Composite Index** is an equity benchmark for United States-traded, technology-related stocks. The Index includes companies in categories, such as producers of computer-related devices; electronics networking and Internet services; producers of computer and Internet software; consultants for information technology, and providers of computer services.

The **HFRI Fund of Funds Conservative Index** is an equally-weighted, unmanaged index comprised of domestic and offshore hedge fund of funds. FOFs classified as 'Conservative' exhibit one or more of the following characteristics: seeks consistent returns by primarily investing in funds that generally engage in more 'conservative' strategies such as Equity Market Neutral, Fixed Income Arbitrage, and Convertible Arbitrage; exhibits a lower historical annual standard deviation than the HFRI Fund of Funds Composite Index. A fund in the HFRI FOF Conservative Index shows generally consistent performance regardless of market conditions.

The **HFRI Fund of Funds Diversified Index** is an equally-weighted, unmanaged index comprised of domestic and offshore hedge fund of funds. FOFs classified as "Diversified" exhibit one or more of the following characteristics: invests in a variety of strategies among multiple managers; historical annual return and/or a standard deviation generally similar to the HFRI Fund of Fund Composite index; demonstrates generally close performance and returns distribution correlation to the HFRI Fund of Fund Composite Index.

The **HFRI Fund of Funds Composite Index** is an equally-weighted, unmanaged index comprised of domestic and offshore hedge fund of funds.

The **HFRX Global Hedge Fund Index** is designed to be representative of the overall composition of the hedge fund universe. It is comprised of all eligible hedge fund strategies; including but not limited to convertible arbitrage, distressed securities, equity hedge, equity market neutral, event driven, macro, merger arbitrage, and relative value arbitrage. The strategies are asset weighted based on the distribution of assets in the hedge fund industry.

The **Ibbotson U.S. Treasury Bill Index** is an unmanaged index that is generally considered representative of a portfolio of Treasury bills with less than a month left to maturity.

The **iMoneyNet National Institutional Tax Free Index** consists of all national tax-free and municipal institutional funds.

The **iMoneyNet Stock Broker & General Purpose Index** consists of all national tax free and municipal retail funds.

The **J.P. Morgan Global High Yield Index** is designed to mirror the investable universe of the U.S. dollar global high yield corporate debt market, including domestic and international issues.

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Benchmark Indices

Benchmark Definitions (continued)

The **J.P. Morgan Government Bond Index - Emerging Markets (GBI-EM) Global Diversified Index** tracks the performance of local currency debt issued by emerging market governments, whose debt is accessible by most of the international investor base.

The **J.P. Morgan Government Bond Index (Global)** tracks the total returns of government issued bonds across 13 core countries weighted by market capitalization.

The **Lipper Averages** are the average level of performance for all mutual funds and exchange traded-funds, as reported by Lipper Analytical Services.

The **MSCI All Country Asia ex-Japan Index** measures Asian (ex-Japan) stock market performance and does not include fees or expenses.

The **MSCI AC Far East Index** offers exposure to companies within developed and emerging countries in the Pacific Rim area, including Japan.

The **MSCI All Country World Index** is a free float-adjusted market capitalization index that is designed to measure equity market performance in the global developed and emerging markets.

The **MSCI Brazil Index** is designed to represent Brazilian equities that are available to non-domestic investors.

The **MSCI China Free Index** is designed to represent Chinese companies that are available to non-domestic investors.

The **MSCI EAFE GDP Index** is an unmanaged index generally representative of the performance of the international stock markets.

The **MSCI Small Cap Index** targets 40% of the eligible Small Cap universe within each industry group, within each country.

The **MSCI EAFE Index (Europe, Australasia, Far East)** is a free float-adjusted market capitalization index that is designed to measure developed market equity performance, excluding the US & Canada.

The **MSCI EAFE Value Net Index** is unmanaged and is a representation (or model) of the performance of the value-oriented stocks in the world's equity markets, excluding the U.S. and Canada. Total return figures assume the reinvestment of dividends.

The **MSCI EM (Emerging Markets) Latin America Index** is a free float-adjusted market capitalization index that is designed to measure equity market performance in Latin America.

The **MSCI Emerging Markets Equity Index** measures emerging stock market performance and does not include fees or expenses.

The **MSCI Europe Net Index** is unmanaged and is a replica (model) of the performance of the European equity markets. Total return figures assume the reinvestment of dividends.

The **MSCI Far East Index** is a Morgan Stanley international index that includes stocks traded in Far East market, weighted by capitalization.

The **MSCI Germany Index** represents publicly traded securities in the German market.

The **MSCI World Growth Index** captures large and mid cap securities exhibiting overall growth style characteristics across 23 Developed Markets (DM) countries.

The **MSCI India Index** is designed to represent Indian equities that are available to non-domestic investors.

The **MSCI Japan Net Index** is unmanaged and is a replica (model) of the performance of the Japanese equity market. Total return figures assume the reinvestment of dividends.

The **MSCI Pacific ex Japan Index** is a free float-adjusted market capitalization index that is designed to measure equity market performance in the Pacific region excluding Japan.

The **MSCI US REIT Index** broadly and fairly represents the equity REIT opportunity set with proper investability screens to ensure that the index is investable and replicable.

The **MSCI World Healthcare Index** is a measure of the performance of the world health care market.

The **MSCI World Index** is a free float-adjusted market capitalization index that is designed to measure global developed market equity performance.

The **MSCI World Index Local Currency (Total Return Net)** is a free float-adjusted market capitalization weighted index that is designed to measure the equity market performance of developed markets, without the associated sensitivity to currency movements accounting for the price movement of each security in its denomination (local) currency which effectively leaves aside the effect of foreign exchange.

The **NAREIT Equity Index** is an unmanaged index of publicly traded U.S., tax-qualified REITs that have 75% or more of their gross invested book assets invested in the equity ownership of real estate.

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Benchmark Indices

Benchmark Definitions (continued)

The **NCREIF Property Index** is a very large pool of individual commercial real estate properties acquired in the private market for investment purposes only.

The **Nasdaq Composite Index** is a broad market index that encompasses about 4,000 issues traded on the Nasdaq National Market--virtually every firm that trades on the exchange.

The **NOMURA CEE Index** broadly covers the main market stocks of 11 Central and East European countries.

The **Russell 1000 Growth Index** measures large cap growth stock performance. The index does not include fees or expenses.

The **Russell 1000 Index** is used as a representation of the performance of securities in the large capitalization equity securities universe.

The **Russell 1000 Value Index** measures the performance of large cap value stocks.

The **Russell 2000 Growth Index** consists of those securities in the Russell 2000 index with a greater-than-average growth orientation. Companies in this index generally have higher price-to-book and price/earnings ratios. The index is unmanaged.

The **Russell 2000 Index** measures small company stock market performance. The index does not include fees or expenses.

The **Russell 2000 Value Index** consists of those securities in the Russell 2000 Index with a lower-than-average growth orientation. Companies in this index generally have lower price-to-book and price/earnings ratios. The index is unmanaged.

The **Russell 2000 Growth Index** consists of those securities in the Russell 2000 index with a greater-than-average growth orientation. Companies in this index generally have higher price-to-book and price/earnings ratios. The index is unmanaged.

The **Russell 2500 Index** measures the performance of the 2,500 smallest companies in the Russell 3000 Index.

The **Russell 2500 Value Index** measures the performance of those Russell 2500 companies with lower price-to-book ratios and lower forecasted growth values.

The **Russell 3000 Growth Index** measures the performance of those Russell 3000 Index companies with higher price-to-book ratios and higher forecasted growth values.

The **Russell 3000 Index** is used as a representation of the performance of U.S. Equity securities across all market capitalizations.

The **Russell 3000 Value Index** measures the performance of those Russell 3000 Index companies with lower price-to-book ratios and lower forecasted growth values.

The **Russell Microcap Index** measures the performance of the microcap segment of the U.S. equity market, which consists of the smallest 1,000 securities in the small-cap Russell 2000 Index, plus the next 1,000 smallest eligible securities by market cap.

The **Russell MidCap Growth Index** measures mid cap stock performance. The index does not include fees or expenses.

The **Russell MidCap Index** measures mid cap stocks.

The **Russell MidCap Value Index** is an unmanaged index and is used as a representation of the performance of funds in the mid cap value securities universe.

The **S&P 100 Index** measures large cap company performance.

The **S&P 1000 Index** is a combination of the already widely followed S&P MidCap 400 and S&P SmallCap 600 indices, where the S&P MidCap 400 represents approximately 70% of the index and the S&P SmallCap 600 represents 30%.

The **S&P MidCap 400 Index** consists of 400 domestic stocks chosen for market size, liquidity and industry group representation.

The **S&P 500 Index** is an unmanaged broad-based index that is used as representation of the U.S. stock market. It includes 500 widely held common stocks. Total return figures reflect the reinvestment of dividends. "S&P500" is a trademark of Standard and Poor's Corporation.

The **S&P 500/BARRA Growth Index** is an unmanaged market-weighted index of companies with higher price-to-book ratios. It includes 500 widely held common stocks.

The **S&P 500/BARRA Value Index** contains large U.S. companies with low price-to-book ratios relative to the S&P 500.

The **S&P Small Cap 600 Index** includes 600 stocks of U.S. small companies chosen for market size, liquidity, and industry group representation.

The **S&P Small Cap 600/BARRA Growth Funds Index** is a capitalization-weighted index of all the stocks in the S&P Small Cap 600 Index that have high price-to-book ratios.

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Benchmark Indices

Benchmark Definitions (continued)

The **S&P Small Cap 600/BARRA Value Funds Index** is an unmanaged index that tracks the performance of value stocks, as determined by low price-to-book ratios included in the S&P SmallCap 600 Index.

The **S&P Europe 350 Index** combines the benefits of representation with investability for the Europe region, spanning 17 exchanges.

The **S&P Global Sector Indices** represent the opportunity set of investable equities around the globe based on Global Industry Classification Standard's (GICS) 10 Sectors. These indices are designed to offer increased diversification as well as opportunities to benefit from global exposure and currency movements.

The **S&P MidCap 400/Barra Growth Index** is a market capitalization-weighted index of the stocks in the S&P 400 Mid Cap Index having the lowest book to price ratios.

The **S&P MidCap 400/Barra Value Index** is a market capitalization-weighted index of the stocks in the S&P 400 Mid Cap Index having the highest book to price ratios. The Strategic Policy Benchmark is comprised of one or more indices, based upon the investment mandate for the portfolio, and its components may change over time if the investment mandate changes. The benchmark will not be available (n/a) for any period prior to the mandate inception.

The **Strategic Policy Benchmark** is comprised of one or more indices, based upon the investment mandate for the portfolio, and its components may change over time if the investment mandate changes. The benchmark will not be available (n/a) for any period prior to the mandate inception.

The **Tokyo Stock Exchange (TOPIX) Index** consists of over 1,500 of the most prestigious Japanese companies which are listed on the Tokyo Stock Exchange.

The **US Cash Indices LIBOR Total Return 1 Month Index** is generated using the theory that a basket of cash is invested daily at the prevailing Libor maturity rate. Interest is compounded daily for the Total Return series.

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Definitions

Statistical Definitions

Annual Percentage Yield (APY) is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate, and all interest is left in the account.

CD is an abbreviated term denoting Certificate of Deposit.

Internal Rate of Return (IRR): This is the discount rate that equates the cost of an investment with the cash generated by the investment. IRR tracks the performance of actual dollars invested over time. The primary driver of the IRR is the amount and timing of cash inflows and outflows. Cash flow effects make comparisons to benchmarks inappropriate. Gross IRR does not reflect management fees, carried interest and fund expenses. Net IRR is after management fees, carried interest and fund expenses.

IRA is an abbreviated term denoting Individual Retirement Account.

Large-Capitalization (Large-Cap) Stocks are the stocks of companies with a market capitalization (the total value of a company's outstanding stock) of more than \$10 billion.

Lending Value is the percentage of the market value that can be applied to an asset to cover the collateral requirements of an exposure. Lending Values are based on purpose and non purpose exposure asset liquidity, market volatility, security type, credit history, and other risk factors.

Loans/Lines could include any of the following: revolving credit loans, term loans, lines of credit.

Medium-Capitalization (Mid-Cap) Stocks are the stocks of companies with a market capitalization (the total value of a company's outstanding stock) between \$2.4 billion and \$10.2 billion. This is Lipper's market-capitalization breakpoint as of September 30, 2001, although it may be subject to change based on market fluctuations.

Private Asset Line of Credit (PALOC) is a demand line of credit secured by a client's unpledged investment accounts.

Small-Capitalization (Small-Cap) Stocks are the stocks of companies with a market capitalization (the total value of a company's outstanding stock) of less than \$2.4 billion. This is Lipper's market-capitalization breakpoint as of September 30, 2001, although it may be subject to change based on market fluctuations.

Time-Weighted Return (TWR): The return produced over time by an investment independent of contributions or withdrawals. TWR measures the compounded rate of growth over a specified time period. TWR performance minimizes the impact of cash flows and, as a result, is widely accepted as the appropriate method of comparison for investment managers and market index returns. Time-weighted returns are calculated using Modified BAI methodology.

Risk Measure Definitions

Alpha: A measure of the portfolio's excess return relative to the return of the benchmark.

Annualized Volatility: Otherwise known as standard deviation. A measure of return dispersion. The larger the number, the more volatile the returns. A representative sample of observations is needed to estimate this dispersion.

Beta: A measure of portfolio volatility in comparison to the benchmark. A beta of less than one indicates the portfolio is theoretically less volatile than the benchmark. A beta of greater than one indicates the portfolio is theoretically more volatile than the benchmark.

Best Month: Highest monthly percentage return.

Correlation: A measure of directional co-movement between the portfolio and the benchmark. The range of possible correlations is between -1 and +1. A result of -1 means a perfect negative correlation and +1 means a perfect positive correlation.

Down Market Capture: For the months in which the Benchmark had negative returns, the Portfolio's gross returns divided by the Benchmark's returns. A Down Market Capture of less than [1.00] shows that, when the market went down, the Portfolio lost less than the Benchmark (except that the Down Market Capture is a negative number if the Portfolio had positive returns while the Benchmark had negative returns). A Down Market Capture of more than [1.00] shows that, when the market went down, the Portfolio lost more than the Benchmark.

Information Ratio: A measure of value added by the manager. It is the ratio of excess return to tracking error

Maximum Drawdown: Largest cumulative percentage loss experienced by the portfolio in consecutive months with negative return.

Sharpe Ratio: A measure of risk-adjusted performance, using the ML 3-Month T-bill for the risk-free rate.

Tracking Error: A measure of how closely the portfolio's returns track the returns of a benchmark. It is the standard deviation of excess returns over a benchmark.

Up Market Capture: For the months in which the Benchmark had positive returns, the Portfolio's gross returns divided by the Benchmark's returns. An Up Market Capture of more than [1.00] shows that, when the market went up, the Portfolio performed better than the Benchmark. An Up Market Capture of less than [1.00] shows that, when the market went up, the Portfolio performed worse than the Benchmark.

Worst Month: Lowest monthly percentage return.

% of Positive Months: A measure of the number of times the portfolio had a positive return compared with the total number of returns to date.

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Definitions

Structured Investment Definitions

Annual Review Note (ARN) is automatically called and pays a fixed coupon after one year if the underlying has appreciated above its initial value. If markets are down at the review date, the note does not pay a fixed coupon, but instead remains outstanding until the subsequent review/maturity date.

Buffer: Protection against downside risk.

Buffered Return Enhanced Note (BREN) provides a defined amount of downside protection and an opportunity to earn double or triple the equity return potential up to a predetermined maximum return, in exchange for accepting a capped return and foregoing downside protection below the buffer zone.

Callable Contingent Note (CCN) gets called prior to maturity and receives its principal plus a return if the underlying trades above a specified level on observation day. The note also has a contingent buffer and chance at a small coupon if the underlying does not get called early and if the underlying trades between the coupon level and the buffer level. The buffer is monitored daily close-of-business.

Cap/Pmt: Ceiling set on return, combined with leverage to derive the max

Contingent Buffered Equity Note (CBEN) provides the opportunity to earn the better of the underlying performance up to a cap or a fixed coupon as long as the underlying does not decrease below the barrier level.

Credit Linked Note (CLN) offers a coupon payment that is linked to the performance of a reference asset and provides credit exposure by selling CDS.

Curve Steeper or Flattener, based on the relationship between two reference rates, offers a coupon payment that can be fixed or floating and is typically contingent on the reference rates being below or above a certain level. Typically, the reference rates are related to the interest rate yield curve.

Delta One (DO) offers one-for-one participation in the performance of the underlying and provides an additional coupon amount.

Dual Currency Note (DCN), after depositing a currency with a quoted strike and coupon, offers initial principal amount and coupon payment in either the deposited currency or the counter currency.

Dual Directional BREN (DD BREN) provides leveraged upside returns and the absolute value of downside returns up to a predetermined maximum, in exchange for accepting a capped upside return and foregoing downside protection below the buffer zone.

In **First to Default Basket** trades, investors earn (or pay) income to sell (or buy) protection on a basket of credit default swaps (CDS), with the trade terminating upon the **first** credit event or after a fixed term (typically five years) if there are no credit events. These trades are leveraged.

Floating-Rate Note (FRN) offers a variable coupon that resets periodically against the underlying. Some FRNs have special features such as maximum or minimum coupons, called Capped FRNs and Floored FRNs respectively. Those with both minimum and maximum coupons are called collared FRNs. Some FRNs have variable coupons that reset periodically against the inverse of a benchmark rate; these are called inverse floating rate notes.

Leverage: Magnification ratio of potential earnings.

Market Plus Note (MPLUS) provides the opportunity to earn the better of the underlying performance or a fixed coupon as long as the underlying does not decrease below the barrier level.

Max Return: Maximum return that can be earned on the security.

Max Note is a structure in which the interest rate is equal to the greater of several reference rates.

Principal-Protected Note (PPN) has a certain level of principal protection (typically 100% or 98%) and receives one-for-one participation on the upside up to a cap; can also be structured as uncapped with a lower amount of participation.

Range Accrual offers a coupon payment that is dependent on the reference rate falling within a particular range.

Return Enhanced Note (REN) offers double or triple the equity return potential up to a predetermined maximum return in exchange for accepting a capped return and no downside protection.

Reverse Convertible offers a coupon payment that is typically higher than the yield on a conventional debt instrument due to the risk that the investor could receive less than full return of his principal at maturity. If the price of the underlying is lower than the strike price, investors receive a predetermined number of shares of the linked asset or equivalent proceeds paid in cash at maturity in place of the principal amount to which they subscribed.

Review Note offers a fixed coupon payment and is automatically called if the underlying has appreciated above its initial value at the pre-determined review date. If the underlying does not appreciate above the strike level by the review date, the note does not pay a fixed coupon and remains outstanding until the next review date. These notes typically offer a defined amount of downside protection in exchange for accepting a capped return in the form of a fixed coupon payment. Depending on the frequency of review dates until maturity, these notes typically include Annual Review Notes, Semi-Annual Review Notes, Quarterly Review Notes, and Monthly Review Notes.

Rising Rate Note offers a coupon payment that is dependent on the increase in the underlying. These notes typically employ a leverage factor and may have a minimum and/or maximum coupon.

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Definitions

Structured Investment Definitions (continued)

Semi-annual Review Note (SARN) is automatically called and pays a fixed coupon after six months if the underlying has hit the strike level. If markets are down at the review date, the note does not pay a fixed coupon, but instead remains outstanding until the subsequent review/maturity date; there is a buffer at maturity.

Step-Up Note offers a coupon payment for an initial pre-determined time period and a second higher coupon payment after the initial time period. These notes typically contain callable features.

Fixed Income Definitions

Complementary Fixed Income investments are used to complement an allocation to Core Fixed Income through opportunistic ideas that aim to enhance and diversify the sources of return as well as to capitalize on short-term market dislocations.

The **Core Portfolio** refers to a client's long-term strategic fixed income allocation focusing on high quality diversified fixed income investments.

Escrowed to Maturity (ETM): Municipal bonds generally without a call feature and with sufficient funding held in an escrow account to pay debt-service requirements such as principal and interest up to the maturity date. The funds held in an escrow account are typically invested in U.S. Treasury and Agency securities.

Prerefunded: Municipal bonds generally with a call feature and with sufficient funding held in an escrow account to pay debt-service requirement such as principal, interest, and the call premium up to the call date. The funds held in an escrow account are typically invested in U.S. Treasury and Agency securities.

Short Term Ratings are given to debt instruments which have an original maturity of 12 months or under and reflect the issuers' future ability to repay principal and pay interest on a timely basis. In some instances where accounts hold both long term and short term securities, we [the Private Bank] correlate the numerical rankings of short term ratings to long term ratings to provide our clients a uniform view of their credit quality exposure of all long term and short term securities held in their accounts.

CREDIT CONSIDERATIONS

The credit quality of a particular security or group of securities does not ensure the stability or safety of the overall portfolio and does not guarantee that the issuer will not default. The quality ratings of individual issues/issuers are provided to indicate the credit worthiness of such issues/issuer and generally range from Aaa, AAA or AAA (highest) to C, D or D, (lowest) for Moody's Investors Service (Moody's), Standard & Poor's Ratings Corp (S&P), Fitch Ratings (Fitch), respectively. In the case of split-rated securities, the highest rating among Moody's, S&P and Fitch will be used. Securities not rated by Moody's, S&P or Fitch are not included in the portfolio average credit quality. Credit ratings are based on a bond's rating at time of purchase. Investment grade rated securities are considered equal to or higher than the ratings designations Baa3, BBB-, BBB-, from Moody's, S&P and Fitch, respectively.

Additional Definitions

Downside Protection: Provides cushion against loss that would result from a decline in a security or market.

LBO: Leveraged Buyout

VC: Venture Capital

Vintage Year: The year in which a private investment fund makes its first investment.

Discretionary Portfolio Mandate (MND): The JPMorgan Discretionary Portfolio Mandate document that, among other things, describes the philosophy and investment principles that govern the investment management of your assets.

Holistic Group (HGP): Consolidated view of a client's wealth in accounts held by J.P. Morgan, including investment management accounts, advisory accounts and accounts managed by the client. This report does not include assets held in a Self-Directed Investing Account. If a brokerage account was transitioned from a Full-Service Account to a Self-Directed Investing Account, assets will be reflected only for the period prior to the transaction date.

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Important Information

Assets Covered by this Report

This report includes some or all of your:

- investment management accounts, managed by JPMorgan Chase Bank, N.A., in accordance with the Discretionary Portfolio Mandate
- advisory accounts, advised by JP Morgan Chase Bank, N.A., in accordance with the Portfolio Schedule, and in which you choose the investment vehicles
- custody accounts held at JPMorgan Chase Bank, N.A., and in which you make all decisions on which securities and other assets to buy and sell, subject to any applicable appropriateness standards and documentation or other requirements
- brokerage accounts that are Full-Service Accounts at J.P. Morgan Securities LLC and its brokerage affiliates, and in which you make all decisions on which securities and other assets to buy and sell, subject to any applicable suitability standards and documentation or other requirements.

It may also include:

- Excluded Assets, being assets you hold at J.P. Morgan that are included in certain sections of this report, as disclosed in the report
 - External Accounts, being accounts you hold outside J.P. Morgan that you have asked us to include for limited purposes, as disclosed in the report.
- Please direct any questions about accounts held outside J.P. Morgan to the financial institution at which you hold those accounts.

The "J.P. Morgan Investment Profile" and "Account Summary" sections show which accounts are included. If you would like a report adding other accounts or otherwise changing the accounts shown, please contact your J.P. Morgan representative.

This report does not include any Self-Directed Investing Accounts. If a brokerage account was transitioned from a Full-Service Account to a Self-Directed Investing Account, assets will be reflected only for the period prior to the transition date.

Purpose of This Report

We are giving you this report at your request and for your information. It is confidential and for your personal use. It is not an official record of your account holdings, balances or transactions. Your J.P. Morgan monthly account statement is the official record of your J.P. Morgan account activities and, if applicable, credit facilities and credit payment history.

Nothing in this document is an offer to buy or sell securities, a solicitation of any offer to buy or sell securities, a recommendation or advice (financial, accounting, legal, tax or otherwise). It is not a research report.

Do not rely on the information in this report to make investment decisions. Other factors not shown in this report could be relevant to your investment decisions. An investment shown in this report may or may not still be a suitable investment for you.

Nothing in this report creates a duty of care owed to you or an advisory relationship with you.

Information Might Not Be Accurate

We believe the information in this report is reliable, or comes from sources that we believe to be reliable. But it might not be accurate or complete, and we are not liable for any loss or damage (whether direct or indirect) arising from your use of this information.

We are not obligated to update you if information in this report is corrected or changes for any other reason.

Any views, opinions or estimates expressed in this report constitute our judgment based on current market conditions and are subject to change without notice. Any forward looking statements are not guarantees or predictions of future events. Any projected results are based on assumptions and actual results could differ.

Performance results

Past performance does not guarantee future results. You could get back less than you invest. Performance reflects time-weighted rates of return.

Asset classes

We have categorized investment vehicles into asset classes in this report. While investment vehicles might focus on, e.g., particular market segments (such as large cap companies) or regions, they might hold a proportion of their assets in other securities or investments not consistent with that focus. Therefore, please treat asset class allocations shown in this report as approximate. Please read any documents provided to you about the investment vehicle or ask your JPMorgan representative if you would like more information on the types of assets the investment vehicle could hold.

Definitions

Accrued Income: income which has been earned but not yet received

Cash value: the value of your US Dollar cash and Money Market sweep position(s) except any short term positions you hold, as of the date of this report, of all accounts covered by this report

Cost: the total cost of all of a particular type of security in your portfolio

EAFE: Europe, Australasia and Far East

Est. Annual Income: the current coupon rate or an estimated annual dividend multiplied by the quantity of the security held

Mkt Value: the total market value of securities as of the date of this report (Price x Quantity)

MV%: the percentage of your portfolio that the securities represent

Portfolio value: the value, as of the date of this report, of all accounts covered by this report

Price: Market price per security, as of the date of this report

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PAGE**

Important Information

Total Liability: the total credit and outstanding balances across traditional credit product
Unrealized G/L(\$): the difference between the Mkt Value and the Cost
Unrealized G/L (%): the Unrealized G/L (\$) as a percentage of Cost

Tax

J.P. Morgan and its affiliates and employees do not provide tax, legal or accounting advice. Please consult your own tax, legal and accounting advisors before engaging in any financial transactions.

Valuation

General

We may value an asset using one of our own pricing models or an external pricing service. Its resulting value could be based on, among other things, estimates and assumptions about relevant future market conditions, which are subject to change without notice. Values based on other models or different estimates or assumptions could be materially different. Values might not represent the actual terms at which transactions or securities could be bought or sold, at which new transactions could be entered into, or at which existing transactions could be liquidated, assigned or unwound.

Values shown in this report may differ from those in other documents, such as statements and performance reports, because of, e.g., updated pricing, late posted trades and income accruals. An asset's value could reflect a price estimate on a day different from the specified date.

We do not validate data for external accounts. If you have questions about your external accounts, please ask your external service provider. Please notify us promptly if you believe any information you have given us is inaccurately reflected in this report.

Exchange-traded funds

For exchange-traded funds, the market value includes the value of any non-marketable securities, which are valued monthly using a fair value methodology approved by the fund manager's board. The market price also reflects the line of credit used to finance the non-marketable securities and the swap contracts used to mitigate the interest rate risk between the floating rate on the line of credit and the fixed rate of the non-marketable securities. The fund can draw down on the line of credit to pay expenses and management fees at startup or if dividend income from the underlying securities cannot pay the fund's expenses.

Private investments and Real Estate

Direct private investments and pooled private investments (e.g., interests in limited partnerships and limited liability companies) are generally illiquid securities. Values are estimates and might not be accurate.

Direct private investments are generally valued at cost, unless there is an active

secondary trading market in the securities, in which case direct private investments are valued using market prices as of the close of the business day as of which the value is shown in this report.

Pooled private investments are valued according to the General Partner's or Manager's stated methodology. Pooled private investments are generally valued at cost unless a significant investment event requires a revaluation. The valuation methodologies generally include an appraisal of the underlying assets at the lower of cost or a written-down amount, at a value at which third party financing has occurred, at a market price if an active secondary market develops, or at a value calculated by an independent party. Please see the operative documents for each investment for its specific valuation methodology.

For Pooled Private Investments and Real Estate, the "Estimated Market Value" is an estimate based on the latest value received from the underlying fund, which value may be as of a date before the date shown in this report. The value provided by the fund has been adjusted for any cash flows between your account and the fund since the fund value date to determine the Estimated Market Value. Therefore, the Estimated Market Value might not reflect the value of your interest shown on the fund's books and records as of the date of this report.

Hedge funds

Hedge funds generally calculate the price (shown in this report as the "Market Value") 10-15 business days after the last business day of the month. Therefore hedge fund Market Values shown may be the value of the month before the month for which the Market Value is shown. The Market Value is generally stated net of management and incentive fees, and calculated according to the General Partner's or Manager's stated methodology. Please see the operative documents for each hedge fund for its specific valuation methodology. Effective December 31, 2010, hedge fund prices are retroactively updated for prior months once final pricing is determined. Before December 31, 2010, the hedge fund performance was priced on a one-month lag without retroactive updates.

Hedge funds generally allow monthly or quarterly subscriptions. Your interest in a hedge fund or hedge fund of funds is generally as of the first business day of the subscription period. If the fund has early funding requirements, your account could be debited for the subscription amount before the subscription date. Please see the applicable hedge fund's operative documents for more details.

Alternative Investments

Alternative assets could include publicly available mutual funds using non-traditional investment management strategies, for example, strategies commonly used by hedge funds. Mutual funds generally calculate their price daily. Mutual fund values shown in this report are generally the value as of the close of the applicable business day, unless stated otherwise. Please see the mutual fund prospectus for more details. If the mutual fund is a JPM Fund, please see the disclosures in this report on JPMorgan Funds for other important information.

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Important Information

Conflicts of Interest

Conflicts of interest will arise whenever JPMorgan Chase Bank, N.A. or any of its affiliates (together, "J.P. Morgan" in this "Conflicts of Interest" section) have an actual or perceived economic or other incentive in its management of its clients' portfolios to act in a way that benefits J.P. Morgan. Conflicts will result, for example: (1) when J.P. Morgan invests in an investment product, such as a mutual fund, structured product, separately managed account or hedge fund issued or managed by JPMorgan Chase Bank, N.A. or an affiliate, such as J.P. Morgan Investment Management Inc.; (2) when J.P. Morgan obtains services, including trade execution and trade clearing, from an affiliate; (3) when J.P. Morgan receives payment as a result of purchasing an investment product for a client's account; or (4) when J.P. Morgan receives payment for providing services (including shareholder servicing, recordkeeping or custody) with respect to investment products purchased for a client's portfolio. Other conflicts will result because of relationships that J.P. Morgan has with other clients or when J.P. Morgan acts for its own account.

Investment strategies are selected from both J.P. Morgan and third-party asset managers and are subject to a review process by J.P. Morgan's manager research teams. From this pool of strategies, J.P. Morgan's portfolio construction teams select those strategies it believes fit its asset allocation goals and forward looking views in order to meet the portfolio's investment objective.

As a general matter, we prefer J.P. Morgan managed strategies. We expect the proportion of J.P. Morgan managed strategies will be high (in fact, up to 100%) in strategies such as, for example, cash and high-quality fixed income, subject to applicable law and any account-specific considerations.

While internally managed strategies generally align well with J.P. Morgan's forward looking views, and J.P. Morgan is familiar with the investment processes as well as the risk and compliance philosophy of the firm, it is important to note that J.P. Morgan receives more overall fees when internally managed strategies are included. J.P. Morgan offers the option of choosing to exclude J.P. Morgan managed strategies (other than cash and liquidity products) in certain portfolios.

J.P. Morgan's Other Activities

Views, opinions and estimates expressed in this report may differ from those expressed by other areas of J.P. Morgan, or from views expressed for other purposes or in other contexts.

We may hold an investment, enter into a transaction or engage in other activities, for us or our other clients, that is not consistent with the information or opinions in this report. We or our affiliates may hold an investment or act as market maker in the financial instruments of any issuer discussed in this report or act as an underwriter, placement agent, advisor or lender to such an issuer.

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UNT Foundation

UNTS Long-Term Pool Update
Board of Regents Meeting
November 2017

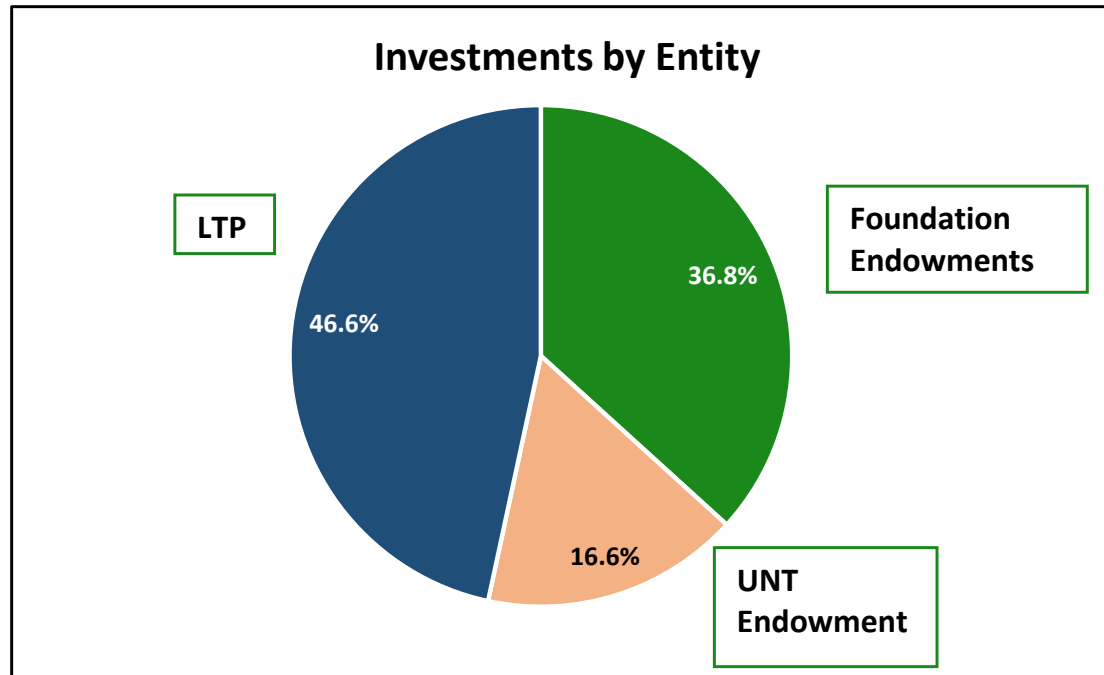
Mike Mlinac, UNT Foundation President and CEO
Alfred Lockwood, UNT Foundation Director of Investment Administration



Agenda

- Assets Under Management
- Investment Performance – 1, 5, 10 Years
- Recent Performance Drivers
- Asset Allocation
- Importance of Diversification
- Impact of Prolonged Low Interest Rates
- Recent Results
- Foundation's Investment Consultant
- Long-Term Pool (LTP) Investment Policy Statement

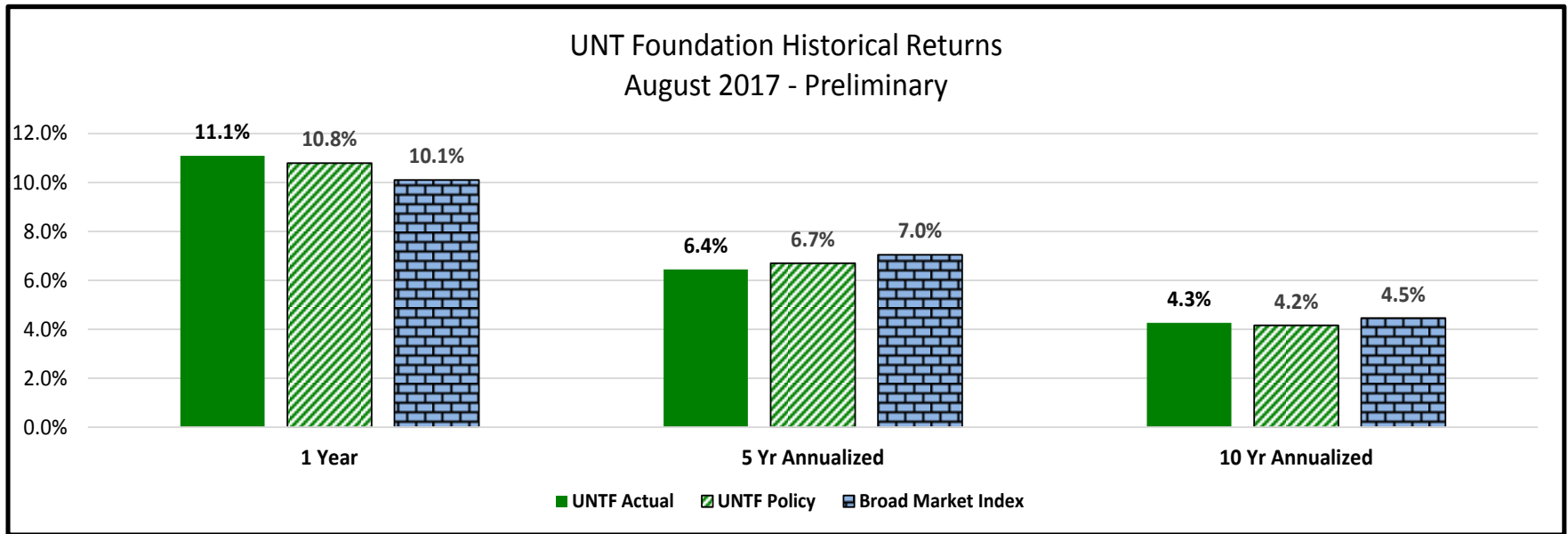
Assets Under Management – 08/31/2017



| | |
|----------------------------|-------------------------|
| ➤ Foundation Endowments | \$114.0MM |
| ➤ UNT Endowments | \$ 51.5MM |
| ➤ UNT Long-Term Pool (LTP) | <u>\$144.6MM</u> |
| ➤ Total AUM | <u><u>\$310.1MM</u></u> |

UNT Foundation manages investments for its own endowments, those of UNT, and the UNT System's Long-Term Pool, in which UNTS-affiliated institutions participate.

Performance – FY 2017



Note: Broad Market Index prior to March 1, 2016 is 60% MSCI ACWI / 40% Barclays Aggregate. Post February 29, 2016, the Broad Market Index is 40% DJ U.S. Total Stock Market / 20% MSCI ACWI ex U.S. / 30% Barclays Aggregate / 10% CPI.

NOTE: All returns adjusted to be net of fees. A 25 bp fee burden is applied to both the Policy Index and Broad Market Index as a proxy for passive fund fees. All figures exclude Foundation’s 10 bp LTP fee.

Markets experienced steady gains throughout 2017. 5-year relative performance impacted by 2015 energy and EM bear markets. 10-year returns impacted by 2008 global bear market.

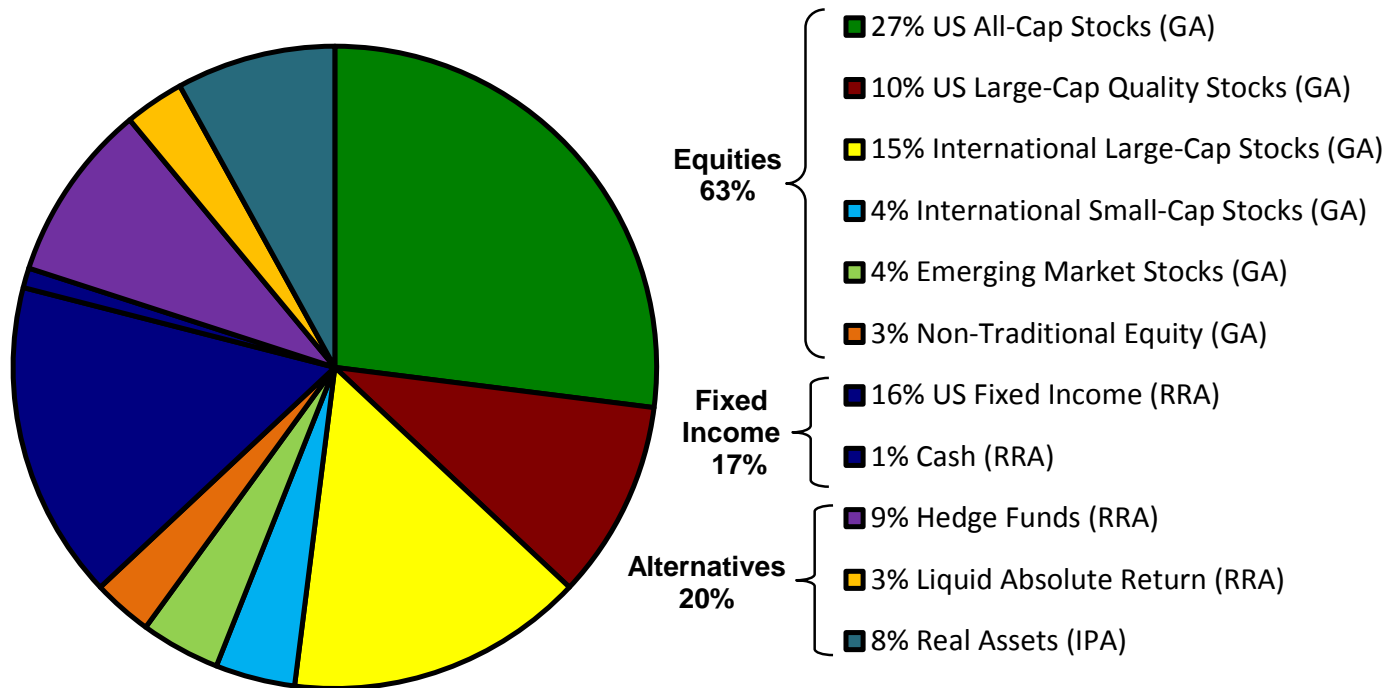
Performance Attribution

| | Return | Return | Return |
|--------------------------------|--------------|-------------|-------------|
| | FY 2017 | FY 2016 | 5 Yr Annual |
| Long-Term Pool | 11.1% | 7.3% | 6.4% |
| UNTF Policy Index | 10.8% | 6.0% | 6.7% |
| Broad Market Index | 10.1% | 6.8% | 7.0% |
| Domestic Equity | 15.9% | 13.9% | 14.0% |
| Developed International Equity | 16.8% | 2.4% | 8.9% |
| Global Equity | 17.1% | 5.2% | na |
| Emerging Market Equity | 22.1% | 8.6% | 4.9% |
| Domestic Fixed Income | 1.0% | 6.3% | 3.2% |
| Hedge Funds | 8.5% | 0.2% | 2.2% |
| Energy & Commodities | -7.4% | 9.3% | -5.2% |
| Global Real Estate | 2.8% | 14.0% | 7.5% |

NOTE: All returns adjusted to be net of investment manager and consultant fees.

Equity markets broadened out in fiscal 2017 with a synchronized global economic recovery underway. Low and rising interest rates held back fixed income and REITs. Oil declined on excess supply.

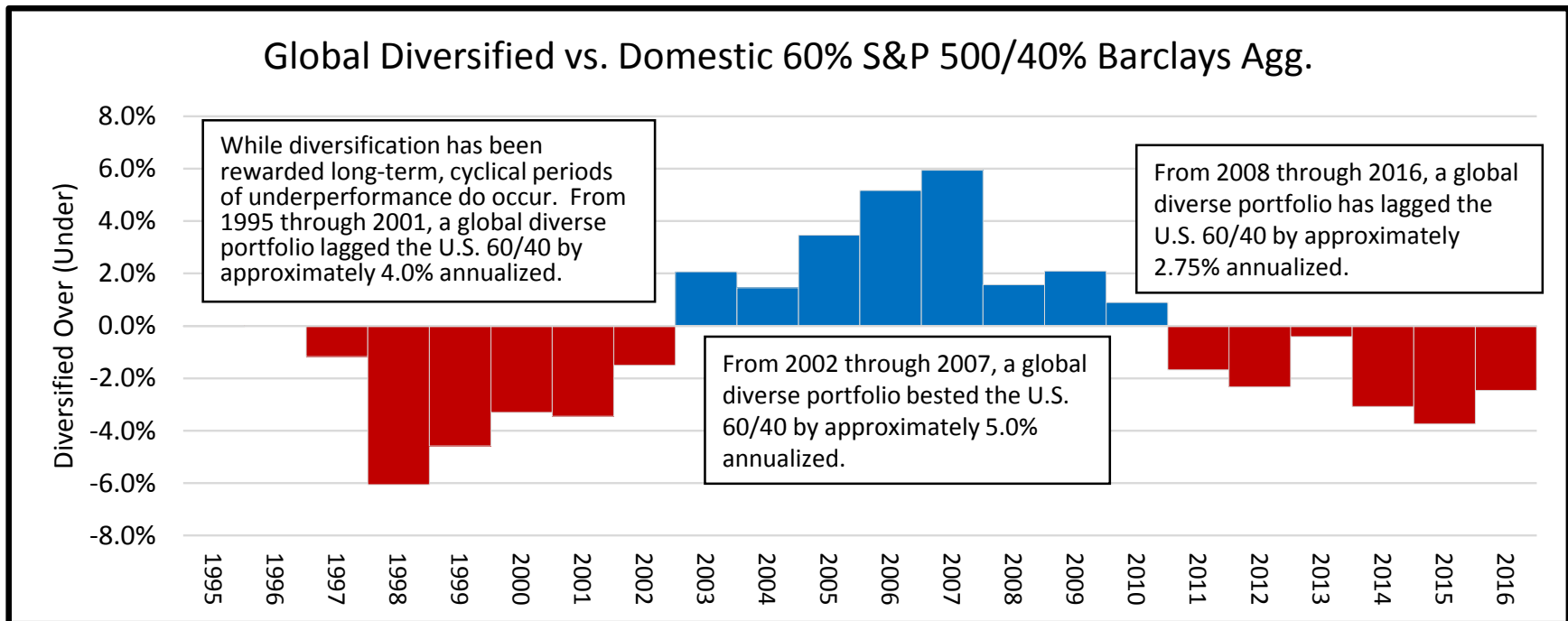
LTP Policy Asset Allocation – 08/31/2017



Portfolio objectives: Growth Assets (GA), Risk Reduction Assets (RRA), Inflation Protection Assets (IPA)

Portfolio is broadly diversified to spread risk and achieve attractive long-term, risk-adjusted returns.

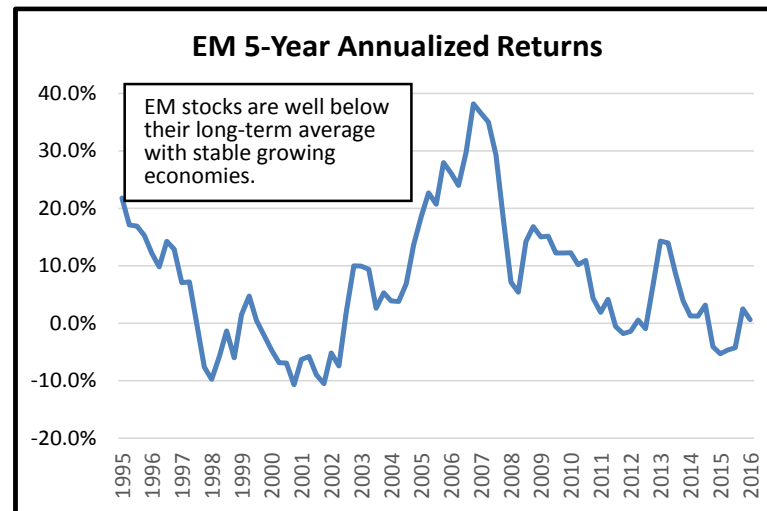
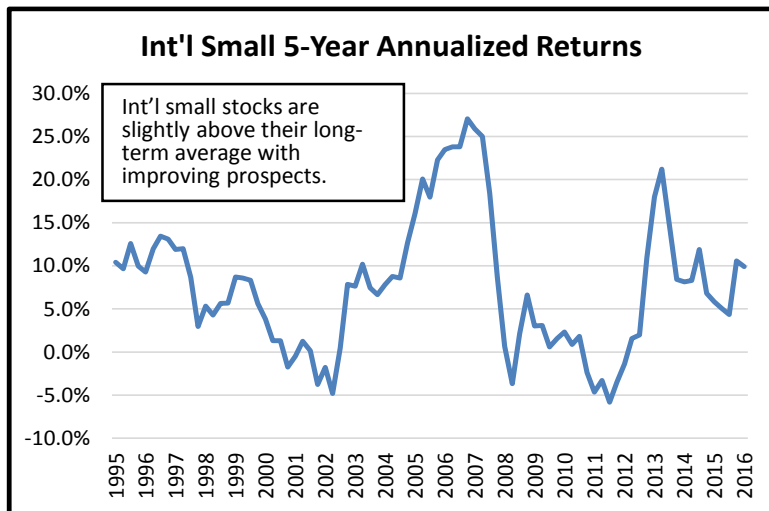
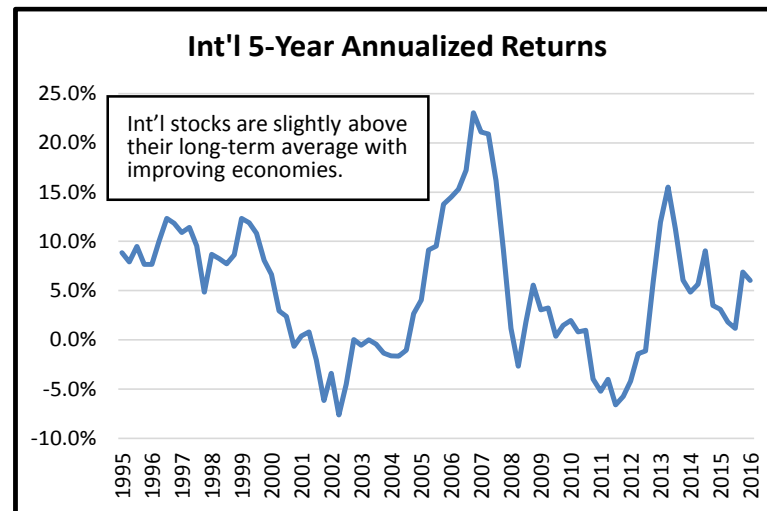
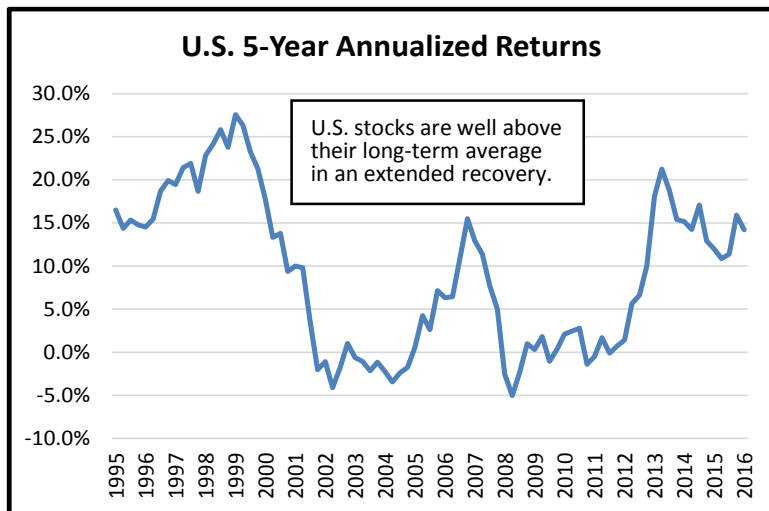
Diversification Works Over Time ... But Cycles



Note: Diversified portfolio consists of 55% global equities, 5% REITs, 15% hedge funds, 17% investment grade fixed income, 3% high yield debt, and 5% commodities and natural resources.

Market performance cycles in and out of favor between domestic vs. global diversification.
Recent history has favored the U.S.

Returns Ebb And Flow Around The Mean



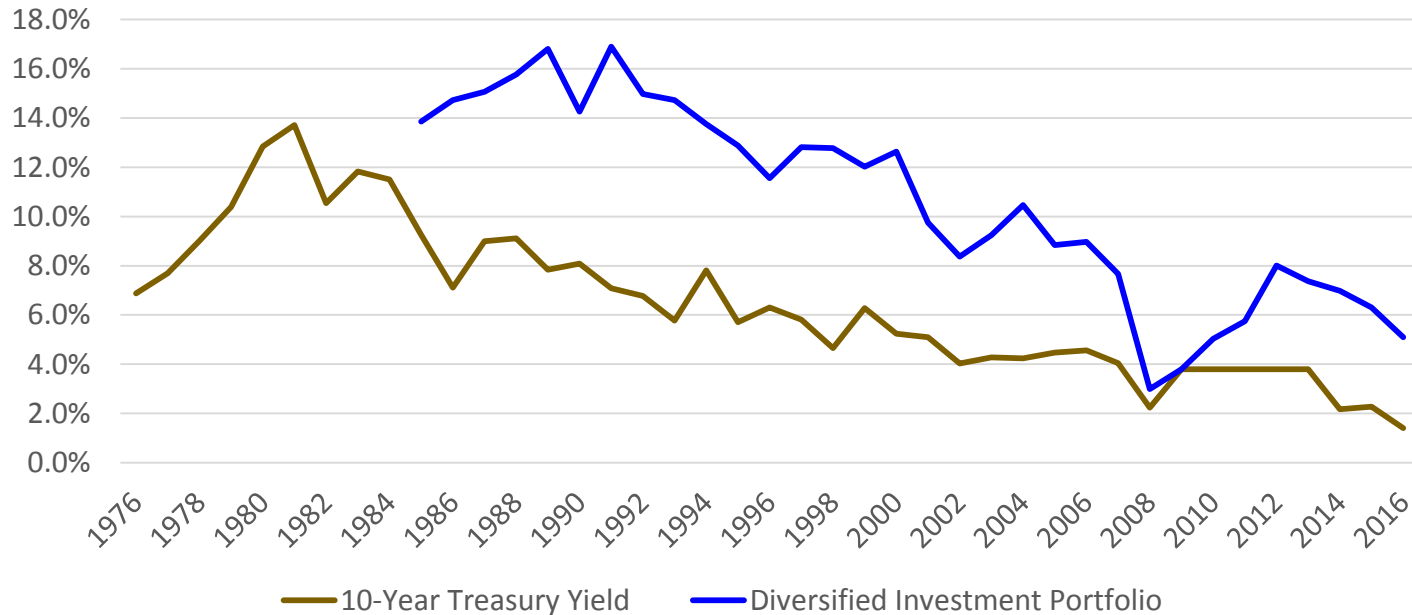
U.S. markets have led global equities over the past five years, but mean reversion and relative valuations suggest international and emerging market stocks may now have more potential.

Interest Rates, Return Trends, and Expectations

➤ Expected Returns:

- Mercer 10 years: 6.20%
- JP Morgan market cycle ex fees: 6.30%
- GMO 7 Years (optimistic case): 5.60%

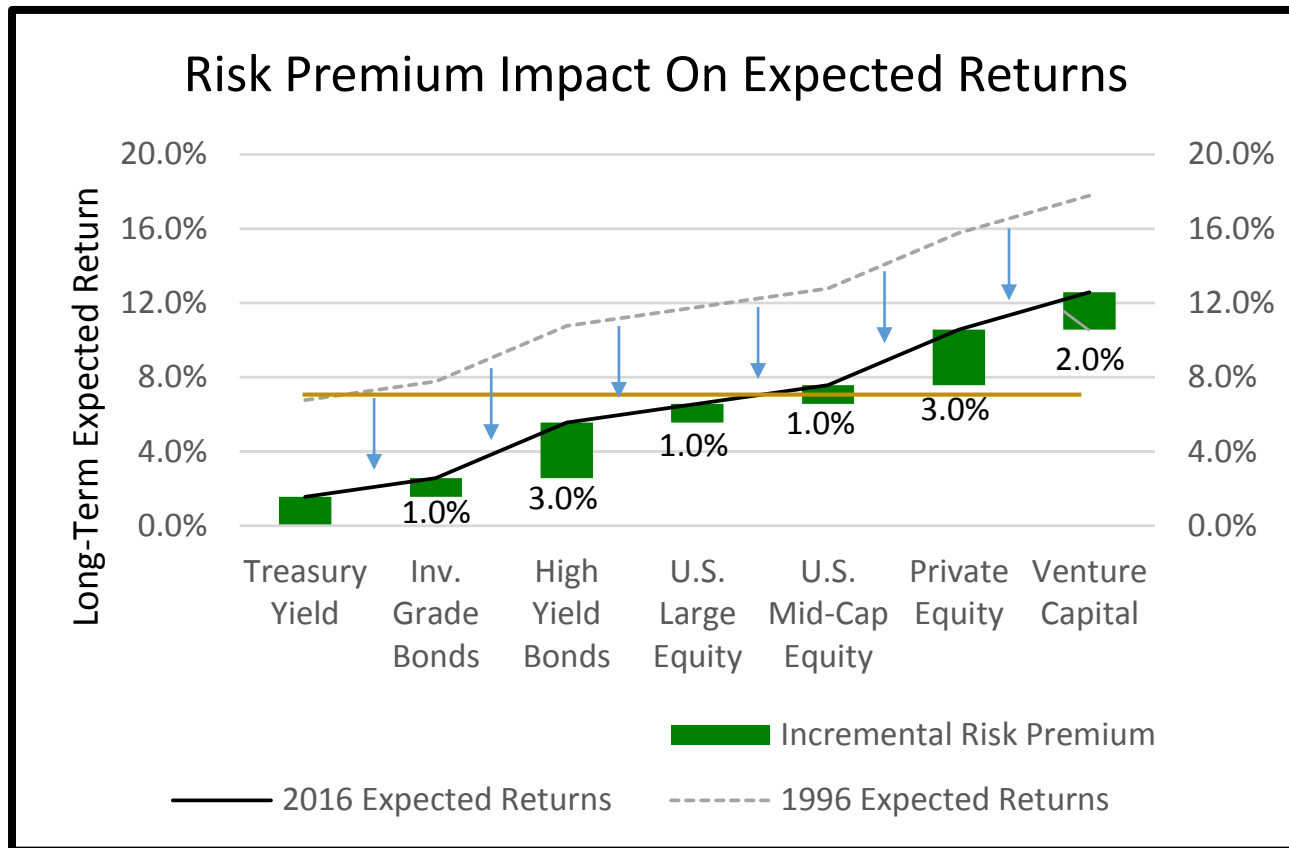
Long-Term Treasury Yields and Investment Returns



Note: 10-Year investment returns consist of a portfolio of 40% U.S. stocks, 20% Int'l Developed Countries stocks, 10% REITs and 30% bonds.

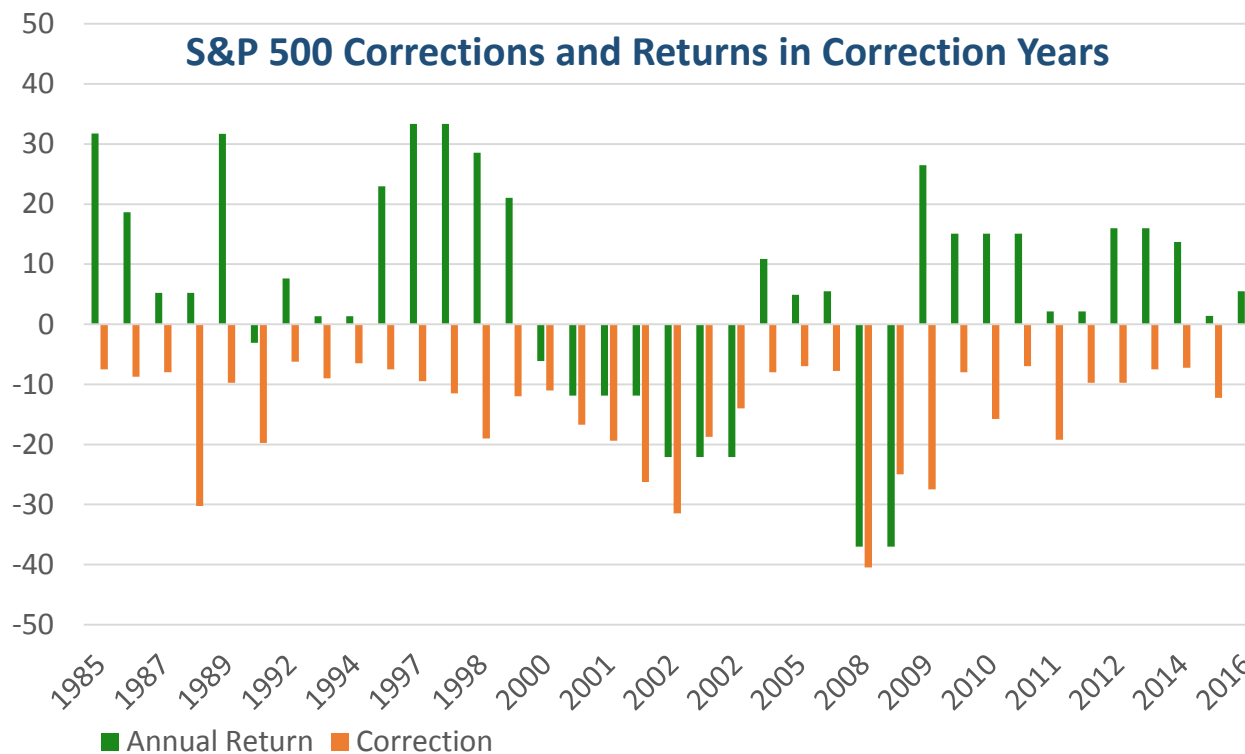
Independent investment firms are forecasting lower rates of return over the next five and ten years versus historical averages. Expectations should be tempered accordingly.

Lower Risk-Free Rate Brings Down Investment Expectations



U.S. 10-year treasury yield has declined 5% over the past 20 years. Investors must understand that the return outlook is muted, requiring more risk to be taken today vs. the past to achieve objectives.

S&P 500 Corrections History



Market correction is considered as S&P 500 closing 6% below it's most recent closing high from prior bull rally. Source: William O'Neil, Yahoo Finance, S&P, Baseline, Bloomberg

25 of the past 32 years experienced at least a minor correction. One-third experienced more than one per year. Corrections are normal to investment cycles, but hard to predict accurately.

Recent Results for FY 2018

To be updated live at the meeting.

UNTF Engaged a New Investment Consultant

- UNTF is further embracing the “endowment model,” increasing its allocation to private investments to capture “illiquidity premiums.” Expanded endowment investment pool and excess liquidity supports investment in alternative assets that can enhance long-term performance.
- Mercer Consulting has been UNTF’s consultant for 10+ years. Service has been good and performance acceptable. RFP issued to re-evaluate market offerings.
- Rigorous RFP process conducted to evaluate investment consulting providers’ capabilities, cost and fit with UNTF:
 - Engage consultant for at least 5 years initially.
 - Critical capabilities in research, access, service and operations support.
 - Style and fit with UNTF Investment Committee.
 - Ability to support multiple strategies (i.e., endowments and LTP) and growth.
- Selection Committee composed of Foundation Investment Committee members, plus senior Foundation staff.

UNTF's Investment Committee

| <u>Name</u> | <u>Professional Affiliation</u> |
|-------------------------|-------------------------------------------------------------|
| Brad Bourland, Chair | President & CEO, Diesslin Group Inc. |
| Thomas Muir, Vice Chair | President & CEO, Cultivar Capital, Inc. |
| Timothy Dwight | CEO, SpringVest Wealth Management, Inc. |
| Jonathon Fite | Managing Partner, KMF Investments Management, LLC |
| Aaron Junk | Vice President, JP Morgan Asset Management |
| Sandy Sanford | Financial Advisor for McLane Group, LLC |
| Drew Springer | Owner, Springer Financial Services |
| Jack Wall | Retired-Executive Vice President, Eppler, Guerin and Turner |
| Janet Waldron | Vice Chancellor for Finance, UNT System |
| Bob Brown | Vice President for Finance and Administration, UNT |
| Samuel Golden, Emeritus | Managing Director & Sr. Advisor, Alvarez & Marsal, LLC |

Consultant Selection Process and Appointment

- Thorough evaluation of available options:
 - Twelve firms were invited to participate in comprehensive RFP, based on industry presence, expertise, reputation, and referral.
 - All firms submitted written responses and were evaluated for investment philosophy and approach, depth and breadth of research capabilities, consultant experience and reputation, reporting, experience with endowments and universities, investment performance, price and operational support services.
 - Four finalists selected from initial response evaluations made presentations to UNTF's Selection Committee and answered questions.
- Client referrals contacted and additional due diligence performed.
- Finalists' pros and cons evaluated, with final ranking to select investment consultant.
- Foundation Board approved appointment of LCG Associates as UNTF's new consultant for the endowment pool. UNTS approval received for LCG to serve as consultant to Long-Term Pool. Both appointments are effective 1/1/2018.

LCG Associates will be UNTF's new investment consultant, advising both the endowment and UNTS LTP investment pools.

Investment Policy Statement Is Key to Investment Program

- Investment Policy Statement (IPS) serves a critical role in investment program:
 - Defines roles and responsibilities of parties involved.
 - Guidance for investment manager to follow.
 - Structure and definitions allow for effective oversight by governing body.
- While co-invested with endowments, UNTS LTP followed UNTF's endowment IPS. Objectives, risk profiles, etc. of LTP and endowments were historically aligned.
- Creation of Intermediate Pool, more aggressive LTP strategy, and LTP's no-overlap mandate with other UNTS investment pools, warrants a revised LTP IPS.
 - LTP's changed objectives, risk tolerance, liquidity requirements, allowable investments, and structure will diverge from endowments, appropriately.
 - LTP will become a separate investment pool, forfeiting some co-investment economies.
 - UNTS should complete revisions to LTP's IPS. Existing UNTF endowment IPS will serve as interim LTP IPS until UNTS completes new IPS.
- Beginning 1/1/2018, LTP will be segregated as a separate investment pool to facilitate transition to its new strategy. In May, UNTF will present agreement changes and fee increase to reflect the LTP's new functional arrangement.

Summary and Questions

- 2017 was a positive year. Equity market performance was more global than in prior years, posting double-digit returns.
- Things to keep in mind:
 - Investments and strategies cycle in and out of favor; diversification is a beneficial long-term approach.
 - Corrections are a normal part of market cycles; current bull market is extended.
 - Future market return expectations are muted.
- UNTF continually reviews its portfolio to enhance risk-adjusted returns.
- UNTF has selected a new investment consultant to advise endowment and LTP investment pools.
- Important for UNTS to complete revisions to LTP's IPS; existing UNTF endowment IPS will be used during interim.
- Questions?

Having a strategy, sticking to it, diversification and rebalancing have long-term benefits. UNTF will engage new consultant. UNTS should complete revision of its LTP IPS.



Crime Statistics and Safety Strategies

Presented by: Ed Reynolds, Chief of Police, University of North Texas
Maureen McGuinness, Ed.D., Dean of Students/Assistant Vice
President, University of North Texas

Date: November 16-17, 2017

UNT Police Department



- 45 Sworn Police Officers and 9 Communications Officers licensed by the Texas Commission on Law Enforcement (TCOLE)
- Police Officers complete twice as many training hours than required by TCOLE
- Accredited by the Commission on Accreditation for Law Enforcement Agencies (CALEA) and the International Association of Campus Law Enforcement Administrators (IACLEA) since 2006
 - Only 7% of all law enforcement agencies nationwide are accredited
 - Only 24 University/College police departments are accredited by both IACLEA and CALEA out of over 5,000 institutions

3 Divisions

- Operations
- Criminal Investigations
- Administrative Services

Multi-Layered Approach to Security

- 24/7/365 Patrol & Communications Center
- Video Surveillance – over 1,000 cameras
- Emergency Phones – 69 on campus
- Alarm Monitoring – 298 burglar and panic alarms
- Social Media Monitoring
- CARE Team
- Intelligence Sharing (Local, State & Federal)
- Emergency Operations Center

Emergency Preparedness

- Campus-wide Training
 - Safety & Security Seminar
 - New Student/Faculty/Staff Orientation
- Emergency Planning/Training:
 - Full Scale Exercises
 - Bi-Monthly Tabletop Exercises
 - Civil Disorder
 - Multi-Agency Active Shooter Response

Major Challenges in Higher Education Safety

- Domestic & International Terrorism
- Mental Health
- Civil Disorder
- Opioid Crisis

- History of the Clery Act
- Campus Security Authority
- Geography
- Crime Statistics
- Daily Crime and Fire Logs
- Emergency Notification and Timely Warnings
- Annual Security Report

Clery Act Crimes for UNT



| Totals for Years: | 2016 | 2015 | 2014 |
|-----------------------------------|------|------|------|
| Murder/Non-negligent Manslaughter | 0 | 0 | 0 |
| Manslaughter by Negligence | 0 | 0 | 0 |
| Rape | 14 | 7 | 12 |
| Fondling | 8 | 6 | 6 |
| Incest | 0 | 0 | 0 |
| Statutory Rape | 1 | 3 | 0 |
| Robbery | 3 | 0 | 2 |
| Aggravated Assault | 4 | 4 | 8 |
| Burglary | 11 | 15 | 26 |

| Totals for Years: | 2016 | 2015 | 2014 |
|-----------------------|------|------|------|
| Arson | 0 | 3 | 1 |
| Motor Vehicle Theft | 3 | 3 | 3 |
| Dating Violence | 9 | 11 | 6 |
| Domestic Violence | 4 | 5 | 7 |
| Stalking | 1 | 1 | 0 |
| ARRESTS/CITATIONS | | | |
| Liquor Law Violations | 178 | 204 | 139 |
| Drug Violations | 281 | 200 | 191 |
| Weapons Violations | 3 | 7 | 4 |

- The Code of Student Conduct defines:
 - Prohibited behavior
 - How reports of misconduct are investigated
 - Sanctions
- Applies to conduct on and off campus
- Students are accountable to both the law (police & courts) and university policy

Student Conduct

Referrals for Student Conduct (on and off campus)

| | 2017-2018 to date | 2016-2017 | 2015-2016 |
|---------------------------------------------------|-------------------|------------|------------|
| Alcohol | 109 | 303 | 304 |
| Drugs | 62 | 176 | 197 |
| Theft | 7 | 30 | 32 |
| Assaults | 5 | 11 | 9 |
| Weapons | 3 | 3 | 6 |
| Sexual Misconduct/Intimate Partner Violence | 58 | 136 | 73 |
| Total of ALL Referrals | 244 | 659 | 634 |

Prevention and Education Efforts

- The Dean of Students office has launched Green Dot, a national program built on the premise that everyone can measurably and systematically reduce violence.
- Haven - a mandatory program for all new incoming freshman and transfer students. Haven educates students about the elements of healthy relationships, being a good communicator, understanding and reporting sexual assault, the importance of sexual consent, and the role of bystanders in creating safe, healthy communities.
- National Hazing Awareness & Prevention Week
- Domestic Violence Awareness Month/White Ribbon Campaign
- Sexual Assault Awareness Month
- National Collegiate Alcohol Awareness Week/Drug and Alcohol Education Taskforce
- International Overdose Awareness Day (Fall 2018)

CARE Team

- Representatives from DOS, Police, Counseling, Housing, Academic Affairs, Conduct, and Human Resources
- Meet to discuss UNT Community members who may be a threat to self or others
- Assist in protecting health, safety and welfare of the UNT Community
- Support student and employee success
- Comprehensive response to individuals whose behavior is disruptive to themselves or the educational environment

| | 2017-2018 (to date) | 2016-2017 | 2015-2016 | 2014-2015 | 2013-2014 | 2012-2013 |
|-----------|------------------------|-----------|-----------|-----------|-----------|-----------|
| Referrals | 194 | 470 | 280 | 140 | 188 | 229 |

Crime Statistics and Safety Strategies

Presented by:

Laurie Clouse, Police Chief

Dr. Trisha Van Duser, Executive Director, Student Services & Title IX Coordinator

Date: November 16, 2017

Police Department

- **13** Sworn Police Officers
- **4** Communications Officers
- Accredited by IACLEA since **2012**

4 Divisions

- **Operations**
- **Parking Services**
- **Administrative Services**
- **Communications**

Police Services

- **24/7/365** Patrols
- Community Policing
- Emergency Phones – **30** on campus
- Video Surveillance – **140** interior cameras & **22** exterior cameras
- My PD Mobile App – **293** subscribers
- Facebook – **321** followers

- CRASE (Civilian Response to Active Shooter Event)
- Clery Act
- Handling Disruptive Individuals
- Minors on Campus
- Sexual Assault & Bystander Intervention
- S.H.A.R.P

Non-Campus

| | 2015 | 2016 |
|-----------------------------------|------|------|
| Aggravated Assault | 1 | 0 |
| Burglary | 8 | 1 |
| Drug Abuse Disciplinary Referrals | 2 | 3 |
| Liquor Law Arrests | 6 | 1 |
| Liquor Law Disciplinary Referrals | 3 | 0 |
| Robbery | 2 | 4 |

Public Property

| | 2015 | 2016 |
|---------------------|------|------|
| Drug Abuse Arrests | 0 | 2 |
| Motor Vehicle Theft | 0 | 2 |
| Rape | 0 | 1 |
| Robbery | 0 | 2 |

On-Campus

| | 2015 | 2016 |
|-----------------------------------|------|------|
| Liquor Law Disciplinary Referrals | 1 | 0 |
| Stalking | 1 | 1 |

Prevention

- Mandated Employee Training
- Employee Assistance Program
- Care Team
- Technology – Social Media Monitoring

Crime Statistics and Safety Strategies

Presented by: Dr. Dan Edelman, Executive Vice President and CFO, University of North Texas Dallas

UNT Dallas Police Department

- Nationwide Search for Chief of Police position
- 12 Sworn Police Officers (plus 2 open positions)
- 1 Administrative Staff Position
- 3 Student Workers
- Transitioned to 24/7 campus with emergence of Resident Hall
- Member of the Greater Dallas-Fort Worth Regional Law Enforcement Mutual Aid Task Force, Includes Dallas and DART Police Departments

Clery Act Crime Statistics 2014, 2015, 2016

| Totals for Years: | 2016 | 2015 | 2014 |
|----------------------------|------|------|------|
| Murder/ | 0 | 0 | 0 |
| Manslaughter by Negligence | 0 | 0 | 0 |
| Rape | 0 | 0 | 0 |
| Fondling | 0 | 0 | 0 |
| Incest | 0 | 0 | 0 |
| Statutory Rape | 0 | 0 | 0 |
| Sex Offenses, Forcible | 0 | 0 | 0 |
| Sex Offenses, Non-Forcible | 0 | 0 | 0 |
| Robbery | 0 | 0 | 0 |
| Aggravated Assault | 0 | 0 | 0 |
| Burglary | 0 | 0 | 0 |
| Arson | 0 | 0 | 0 |

| Totals for Years: | 2016 | 2015 | 2014 |
|------------------------------------|------|------|------|
| Motor Vehicle Theft | 0 | 0 | 0 |
| Dating Violence | 0 | 0 | 0 |
| Domestic Violence | 0 | 0 | 0 |
| Stalking | 0 | 0 | 0 |
| ARRESTS | | | |
| Liquor Law Violations | 0 | 0 | 0 |
| Drug Violations | 0 | 0 | 0 |
| Weapons Violations | 0 | 0 | 0 |
| NON-ARREST CAMPUS REFERRALS | | | |
| Alcohol | 0 | 0 | 0 |
| Drug violations | 0 | 0 | 0 |
| Weapons Violations | 0 | 0 | 0 |

*No hate crimes reported during 2016, 2015, 2014

Low Crime Rate/Statistics

- Visible law enforcement presence on campus
- Private security provided for college of law location supported by UNT Dallas
- Campus relatively small, isolated, criminal or inappropriate behavior hard to conceal
- One-way ingress and egress (excluding DART)
- Suspicious activity/persons reported in timely manner

Current Safety and Security Initiatives

- Expanded police presence
- Escorts to and from parking and DART station (when requested)
- Improved usage of cameras and videos
- Enhanced parking lot lighting and trimmed maturing trees
- Res Hall pathway lighting, cameras, emergency phone
- Campus wide code blue emergency phones
- Emergency preparedness training (i.e. active shooter, tornado)
- Pursuit of accreditation

Current Safety and Security Programs

- Bi-Monthly CERT meetings
- Campus Safety Presentations
- Emergency Preparedness planning and tabletop exercises
- Bystander intervention training
- QPR (Question, Persuade, Refer) – Suicide Prevention
- Rape aggression defense training
- 3rd Millennium – online drug and alcohol prevention
- 3rd Millennium CONSENT – online sexual assault/sexual education and awareness
- Additional Title IX annual campaign activities

Future Safety and Security Challenges

- Rapidly growing and traditional student body
- Additional residential hall
- Limited Parking
- Ongoing construction
- Open campus exposure
- Increased external events hosted on campus
- Expanded responsibilities when COL building is open

Community Standards

The code of conduct...

- defines parameters for prohibited behaviors
- identifies processes to ensure due process
- promotes a culture of accountability and integrity for on campus and off campus behavior
- aligns student accountability with community expectations (university policy) and local/state law

Student Conduct Statistics

| | Academic Year 2017-2018 | Academic Year 2016-2017 | Academic Year 2015-2016 |
|---------------------------------------------|-------------------------|-------------------------|-------------------------|
| Alcohol | 0 | 0 | 0 |
| Drugs | 6 | 0 | 0 |
| Theft | 0 | 0 | 0 |
| Assaults | 0 | 0 | 0 |
| Weapons | 0 | 0 | 0 |
| Sexual Misconduct/Intimate Partner Violence | 3 | 0 | 0 |
| Total for ALL Referrals | 9 | 0 | 0 |

Jaguar Campus Assessment Response and Education (CARE) Team

- Assists in the oversight of individual and group health, safety and well being
- Engages in risk assessment to address disruptive behavior inside and outside the classroom
- Participants include student affairs, academic affairs, community standards, campus Police department, counseling and division of student access and success
- Students Referred:
2017-2018: **15** 2016-2017: **38** 2015-2016: **41**

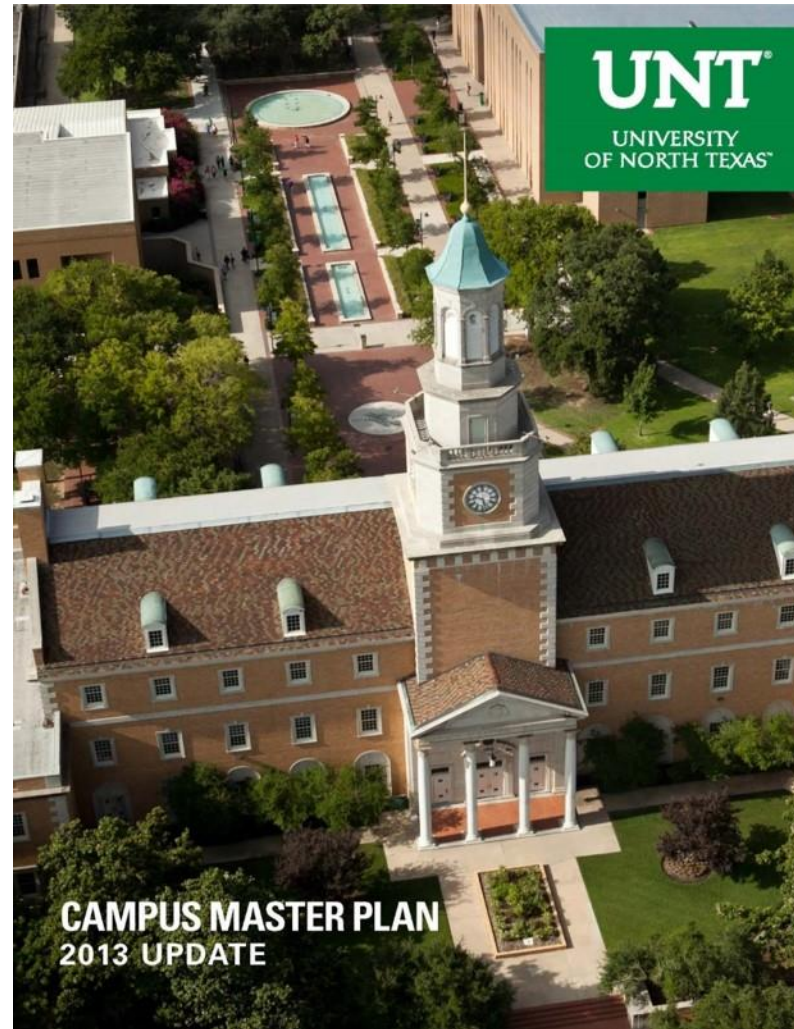
Campus Master Plan Update

UNT System Board of Regents

Presented by:

**James Maguire, Vice Chancellor for Facilities Planning and Construction
& Chief Architect**

Update on Progress of Campus Master Plan



Vision



The task of creating a **PLACE** appropriate to the UNT mission and the experiences for students on their academic and personal journeys, demands a **VISION** of a transcendent environment equal to the **TRANSFORMATION** that is the educational process.

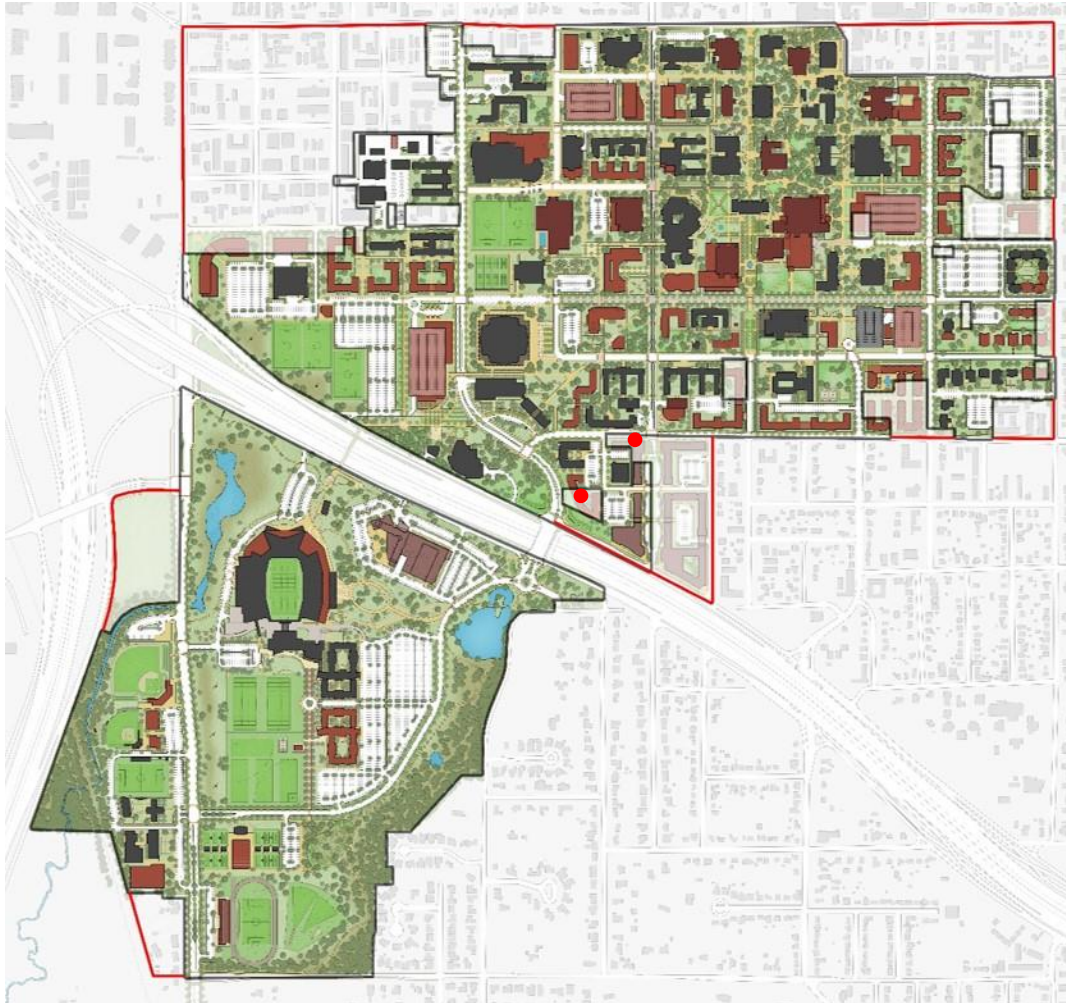


Master Plan 2013 Update Goals



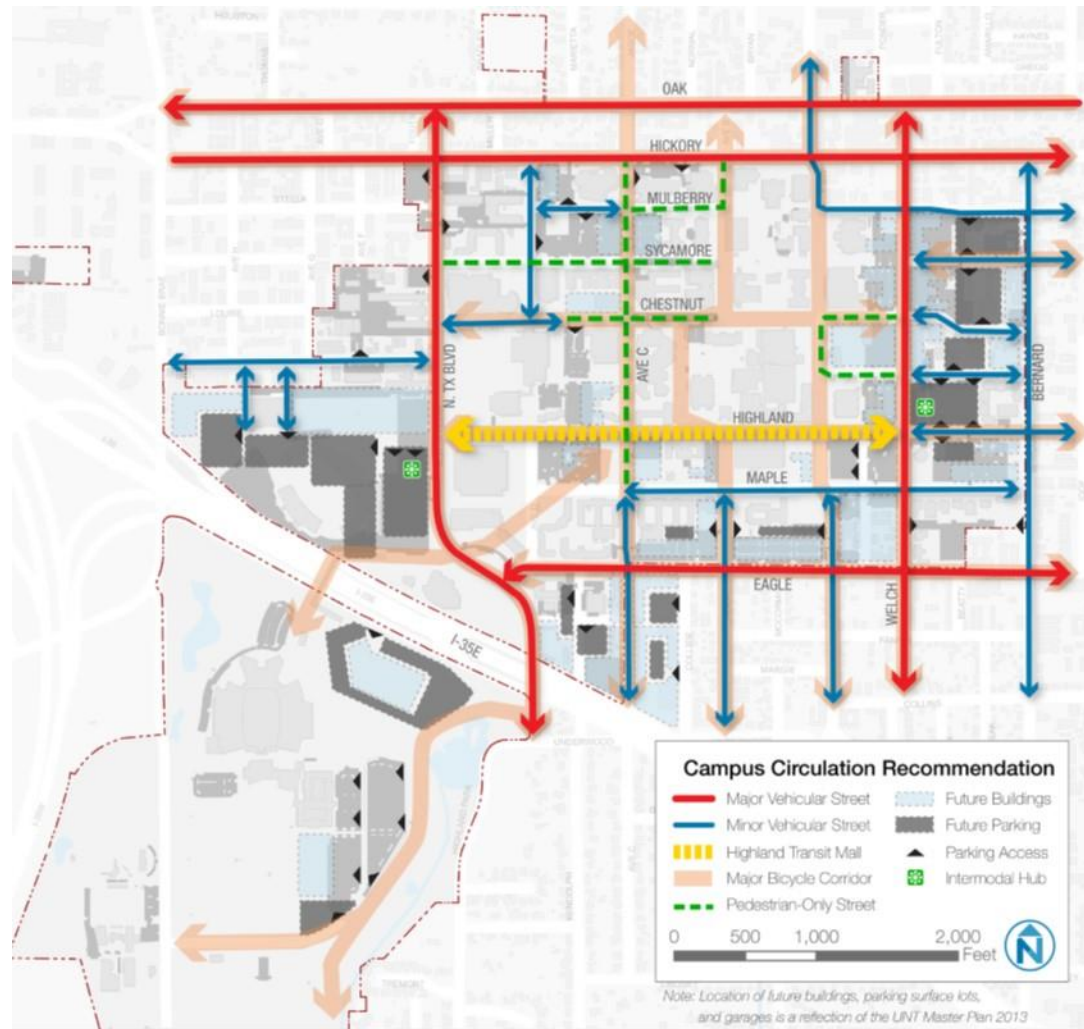
- Strategic Plan informs Master Plan
- Accommodate enrollment growth
 - Current space needs
 - Projected space needs
 - Housing, parking, recreation
- Enhance circulation and connectivity
 - Pedestrian, Bicycle
 - Transit
 - Vehicular
- Improve campus identity at gateways, edges
- Reinforce campus character, quality and sense of PLACE with integrated standards for architecture, landscape, hardscape, site furnishings, lighting and sustainability.

Acquisition Boundary



Continue to pursue purchases within acquisition boundary to support master plan implementation

Parking & Transportation Master Plan

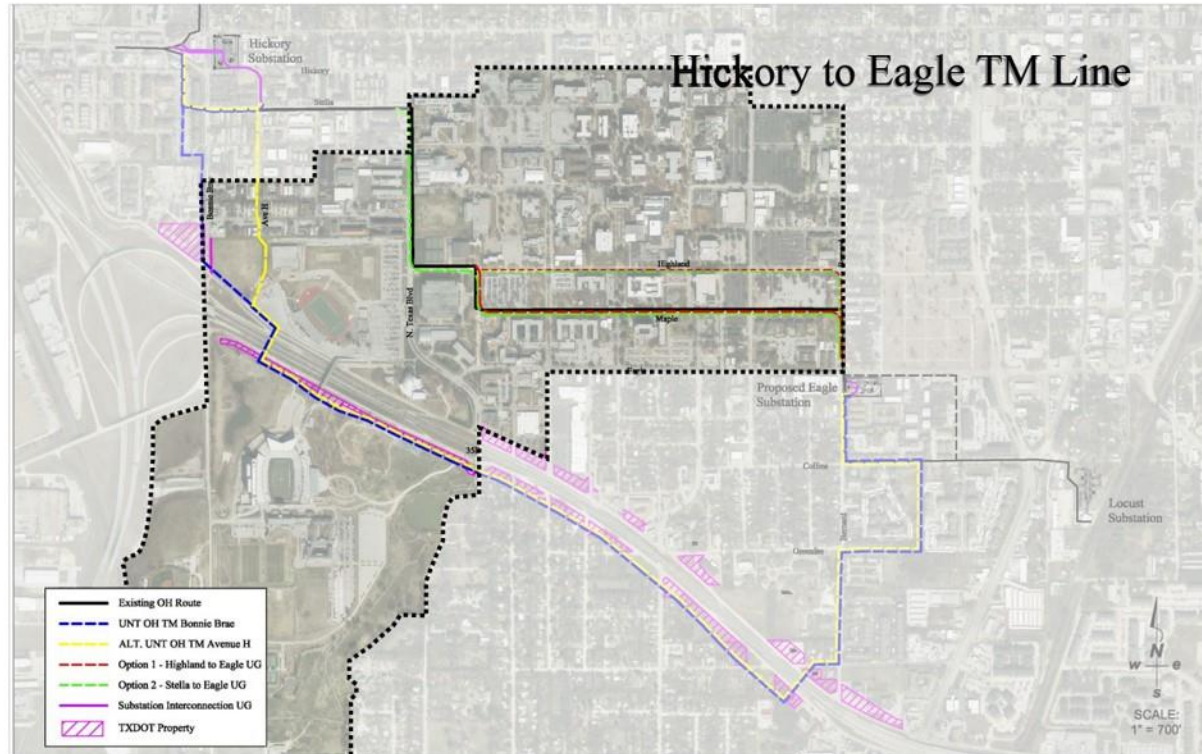


Detailed Transportation & Parking Master Plan element is completed providing recommendations and tools for improving all modes of campus access.

Implementation:

- Redesigned Transportation Services Website
- Campus-wide bike share program
- Car-sharing program
- Upgrades to technology and pre-payment systems
- Coordinating with City of Denton to address long term connections to campus

DME Electric Utility Study



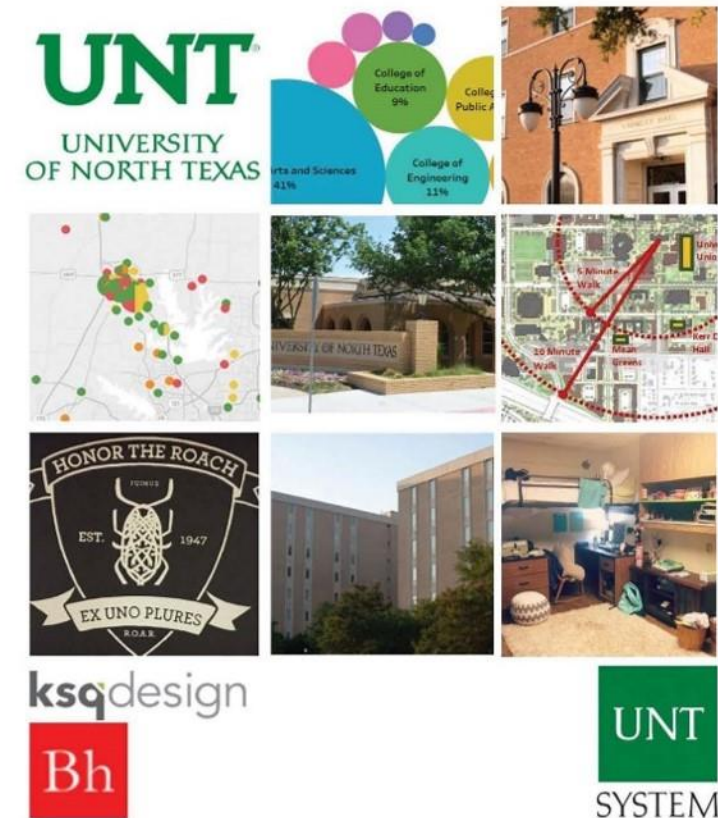
Ongoing collaborative study with Denton Municipal Electric to evaluate feasibility and financing options for relocation or undergrounding of key electric transmission facilities on campus.

Expected study completion
Spring 2018

Housing Master Plan

- Comprehensive master plan for student housing on the UNT campus
- Develop a strategic analysis to inform goals and objectives, including projected target for on-campus housing
- Develop a housing and utility infrastructure condition assessment
- Create a financial model to provide comprehensive detailed budget analysis of projected housing revenues, expenses, and capital costs

Housing Master Plan University of North Texas



Athletics Facilities Master Plan

- Develop a comprehensive master plan for athletic facilities and a program for a new indoor practice facility at Eagle Point
- Conduct a master plan assessment to identify, prioritize and sequence any necessary improvements



UNIVERSITY OF NORTH TEXAS
ATHLETIC FACILITIES MASTER PLAN REPORT

Indoor Practice Facility

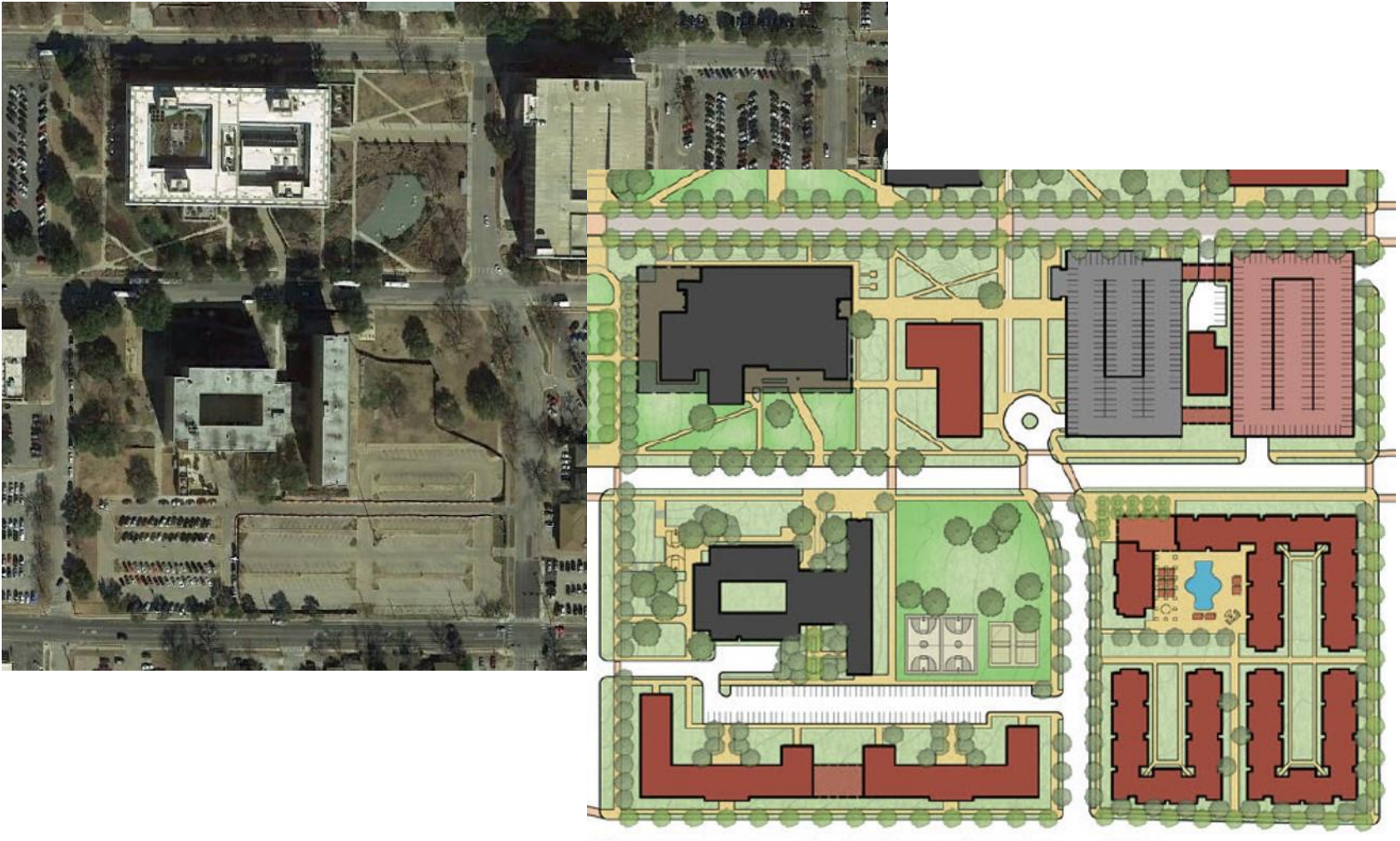
- 94,000 SF Indoor Practice Facility
- Full length football field
- 3-lane track surface and support spaces



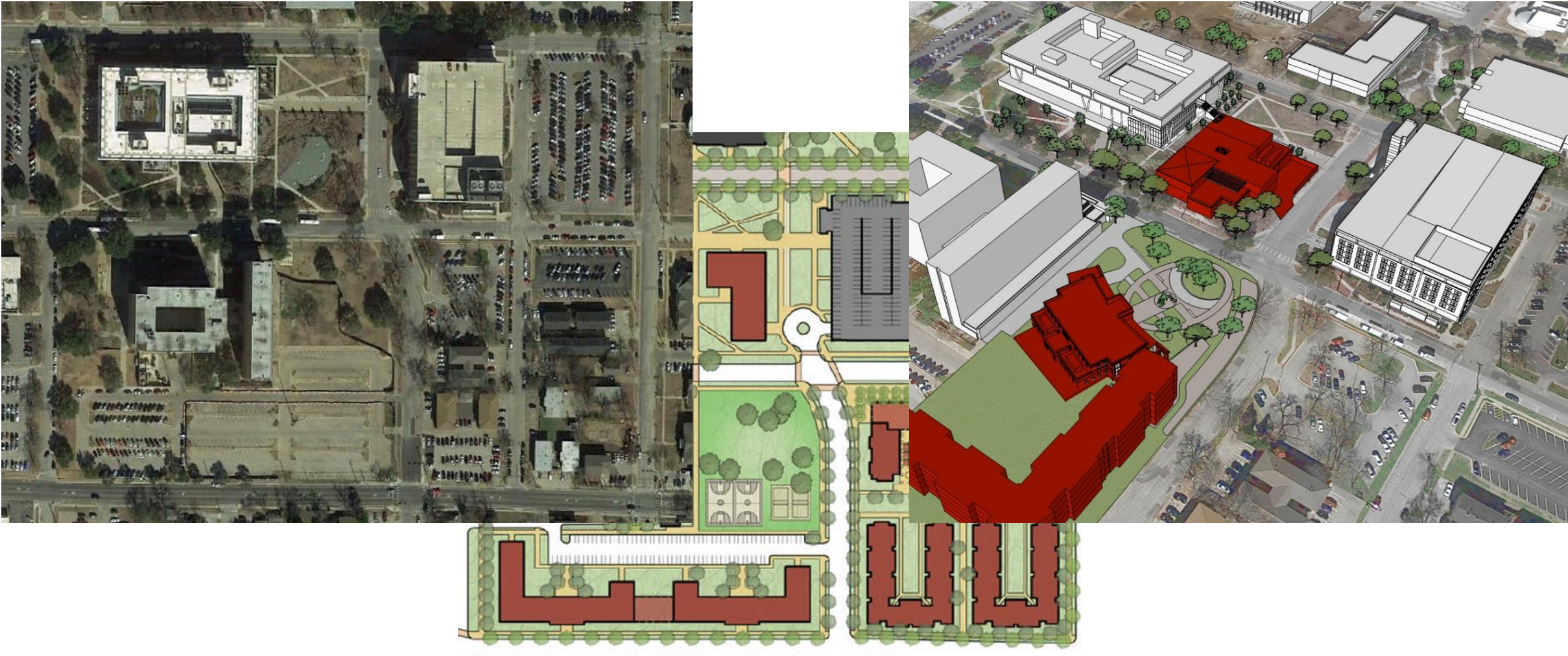
Maple & Avenue A – Precinct Plan



Maple & Avenue A – Precinct Plan



Maple & Avenue A – Precinct Plan



2018 Residence Hall

Project Budget:

\$58,900,000

Scheduled Completion:

Spring 2019

Description:

- 500 bed living-learning environment
- Create connectivity to campus
- Define southern edge of campus and Gateway at corner of Avenue A and Eagle
- Includes Tour Center

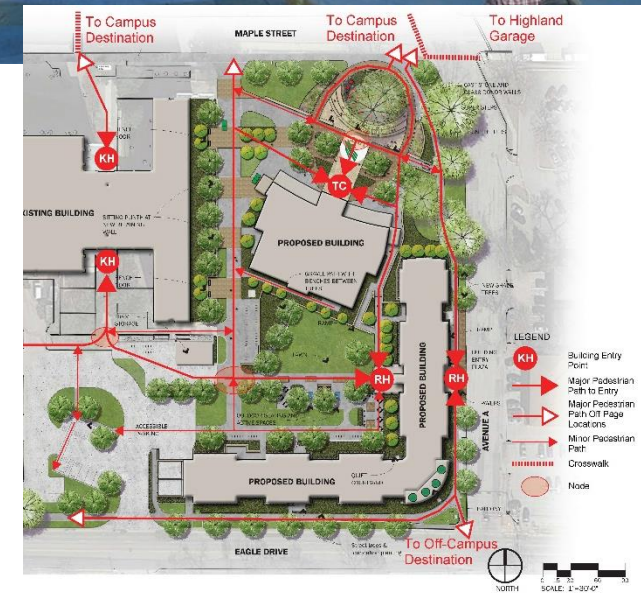


Tour Center

Consolidated services for prospective and new students for including Housing and Dining Services

20,100 GSF with space for the following:

- Large Presentation Room
- Large Classroom / Conference room
- Huddle Rooms
- Mock living unit
- Housing / Dining Administrative offices



Dining Hall

- Construction of a new stand-alone dining hall to replace the existing Kerr Dining facility
- Provide a modern, state-of-the-art facility to maximize the visibility and accessibility of freshly prepared foods
- Approximately 36,200 GSF with seating for 700
- Approximately 2,700 GSF retail dining concept



UNT College of Visual Arts and Design Facility

- Studios, classrooms and seminar rooms
- Specialized instructional space including 3D graphics and visualization labs
- Specialized teaching labs
- Art galleries & student exhibition spaces
- Informal collaborative study areas
- Administrative and Faculty Offices and support areas



Sited just east of current Art Building in the Northeast corner of main campus

UNT College of Visual Arts and Design Facility



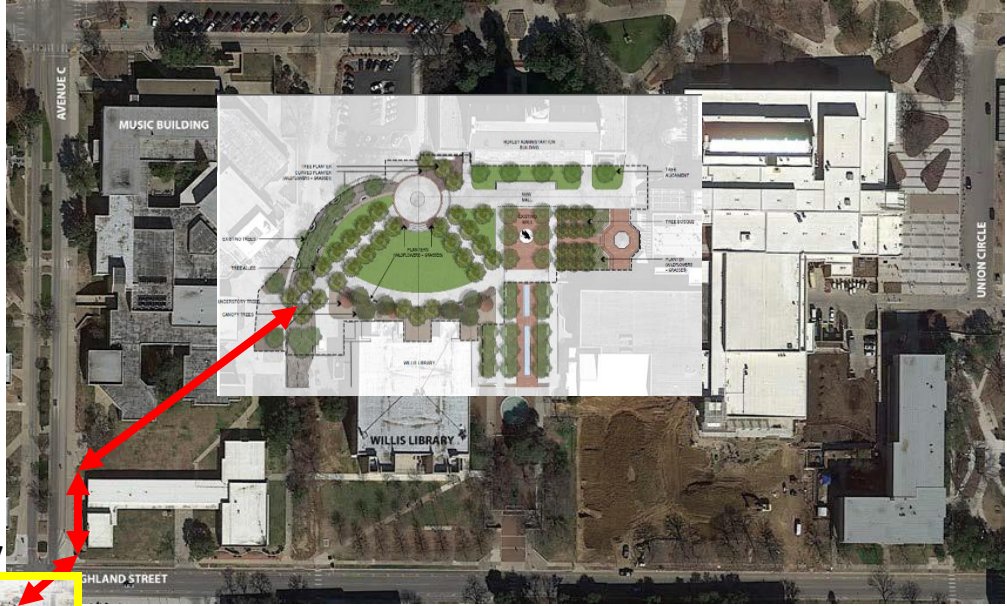
Includes Central Path

Continuing Campus Path Development

Central Path Extension



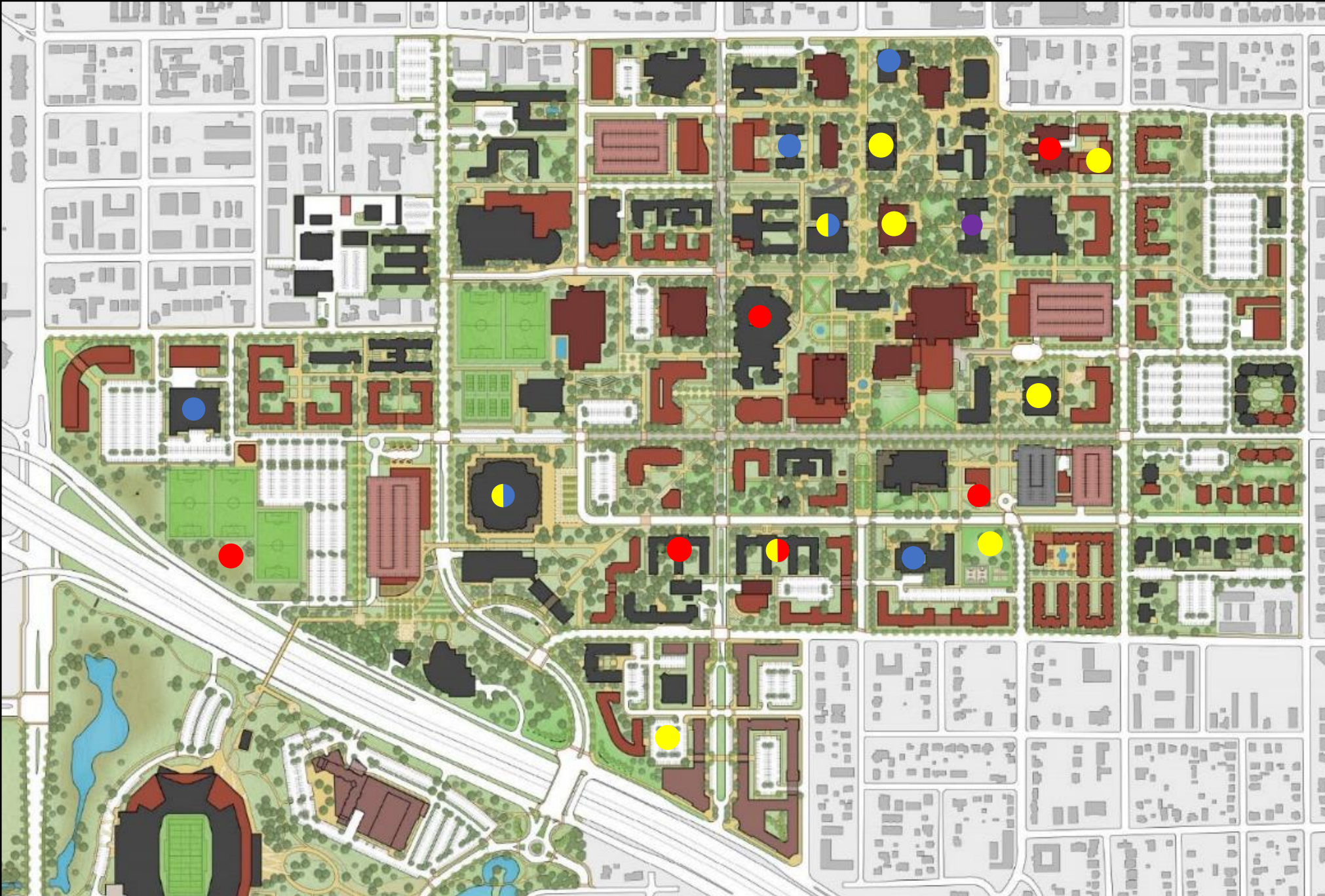
Clark Path Extension from Highland to Gateway



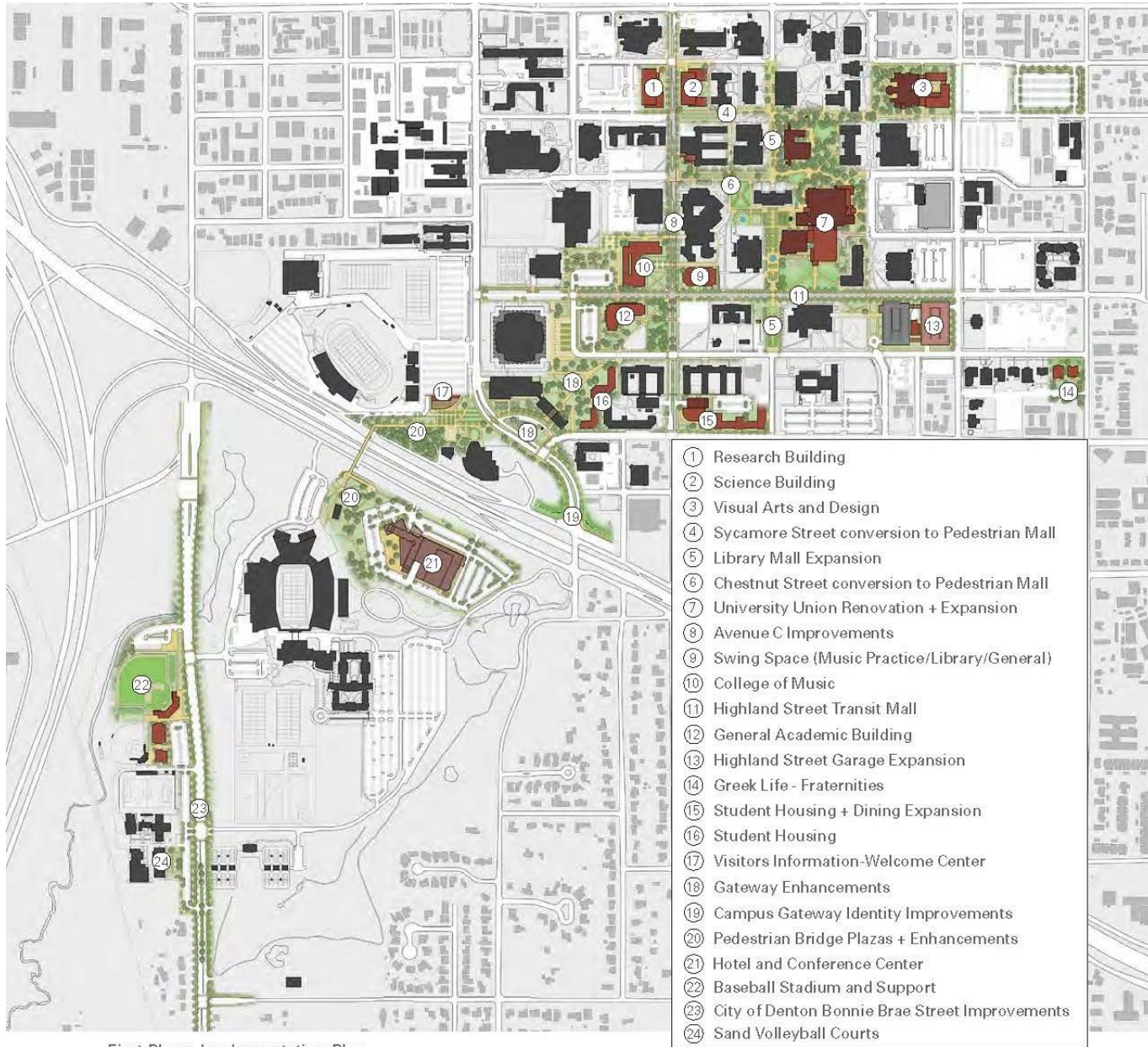
Hurley Administration Building Landscape with Aerial



←→ Proposed Connectivity



- FY 2016 Projects
- FY 2016 & 17 Projects
- FY 2016 & 18 Projects
- FY 2016, 17 & 18 Projects
- FY 2017 Projects
- FY 2018 Projects



First Phase Implementation Plan

Immediate Priorities identified in Plan are evaluated by Campus leadership in the development of the Annual Capital Improvement Plan.

The Space Planning and Management Committee monitors space inventory and brings together campus leadership to prioritize space use decisions to best support strategic goals.



Design Guidelines

Campus Design Review Board insures the application of design standards to major projects and open space development

Architectural Design Standards to define a UNT vocabulary:

- Materials
 - Brick; Stone; Glass; Metal
- Rustication
- Quoins
- Colonnades + porticos
- Entrances
- Window Fenestration
- Cornices, Roof Edges
- Sloped Roof



Board Briefing



Committee: Academic Affairs & Student Success

Date Filed: October 6, 2017

Title: Approval to Add the UNT Bachelor of Science Degree Program with a Major in Professional and Technical Communication

Background:

The University of North Texas (UNT) is requesting to add a Bachelor of Science (BS) degree program with a major in Professional and Technical Communication, effective August 27, 2018.

This degree program will be housed in the Department of Technical Communication under the College of Liberal Arts and Social Sciences.

The department currently has a Bachelor of Arts (BA) degree program with a major in Professional and Technical Communication. Feedback from the department's industry-led advisory board, recruiters, and alumni encouraged the addition of a BS with more technical content, as the vast majority of technical communicators work in IT or technology consulting companies. The BS option will accommodate students from UNT's Colleges of Engineering, Business, and Visual Arts and Design who may wish to dual major but see the foreign language requirement as a barrier that may delay graduation.

There is an established need for this program, as Texas employs more technical communicators than any other state except California, and the DFW area employs the sixth most technical communicators among U.S. metropolitan areas. According to the U.S. Bureau of Labor Statistics, the number of employed technical communicators should increase 10% from 2014 to 2024, which is greater than the 7% increase expected for all occupations.

The proposed BS degree program with a major in Professional and Technical Communication will require a minimum of 120 hours. Of these 120 hours, 45 hours are required courses and 21 hours are prescribed electives.

Financial Analysis/History:

The costs and funding for the BS in Professional and Technical Communication meet the Standards for Bachelor's and Master's Degree Programs established by the Coordinating Board Rules, Section 5.45, Criteria for new Baccalaureate and Master's Degree Programs. Because this is an additional option from the BA degree program with a major in Professional and Technical Communication, the BS degree program with a major in Professional and Technical Communication will have no new costs associated with the program.

Bob Brown
Digitally signed by Bob Brown
DN: cn=Bob Brown, o=University of North
Texas, ou=VP for Finance and Administration,
email=bob.brown@unt.edu, c=US
Date: 2017.10.27 16:17:15 -0500

Institution Chief Financial Officer

Janet
Waldron

Digitally signed by Janet Waldron
DN: cn=Janet Waldron, o=UNT System,
ou=Vice Chancellor for Finance,
email=janet.waldron@untsystem.edu,
c=US
Date: 2017.10.30 16:00:46 -05'00'

Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer

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Texas System, ou=Office of General Counsel,
email=nancy.footer@untsystem.edu, c=US
Date: 2017.11.02 09:14:20 -05'00'

Vice Chancellor/General Counsel

Schedule:

Fall 2018

Recommendation:

The president recommends that the Board of Regents approve adding the BS degree program with a major in Professional and Technical Communication to the UNT degree program inventory.

Recommended By:

Jennifer Cowley

Provost and VPAA

Neal
Smatresk

Digitally signed by Neal Smatresk
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of North Texas, ou=President,
email=neal.smatresk@unt.edu, c=US
Date: 2017.10.30 08:35:58 -05'00'

President

Rosemary R.
Haggett

Digitally signed by Rosemary R. Haggett
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Texas System, ou=Vice Chancellor for Academic
Affairs and Student Success,
email=rosemary.haggett@untsystem.edu, c=US
Date: 2017.11.02 09:05:32 -05'00'

Vice Chancellor

Lesa B. Roe

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Chancellor, email=chancellor@untsystem.edu, c=US
Date: 2017.11.02 15:59:41 -05'00'

Chancellor

Attachments Filed Electronically:

- THECB Certification Form for New Degree Programs



Board Order

Title: Approval to Add the UNT Bachelor of Science Degree Program with a Major in Professional and Technical Communication

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, the UNT desires to add a BS option to the existing BA in Professional and Technical Communication, and

Whereas, there is an established need for well-trained graduates with technical proficiency in this field, and

Whereas, costs and funding needed for the BS in Professional and Technical Communication meet the Standards for Bachelor's and Master's Degree Programs established by the Coordinating Board Rules, Section 5.45, Criteria for New Baccalaureate and Master's Degree Program,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. The addition of the UNT BS degree program with a major in Professional and Technical Communication.

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

Certification Form for New Bachelor's and Master's Programs Texas Higher Education Coordinating Board

Directions: An institution shall use this form to request a new bachelor's or master's degree program that meets all criteria for approval in Coordinating Board Rules, Chapter 5, Subchapter C, Section 5.44:

- (a) The program has institutional and governing board approval; (b) the program complies with the *Standards for Bachelor's and Master's Programs*; (c) adequate funds are available to cover the costs of the new program; (d) new costs during the first five years of the program will not exceed \$2 million; (e) the program is a non-engineering program (i.e., not classified under CIP code 14); and (f) the program will be offered by a university or health-related institution.

If a new bachelor's or master's program does not meet the criteria above, an institution must submit a request using the *Form for Requesting a New Bachelor's and Master's Degree Program*.

Information: Contact the Division of Academic Quality and Workforce at 512/427-6200 for more information.

Administrative Information

1. **Institution:** University of North Texas
2. **Program Name:** Bachelor of Science in Professional and Technical Communication
3. **Proposed CIP Code:** 09.0908 (Technical & Scientific Communication)
4. **Number of Required Semester Credit Hours (SCHs)¹:** 120 SCH
5. **Administrative Unit:** Department of Technical Communication, College of Liberal Arts and Social Sciences
6. **Delivery Mode:** Onsite
7. **Implementation Date:** 08/27/2018
8. **Contact Person:**
 - Name: Kim Sydow Campbell
 - Title: Department Chair
 - E-mail: Kim.Campbell@unt.edu
 - Phone: 940.565.4458

¹ Bachelor's degrees should not exceed 120 SCH per Board rule 5.44 (a) (3). Those that exceed 120 SCH must provide detailed documentation describing the compelling academic reason for the number of required hours, such as programmatic accreditation requirements, statutory requirements, or licensure/certification requirements that cannot be met without exceeding the 120-hour limit.

Signature Page

I hereby certify that all of the following criteria have been met in accordance with the procedures outlined in Coordinating Board Rules, Chapter 5, Subchapter C, Section 5.44:

- (a) The program has institutional and governing board approval.
- (b) The program complies with the *Standard's for New Bachelor's and Master's Programs*.
- (c) Adequate funds are available to cover the costs of the new program.
- (d) New costs during the first five years of the program will not exceed \$2 million.
- (e) The program is a non-engineering program (i.e., not classified under CIP code 14).
- (f) The program will be offered by a university or health-related institution.

I hereby certify that my institution has notified all public institutions within 50 miles of the teaching site of our intention to offer the program at least 30 days prior to submitting this request. I also certify that if any objections were received, those objections were resolved prior to the submission of this request.

Chief Executive Officer

Date

I hereby certify that the Board of Regents has approved this program.

Date of Board of Regents approval: _____

Board of Regents (or Designee)

Date

Board Briefing



Committee: Academic Affairs & Student Success

Date Filed: September 22, 2017

Title: Approval to Add the UNT Master of Science Degree Program with a Major in Emergency Management and Disaster Science

Background:

The University of North Texas (UNT) is requesting to add a Master of Science (MS) degree program with a major in Emergency Management and Disaster Science, effective August 27, 2018.

This degree program will be housed in the Department of Emergency Management and Disaster Science under the College of Health and Public Service (HPS).

The MS degree program with a major in Emergency Management and Disaster Science provides students in-depth knowledge of the diverse theoretical perspectives and empirical research traditions that underlie and inform the practice of emergency management. It provides a solid academic and practical foundation for those seeking to begin or advance their careers in emergency management or pursue doctoral studies in a hazard- or disaster-related discipline.

Building on its reputation as a national leader in emergency management education, and having established the nation's first bachelor's degree program in 1983, UNT is well-positioned to offer this much needed program. Given the devastating impacts of disasters and the national priority of achieving greater disaster resilience, the job market for emergency management professionals is expected to expand. According to a 2015 study by the U.S. Department of Labor, there is an increased need for professionals with the knowledge and skills to develop response plans to protect more people and property, and to limit the damage from emergencies and disasters.

Importantly, job opportunities are projected to expand not just in the public sector but also in the private sector, where the financial impacts of disasters are of great concern. In addition to the public and private sectors, employment opportunities are also expanding in the non-profit sector with organizations such as the American Red Cross, Salvation Army, and many others.

Because of UNT's longstanding commitment to emergency management education and research, the new degree program can be implemented largely with existing resources. The Emergency Management and Disaster Science MS will primarily be a traditional face-to-face program on the main campus, with hybrid and/or online options available as the program matures.

The proposed MS degree program with a major in Emergency Management and Disaster Science will require a minimum of 30 hours and includes both a thesis and non-thesis option.

Financial Analysis/History:

The costs and funding for the MS in Emergency Management and Disaster Science meet the Standards for Bachelor's and Master's Degree Programs established by the Coordinating Board Rules, Section 5.45, Criteria for new Baccalaureate and Master's Degree Programs.

The total expenses over the first five (5) years is estimated at \$234,233. The expenses include funding for adjuncts, marketing, and course development. UNT's anticipated revenue over five (5) years is \$808,773. This program is not expected to operate at a loss.

Bob Brown
Digitally signed by Bob Brown
DN: cn=Bob Brown, o=University of North Texas, ou=VP for Finance and Administration, email=bob.brown@unt.edu, c=US
Date: 2017.10.27 16:18:23 -05'00'

Institution Chief Financial Officer

Janet Waldron
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Date: 2017.10.30 16:03:41 -05'00'

Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
Digitally signed by Nancy S. Footer
DN: cn=Nancy S. Footer, o=University of North Texas System, ou=Office of General Counsel, email=nancy.footer@untsystem.edu, c=US
Date: 2017.11.02 09:15:35 -05'00'

Vice Chancellor/General Counsel

Schedule:

Fall 2018

Recommendation:

The president recommends that the Board of Regents approve adding the MS degree program with a major in Emergency Management and Disaster Science to the UNT degree program inventory.

Recommended By:

Jennifer Cowley

Provost and VPAA

Neal Smatresk
Digitally signed by Neal Smatresk
DN: cn=Neal Smatresk, o=University of North Texas, ou=President, email=neal.smatresk@unt.edu, c=US
Date: 2017.10.30 08:37:22 -05'00'

President

Rosemary R. Haggett
Digitally signed by Rosemary R. Haggett
DN: cn=Rosemary R. Haggett, o=University of North Texas System, ou=Vice Chancellor for Academic Affairs and Student Success, email=rosemary.haggett@untsystem.edu, c=US
Date: 2017.11.02 09:13:05 -05'00'

Vice Chancellor

Attachments Filed Electronically:

- THECB Certification Form for New Degree Programs



Board Order

Title: Approval to Add the UNT Master of Science Degree Program with a Major in Emergency Management and Disaster Science

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, UNT desires to build on its reputation as a national leader in emergency management education to fulfil job market needs, and

Whereas, UNT has a longstanding commitment to emergency management education and research, and

Whereas, costs and funding needed for the MS in Emergency Management and Disaster Science meet the Standards for Bachelor's and Master's Degree Programs established by the Coordinating Board Rules, Section 5.45, Criteria for New Baccalaureate and Master's Degree Program,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. The addition of the UNT MS degree program with a major in Emergency Management and Disaster Science.
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

**Certification Form for New Bachelor's and Master's Programs
Texas Higher Education Coordinating Board**

Directions: An institution shall use this form to request a new bachelor's or master's degree program that meets all criteria for approval in Coordinating Board Rules, Chapter 5, Subchapter C, Section 5.44:

- (a) The program has institutional and governing board approval; (b) the program complies with the *Standards for Bachelor's and Master's Programs*; (c) adequate funds are available to cover the costs of the new program; (d) new costs during the first five years of the program will not exceed \$2 million; (e) the program is a non-engineering program (i.e., not classified under CIP code 14); and (f) the program will be offered by a university or health-related institution.

If a new bachelor's or master's program does not meet the criteria above, an institution must submit a request using the *Form for Requesting a New Bachelor's and Master's Degree Program*.

Information: Contact the Division of Academic Quality and Workforce at 512/427-6200 for more information.

Administrative Information

1. Institution: University of North Texas
2. Program Name: Master of Science degree with a major in Emergency Management and Disaster Science
3. Proposed CIP Code: 43.0302.00
4. Number of Required Semester Credit Hours (SCHs)¹: 30
5. Administrative Unit: Department of Emergency Management and Disaster Science in the College of Health and Public Service
6. Delivery Mode: Face-to-Face
7. Implementation Date: 08/27/18
8. Contact Person:
 - Name: Gary R. Webb
 - Title: Professor and Chair of Emergency Management and Disaster Science
 - E-mail: gary.webb@unt.edu
 - Phone: (940) 369-5815

¹ Bachelor's degrees should not exceed 120 SCH per Board rule 5.44 (a) (3). Those that exceed 120 SCH must provide detailed documentation describing the compelling academic reason for the number of required hours, such as programmatic accreditation requirements, statutory requirements, or licensure/certification requirements that cannot be met without exceeding the 120-hour limit.

Signature Page

I hereby certify that all of the following criteria have been met in accordance with the procedures outlined in Coordinating Board Rules, Chapter 5, Subchapter C, Section 5.44:

- (a) The program has institutional and governing board approval.
- (b) The program complies with the *Standard's for New Bachelor's and Master's Programs*.
- (c) Adequate funds are available to cover the costs of the new program.
- (d) New costs during the first five years of the program will not exceed \$2 million.
- (e) The program is a non-engineering program (i.e., not classified under CIP code 14).
- (f) The program will be offered by a university or health-related institution.

I hereby certify that my institution has notified all public institutions within 50 miles of the teaching site of our intention to offer the program at least 30 days prior to submitting this request. I also certify that if any objections were received, those objections were resolved prior to the submission of this request.

Chief Executive Officer _____ Date _____

I hereby certify that the Board of Regents has approved this program.

Date of Board of Regents approval: _____

Board of Regents (or Designee) _____ Date _____



Title: Approval to Add the UNT Dallas Bachelor of Arts in Spanish (with Spanish Teacher Certification) Degree

Background:

This 120-hour undergraduate major, with its emphasis on language usage in the workplace and in society, provides students with a competitive edge in job markets with high percentages of Spanish-speaking populations, such as the Dallas-Fort Worth region. Spanish B.A. students can combine their studies with other disciplines to prepare them for twenty-first century career opportunities as they acquire highly marketable skills such as translation, cultural competency, and adaptability to different environments.

The teacher certification option is designed to prepare students for the teaching profession with certification as an all-level (EC-12) Spanish teacher. After initial certification, students may, by examination, challenge other certification levels and/or content areas ultimately positioning themselves for expanded employment options as a bilingual Elementary or Middle School Dual Language teacher.

The major prepares students with oral language and literacy skills to enable their ability to communicate with native speakers in social and professional contexts. Coursework offers opportunities for experiential learning through Internships, Practicum, and service learning. Students are also introduced to Hispanic cultures, literature, the arts, and contemporary sociocultural issues facing Spanish-speaking peoples in the United States and abroad. This well-rounded curriculum also prepares students to enter graduate studies in preparation for a teaching career in higher education.

Program objectives include:

- Speak, read and write Spanish as defined by the proficiency criteria of the American Council on the Teaching of Foreign Languages.
 - Develop strong analytical and critical thinking skills.
 - Increase employment potential through specified coursework and career-related placements through internships, service learning, and/or practicum.
 - Become certified as a Texas teacher of Languages Other Than English (LOTE) - Spanish, or dual language bilingual teacher (by examination after initial certification).
 - Understand, appreciate, and respect cultural differences.
 - Become familiar with the geography, history, literary tradition, and creative arts of the Spanish-speaking world.
 - Prepare to enter graduate studies for a career in higher education.
-

Financial Analysis/History:

The cost and funding needed for the Bachelor of Arts in Spanish meet the Standard for Bachelor's and Master's Degree Programs established by the Coordinating Board rules. Section 5-45, Criteria for new Baccalaureate and Master's Degree Programs. Projected five-year costs and funding are summarized in the table below.

UNT Dallas currently has one full-time lecturer and one half-time professor who are academically qualified to teach in the program. The university is committed to hiring one additional full-time tenure-track professor to teach in the program.

The facilities and equipment are adequate to support the program. A small amount of funding will be needed to purchase marketing and support materials for the program.

The estimated five-year cost of the program is \$604,738, with an estimated five-year revenue of \$1,914,567 from formula funding and tuition.

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dallas.edu

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Institution Chief Financial Officer

Janet
Waldron

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c=US
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Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer

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Vice Chancellor/General Counsel

Schedule:

Upon approval by the Board of Regents, the Texas Higher Education Coordinating Board, and the Commission on Colleges of the Southern Association for Colleges and Schools, enrollment in the initial class will be scheduled for fall 2018.

Recommendation:

The President recommends that the Board of Regents approve the authorization of a new Bachelor of Arts degree in Spanish with Teacher Certification.

Recommended By:

Betty Stewart

Provost

Bob Mong

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President

**Rosemary R.
Haggett**

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Texas System, ou=Vice Chancellor for Academic
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Vice Chancellor

Lesa B. Roe

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Chancellor

Attachments Filed Electronically:

- THECB Certification Form for New Bachelor's and Master's Programs



Board Order

Title: Approval to Add the UNT Dallas Bachelor of Arts in Spanish (with Spanish Teacher Certification) Degree

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 17-18, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, the Bachelor of Arts in Spanish with Teacher Certification Degree will prepare students with highly marketable skills leading to robust documented employment opportunities in the field of education, and

Whereas, the Bachelor of Arts in Spanish provides for flexibility and choice to combine this degree with another major or minor in industries where professional Spanish skills will provide them with a marketplace advantage in a region where the demographics calls for employees with Spanish-language literacy skills at a professional level, and

Whereas, the integration of experiential learning, internships, and community engagement, will enhance opportunities to apply Spanish language communication and intercultural skills in real world settings, and

Whereas, the Bachelor of Arts in Spanish is aligned with the mission of the University of North Texas at Dallas to enhance social and economic mobility for our students,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. The proposed Bachelor of Arts in Spanish with Teacher Certification, and
 2. The proposed Bachelor of Arts in Spanish with a 2nd major or minor and authorizes the Provost and Executive Vice President of Academic Affairs to provide the appropriate notification to the Texas Higher Education Board for final approval
-

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Texas Higher Education Coordinating Board
Texas Public Institutions of Higher Education
New Bachelor's and Master's Program
Certification Form

Directions: Texas public universities and health-related institutions complete this form to add a new bachelor's or master's degree program, if the following criteria for streamlined approval are met, per Texas Administrative Code, Coordinating Board rule, Chapter 5, Subchapter C, Section 5.44 (a) (3): (A) the proposed program program has institutional and board of regents approval, (B) the institution certifies compliance with the Standards for New Bachelor's and Master's Programs, (C) the institution certifies that adequate funds are available to cover the costs of the new program, (D) new costs to the program during the first five years of the program would not exceed \$2 million, (E) the proposed program is a non-engineering program, and (F) the proposed program would be offered by a university or health-related institution.

If the proposed program does not meet the criteria for streamlined approval, the institution must submit a request using the Full Request Form.

Information: Contact the Division of Academic Quality and Workforce at 512-427-6200

Administrative Information

1. Institution: University of North Texas at Dallas
2. Proposed Program: Bachelor of Arts in Spanish
3. Proposed CIP Code: 16.0905
4. Semester Credit Hours Required: 120
5. Location and Delivery of the Proposed Program: Face-to-face on campus of UNT Dallas
6. Administrative Unit: Department of Languages and Communication within the School of Liberal Arts and Sciences
7. Proposed Implementation Date: 08/20/2018
8. Contact Person:
 - Name: Dr. Sheryl L. Santos-Hatchett
 - Title: Coordinator, Department of Languages and Communication
 - E-mail: sheryl.santos-hatchett@untDallas.edu

Phone: 972-780-3089

Signature Page

I hereby certify that all of the following criteria have been met in accordance with the procedures outlined in Coordinating Board Rules, Chapter 5, Subchapter C, Section 5.44 (a) (3):

- (A) The proposed program has institutional and governing board approval.
- (B) The institution certifies compliance with the Standards for New Bachelor's and Master's Programs
- (C) The institution certifies that adequate funds are available to cover the costs of the new program.
- (D) New costs during the first five years of the program would not exceed \$2 million.
- (E) The proposed program is a non-engineering program.
- (F) The proposed program would be offered by a university or health-related institution.

I certify that my institution has notified all public institutions within 50 miles of the teaching site of our intention to offer the proposed program at least 30 days prior to submitting this request. I also certify that if any objections were received, those objections were resolved prior to the submission of this request.

Chief Executive Officer

Date



Title: Amendment to Regents Rules 03.300, *Board Ethics and Standards of Conduct*, and 05.700, *System Administration and Institution Ethics and Standards of Conduct*

Background:

Senate Bill 20, signed into law in 2015, mandated significant changes to the state contracting process. Senate Bill 20 included expanded ethics, conflicts of interest, training and reporting requirements related to procurement. Senate Bill 20 took effect September 1, 2015, and provided for an implementation period after the effective date so that affected state agencies could adopt rules, processes, and procedures and take actions necessary to execute all of the changes required by the new law.

Senate Bill 533, signed into law in 2017 and effective as of September 1, 2017, revises some key aspects of Senate Bill 20 statutory requirements. Significantly, Senate Bill 533 eliminates application of Senate Bill 20 disclosure requirements as they relate to members of the Board of Regents. However, Senate Bill 533 affirms and increases the scope of Section 51.923 of the Texas Education Code, which requires Regents to disclose substantial financial interests in a business entity and for Board approval to be obtained prior to the System or an Institution entering into a contract or other transaction with that business entity. Previously, Section 51.923 defined a Regent's substantial financial interest to include ownership of ten percent or more of the voting stock or shares of a business entity or ownership of ten percent or more or \$15,000 or more of the fair market value of the business entity. A substantial financial interest also included receiving funds from a business entity that exceeded ten percent of a Regent's gross annual income. All of the references to ten percent in Section 51.923 have been changed to one percent under Senate Bill 533.

Senate Bill 533 changes some conflict of interest disclosure requirements as set forth in Senate Bill 20 pertaining to key employees and officers and employees involved in procurement and contract management. Senate Bill 533 also changes the Senate Bill 20 requirement regarding a restriction on the employment of former employees who have been involved in a procurement or contract negotiation during their state employment, making the restriction continue until the second anniversary of the date a contract is signed or procurement is terminated or withdrawn.

A number of Regents Rules were previously revised to comply with the requirements of Senate Bill 20. These include: Regents Rules 03.300, *Board Ethics and Standards of Conduct*; Regents Rules 03.900, *Delegation of Authority for Contracts and Agreements*; 04.500, *Audit and Compliance*; and 05.700, *System Administration and Institution Ethics and Standards of Conduct*. Additional revisions to Regents Rules 03.300, *Board Ethics and Standards of Conduct*, and 05.700, *System Administration and Institution Ethics and Standards of Conduct* are necessary to finalize compliance with Senate Bill 20 and Senate Bill 533. The proposed revisions are set forth in redline in the attachments to this briefing and order.

At this time, it is recommended that additional amendments be made to Regents Rules 03.300, *Board Ethics and Standards of Conduct*, and 05.700, *System Administration and Institution Ethics and Standards of Conduct*, to comply with the requirements of Senate Bill 20 and Senate Bill 533 related to contracting and conflicts of interests involving a member of the Board of

Regents or a family relation to a board member, key officers and employees and their family members, and officers and employees involved in procurement and contract management. Also, it is recommended that Regents Rule 05.700 be revised to provide notice to employees of the employment restrictions that will apply if they are involved in a procurement or contract negotiation on behalf of the System or an Institution.

The Board has authority to adopt these amendments under Texas Education Code §105.101, which grants to the Board the power to adopt rules and policies for the administration of the Board's powers and duties.

Financial Analysis/History:

There are no fiscal implications related to the adoption of new Regents Rules.

Janet Waldron

Vice Chancellor for Finance

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Chancellor for Finance,
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Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer

Vice Chancellor/General Counsel

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Date: 2017.11.02 09:08:43 -05'00'

Schedule:

Effective immediately upon Board approval.

Recommendation:

It is recommended that the Board adopt the amendments to the Regents Rules, as set forth in the attachment.

Recommended By:

Lesa B. Roe

Chancellor

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Attachments Filed Electronically:

- Proposed amendments to Regents Rule 03.300
- Proposed amendments to Regents Rule 05.700



Board Order

Title: Amendment to Regents Rules 03.300, *Board Ethics and Standards of Conduct*, and 05.700, *System Administration and Institution Ethics and Standards of Conduct*

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, revisions to the Regents Rules are necessary to comply with Senate Bill 20, enacted by the 84th Texas Legislature,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Amendment to Regents Rules 03.300, *Board Ethics and Standards of Conduct*, and 05.700, *System Administration and Institution Ethics and Standards of Conduct*; as set forth in the attachment to this briefing and order.
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

3.300 Board Ethics and Standards of Conduct

3.301 Board Ethics and Conduct. The responsibility for educating and training the future leaders of the state and nation carries with it the duty to adhere to the highest ethical standards and principles. It is of the highest importance that the people of the State of Texas have complete confidence in the integrity of their public servants.

1. General Standards of Conduct. Members of the Board shall perform their duties and activities in conformity with applicable federal, state, and local laws, administrative rules, and these Regents Rules, and may be subject to disciplinary action for violation of this Regents Rule.

a. A member of the Board:

- i. shall not accept or solicit any gift, favor, or service that might reasonably tend to influence the member in the discharge of official duties, or that the member knows or should know is being offered with the intent to influence the member's official conduct;
- ii. shall not intentionally or knowingly solicit, accept, or agree to accept any benefit for having exercised his or her official powers or performed his or her official duties in favor of another;
- iii. shall not disclose confidential information, information that is excepted from public disclosure under the Texas Public Information Act or information that has been ordered sealed by a court, that was acquired by reason of the member's official position, or accept other employment, including self-employment, or engage in a business, charity, nonprofit organization, or professional activity that the member might reasonably expect would require or induce the member to disclose confidential information, information that is excepted from public disclosure under the Texas Public Information Act, or information that has been ordered sealed by a court, that was acquired by reason of the member's official position;

- iv. shall not accept other employment, including self-employment, or compensation or engage in a business, charity, nonprofit organization, or professional activity that could reasonably be expected to impair the member's independence of judgment in the performance of the member's official duties;
 - v. shall not make personal investments, or have a personal or financial interest, that could reasonably be expected to create a substantial conflict between the member's private interest and the public interest;
 - vi. shall not use System resources for unauthorized purposes or in an unreasonable manner;
 - vii. shall not act as an agent for another person in the negotiation of the terms of any agreement relating to the provision of money, services, or property to the System;
 - viii. shall not engage in any political activity while on state time or utilize state resources for any political activity;
 - ix. shall promptly report any conduct or activity that the Board member believes to constitute fraud, waste or abuse or to be in violation of any Regents Rule. Reports shall be made to the Chairman of the Board, the Chancellor and the Vice Chancellor and General Counsel, unless the conduct or activity being reported has to do with any of these parties, in which case the report shall be made to the other parties;
 - x. shall participate in regular training on ethical obligations and this Regents Rule;
 - xi. shall participate in an intensive short orientation course as required by Texas Education Code 61.0841 that is developed by the Texas Higher Education Coordinating Board, and training sponsored or coordinated by the Office of the Governor, on the first opportunity after taking the oath of office. No member of the Board appointed on or after January 1, 2016, may vote on a budgetary or personnel matter until the intensive short course is completed.
- b. Former members of the Board who participated on behalf of the System or an Institution in a procurement or contract negotiation involving an individual or business entity may not accept

employment from that individual or business entity before the second anniversary of the date the member's service with the System ceased.

2. Conflicts of Interest. State officers and employees shall not have direct or indirect interests, including financial and other interests, engage in business transactions or professional activities, or incur any obligation of any nature that is in substantial conflict with the proper discharge of the officer's or employee's duties in the public interest. In order to implement this Regents Rule and strengthen the faith and confidence of the people of the State of Texas in the integrity of persons serving on the Board of Regents, the Board requires the following:
 - a. Ethics Commission Financial Disclosure Statements. Members of the Board must file a financial statement with the Texas Ethics Commission annually using forms prescribed by the commission.
 - b. Contracts Prohibited. Except as permitted by Regents Rule 03.301.2(c) below, the System may not enter into a contract in which a member of the Board has a direct or indirect pecuniary interest. An "indirect pecuniary interest" includes an interest owned by a member of a Board member's family.
 - c. Contracts with Business Entities. If a member of the Board has an interest in a business entity, the System is not prohibited from entering into a contract or other transaction with that business entity if:
 - i. The affected member's interest in the business entity is not a substantial interest; or
 - ii. The affected member has a substantial interest in the business entity, and the affected member discloses such interest in a public meeting of the Board. Such disclosure shall be entered into the minutes of the meeting. The Board may consider such contract or other transaction, but the affected member shall not vote or otherwise participate in such deliberation or action of the Board. Any such contract or transaction requiring Board approval must be approved by an affirmative majority of the members voting on the contract or transaction.

All contracts or transactions with a business entity in which a member of the Board has a substantial interest shall be presented to the Board for approval irrespective of any exemption, Rule or policy to the contrary.

A “business entity” is any entity recognized by law through which business is conducted, including a sole proprietorship, partnership, firm, corporation, limited liability company, holding company, joint stock company, receivership, or trust.

A member of the Board has a “substantial interest” in a business entity if:

1. the member owns ~~one~~10 percent or more of the voting stock or shares of the business entity or owns either ~~one~~10 percent or more or \$15,000 or more of the fair market value of the business entity;
2. funds received by the member from the business entity exceed ~~one~~10 percent of the member’s gross income for the previous year;
3. the member is an officer of the business entity or a member of the governing board of the business entity; or
4. an individual related to the member in the first degree by consanguinity or affinity, as determined under Chapter 573 of the Texas Government Code, has an interest in the business entity as described in (1) – (3) above.

- d. Disclosure of Personal or Private Interest. A member of the Board who has a personal or private interest in a measure, proposal, or decision pending before the Board, other than a contract in which the member has a pecuniary interest, shall disclose such interest in a public meeting of the Board, and such disclosure shall be entered in the minutes. The Board may consider such measure, proposal, or decision, but any member of the Board having such an interest shall not vote or otherwise participate in such deliberation or action of the Board. This procedure may not be utilized for contracts in which a member of the Board has a pecuniary interest.
- e. Disclosure of Potential Conflict of Interest. A member of the Board shall disclose any potential conflict of interest and any other relevant information to the Chairman and the Vice Chancellor and General Counsel as soon as possible after becoming aware of the potential conflict. In such an event, the Vice Chancellor and General Counsel shall review the potential conflict and render an opinion.
- f. Contracts with Nonprofit Corporations. The Board is not precluded from entering into contracts or other transactions with nonprofit

corporations merely because a member of the Board serves on the board of, or is a member of, the nonprofit corporation. Other factors and interests, such as pecuniary or personal interests, may require disclosure and recusal, as described in this Regents Rule.

- g. Disclosure of Interest in Property to be Acquired. Members of the Board are required to disclose any legal or equitable interest in property that is to be acquired with public funds. Such disclosure shall be made by filing an affidavit containing specific information as required by Texas Government Code § 553.002.

3. Travel.

- a. Travel Reimbursement from Appropriated Funds. Members of the Board shall comply with the requirements of the Travel Regulations Act, Chapter 660 of the Texas Government Code, rules adopted by the Comptroller, travel provisions of the General Appropriations Act, and other applicable federal and state laws when seeking travel payments or reimbursements from public funds.
- b. Travel Reimbursement from Institutional Funds. The Board shall adopt rules as necessary to administer and control travel expense payments and reimbursements from Institutional funds as required by Texas Government Code § 660.004.

4. Benefits, Gifts, and Honoraria. A “benefit” is anything reasonably regarded as pecuniary gain or pecuniary advantage, including benefit to any other person in whose welfare the member has a direct and substantial interest.

- a. The following benefits, gifts, and honoraria are prohibited:
 - i. Bribery. No member of the Board may solicit, offer, or accept any benefit in exchange for his or her decision, opinion, recommendation, vote, or other exercise of official power or discretion. A benefit that is otherwise allowed by these Regents Rules is nevertheless prohibited if it is offered in exchange for official action as described above.
 - ii. Benefits From Interested Persons. As public servants who exercise discretion in connection with contracts, purchases, payments, claims, and other pecuniary transactions of government, a member of the Board may not solicit, accept, or agree to accept any benefit from any person the member knows is interested in, or is likely to become interested in,

any contract, purchase, payment, claim, or transaction involving a member's discretion.

- iii. Handling Unsolicited Gifts. A member of the Board who receives an unsolicited benefit that he or she is prohibited from accepting by law may return the benefit, donate the benefit to a governmental entity that has the authority to accept the gift, or donate the benefit to a recognized tax-exempt charitable organization formed for educational, religious, or scientific purposes.
- b. Members of the Board may accept the following benefits, gifts, and honoraria:
- i. Gifts Valued at Less Than \$50. A member of the Board may accept non-cash items of less than \$50 in value when the gift is not offered in exchange for an official action or decision.
 - ii. Benefits from Friends, Relatives, and Associates. A member of the Board may accept a benefit from a person such as a friend, relative, or business associate with whom he or she has a relationship independent of his or her status as a member if the benefit is given on account of that relationship rather than his or her official status and not offered in exchange for official action or decision.
 - iii. Payment for Goods or Services. A member of the Board may accept a payment for which he or she gives legitimate consideration in a capacity other than as a public servant. The use of the term "legitimate consideration" means that the payment the member receives must reflect the actual value of the services or goods the member provided in exchange for the payment.
 - iv. Food, Lodging, Transportation, or Entertainment. A member of the Board may accept benefits in the form of food, lodging, transportation, or entertainment in any amount if the member accepts them as a "guest" and reports them if there is an applicable reporting requirement. In order for the member to accept something as a "guest," the donor must be present.
 - v. Honoraria. A member of the Board may not solicit, accept, or agree to accept an honorarium in consideration for services if the member would not have been asked to

provide the services but for his or her official position or duties. This prohibition includes a request for or acceptance of a payment made to a third party if made in exchange for such services. Members may, however, accept the direct provision of, or reimbursement for, expenses for transportation and lodging incurred in connection with a speaking engagement at a conference or similar event. Meals provided as a part of the event or reimbursement for actual expenses for meals may also be accepted. Participation by a member must be more than merely perfunctory.

- vi. Awards. Members of the Board may accept plaques and similar recognition awards.
- vii. Benefits from Lobbyists. Members of the Board may accept certain gifts, awards, and mementos from persons required to register as lobbyists. “Gift” in this context does not include food, entertainment, transportation, or lodging if the lobbyist is present at the event. Lobbyists may provide a member with transportation and lodging only in connection with a fact-finding trip related to his or her official duties or in connection with an event, such as a conference, at which the member will be providing “more than perfunctory” services in his or her official capacity.

- 5. Reporting Requirements. Members of the Board are required to report the following benefits, gifts, and honoraria:
 - a. Members of the Board shall disclose to the Chairman any benefit received in the course of official business having a value of more than \$250.
 - b. Members of the Board are required to report on their personal financial statement to the Texas Ethics Commission the acceptance of gifts worth more than \$250, except for gifts from a member’s immediate family or from a lobbyist required to report the gift. Members also must report on their personal financial statement acceptance of meals, transportation, or lodging provided in connection with a speech or other services provided in their official capacity.
- 6. Political Activities. Members of the Board shall not:
 - a. engage in any political activity while on state time or utilize state resources for any political activity;

- b. use official authority or influence or permit the use of a program administered by the System to interfere with or affect the result of an election or nomination of a candidate or to achieve any other political purpose;
- c. use appropriated money to employ, as a regular full-time or part-time or contract employee, a person who is required by Chapter 305 of the Texas Government Code to register as a lobbyist;
- d. use or authorize the expenditure of appropriated money to pay, on behalf of the System Administration or an Institution, membership dues to an organization that pays part or all of the salary of a person who is required by Chapter 305 of the Texas Government Code to register as a lobbyist (this rule does not apply to the payment of membership fees under Chapter 81 of the Texas Government Code); or
- e. use or authorize the expenditure of appropriated money to pay lobbying expenses incurred by: (1) the recipient of the money, (2) a person or entity that is required to register with the Texas Ethics Commission under Chapter 305, (3) any partner, employee, employer, relative, contractor, consultant, or related entity of a said person or entity, or (4) a person or entity that has been hired to represent associations or other entities for the purpose of affecting the outcome of legislation, agency rules, ordinances, or other government policies.

7. Use of Authority.

- a. Misapplication of Property. Members of the Board shall use government property only for government purposes, not personal or private purposes.
- b. Nepotism.
 - i. Members of the Board are prohibited from appointing, voting for the appointment of, or confirming the appointment of any person to a position that is directly or indirectly compensated from public funds if the person is related to the member within the degree specified by Texas Government Code § 573.002. In addition, a member may not take such action on behalf of any individual who is related to the member within the specified degree.

- ii. Regents Rule 3.301.7(b)(1) does not apply if the person who is related to the member has been continuously employed in the office or position for at least thirty days prior to appointment or employment of the member. If the related person continues in such a position, the member may not participate in any deliberation or voting on the appointment, reappointment, confirmation, employment, re-employment, change in status, compensation, or dismissal of the related individual if that action applies only to that individual and is not taken regarding a bona fide class or category of employees.

- c. Misuse of Official Information. It is a violation of state law for a member of the Board, in reliance on information to which he or she has access in his or her official capacity and which has not been made public to: (1) acquire or aid another to acquire a pecuniary interest in any property, transaction, or enterprise that may be affected by the information; (2) speculate or aid another to speculate on the basis of the information; or (3) disclose or use the information with the intent to obtain a benefit or harm another.

Adopted: November 15, 2007
Effective: November 15, 2007
Revised: February 16, 2012, February 26, 2016

05.700 System Administration and Institution Ethics and Standards of Conduct

05.701 Ethics and Conduct. The responsibility for educating and training the future leaders of the state and nation carries with it the duty to adhere to the highest ethical standards and principles. It is of the highest importance that the people of the State of Texas have complete confidence in the integrity of their public servants.

1. General Standards of Conduct. Officers and employees shall perform their duties and activities in conformity with applicable federal, state, and local laws, administrative rules, Regents Rules, and applicable policies of the System, the System Administration, or the Institutions. Officers and employees may be subject to disciplinary action for violation of this Regent Rule or a policy based on this Regents Rule.

- a. Officers and employees shall not:

- i. accept or solicit any gift, favor, or service that might reasonably tend to influence the officer or employee in the discharge of official duties, or that the officer or employee knows or should know is being offered with the intent to influence the officer's or employee's official conduct;
- ii. intentionally or knowingly solicit, accept, or agree to accept any benefit for having exercised his or her official powers or performed his or her official duties in favor of another;
- iii. disclose confidential information, information that is excepted from public disclosure under the Texas Public Information Act, or information that has been ordered sealed by a court, that was acquired by reason of the officer's or employee's official position, or accept other employment, including self-employment, or engage in a business, charity, nonprofit organization, or professional activity that the officer or employee might reasonably expect would require or induce the officer or employee to disclose confidential information, information that is excepted from public disclosure under the Texas Public Information Act, or information that has been ordered sealed by a court, that was acquired by reason of the officer's or employee's official position;

- iv. accept other employment, including self-employment, or compensation or engage in a business, charity, nonprofit organization, professional or other outside activity that could reasonably be expected to impair the officer's or employee's independence of judgment in the performance of the officer's or employee's official duties or otherwise interfere with an officer's or employee's duties and responsibilities to the System Administration or an Institution;
- v. make personal investments or have a direct or indirect personal, financial or other interest, or engage in a business transaction or professional activity, or incur any obligation that is in substantial conflict with the proper discharge of the officer's or employee's duties or that could reasonably be expected to create a substantial conflict between the officer's or employee's private interest and the public interest;
- vi. utilize state time, property, facilities, or equipment, or other resources for any purpose other than official state business, unless such use is reasonable and incidental and does not result in any direct cost to the State of Texas or the System Administration or an Institution, interfere with the officer's or employee's official duties, or interfere with the functions of the System Administration or an Institution;
- vii. utilize his or her official position, or state issued items, such as a badge, indicating such position for financial gain, obtaining privileges, or avoiding consequences of illegal acts;
- viii. act as an agent for another person in the negotiation of the terms of an agreement relating to the provision of money, services or property to the System, System Administration or to the Institution;
- ix. knowingly make misleading statements, either oral or written, or provide false information, in the course of official state business;
- x. engage in any political activity while on state time or utilize state resources for any political activity; or
- xi. engage in actions that would create the appearance that he or she is violating the law, these Regents Rules, or System Administration or Institution policies and procedures.

- b. Officers and employees shall:
 - i. perform official duties in a lawful, professional, and ethical manner; and
 - ii. report any conduct or activity that the officer or employee believes to be in violation of this ethics policy, or in violation of Regents Rule 04.1100, *Reporting Suspected Wrongdoing*, or Regents Rule 10.700, *Fraud Policy*.
 - c. Former officers and employees who participated on behalf of the System Administration or an Institution in a procurement or contract negotiation involving an individual or business entity may not accept employment from the individual or business entity before the second anniversary of the date the ~~officer's or employee's employment with the System Administration or Institution ceased~~ contract is signed or the procurement is terminated or withdrawn.
2. Conflicts of Interest, Conflicts of Commitment and Outside Activities. State officers and employees shall not have direct or indirect interests or commitments, including financial and other interests and commitments, engage in business transactions or professional activities or other outside activities, or incur any obligation of any nature that is in substantial conflict with the proper discharge of the officer's or employee's duties in the public interest. The primary responsibility of each officer and employee of the System Administration and the Institutions shall be to accomplish the duties and responsibilities assigned to that position. In order to implement this Regents Rule and strengthen the faith and confidence of the people of the State of Texas in the integrity of officers and employees of the System Administration and Institutions, the Board requires the following:
- a. Ethics Commission Financial Disclosure Statements. The Chancellor and each President must file a financial statement with the Texas Ethics Commission annually using forms prescribed by the commission.
 - b. Disclosure of Interest in Property to be Acquired. The Chancellor, Vice Chancellors, Associate and Assistant Vice Chancellors, the President of each Institution, Vice Presidents, and Associate and Assistant Vice Presidents are required to disclose any legal or equitable interest in property that is to be acquired with public funds. Such disclosure shall be made by filing an affidavit containing specific information as required by Texas Government Code §553.002.

- c. Policies Governing Conflicts of Interest, Conflicts of Commitment and Outside Activities. The System Administration and each Institution shall adopt policies governing conflicts of interest, conflicts of commitment, and outside activities that are in conformance with this Regents Rule and §51.9337(c) of the Texas Education Code. The policy governing an officer’s or employee’s outside activities shall clearly delineate the nature and amount of permissible outside activities and shall include processes for disclosing outside activities and for obtaining and documenting approval to perform the activities.
- d. Contracts Prohibited.
 - i. The System and each Institution are prohibited from entering into a contract for the purchase of goods or services with a private vendor with whom any of the following persons have a financial interest:
 - 1) The chancellor, a president, the general counsel, the chief procurement officer or a procurement director; or
 - 2) Any person related to an officer or employee described above within the second degree of affinity (marriage) or consanguinity (blood), as determined under Chapter 573 of the Texas Government Code.
 - ii. A person has a “financial interest” in a private vendor if the person:
 - 1) Owns or controls, directly or indirectly, an ownership interest of at least one percent in the private vendor, including the right to share in profits, proceeds, or capital gains; or
 - 2) Could reasonably see foresee that a contract with the private vendor could result in a financial benefit to the person.
 - iii. A “financial interest” prohibited by this section does not include a retirement plan, a blind trust, insurance coverage, or an ownership interest of less than one percent in a corporation.
 - iv. The prohibition from entering into a contract does not apply to contracts for the purchase of goods or services if the amount of purchase is less than \$25,000.

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e. Conflict of Interest Disclosure Statement. The chancellor, a president, the general counsel, the chief procurement officer and the procurement director(s) must file a disclosure statement providing notification of financial interests held by the officer or employee and the officer or employee's family relations using the form prescribed by the Vice Chancellor for Finance. This notification is a continuing obligation and the designated officer or employee must promptly submit a new or amended statement when there is a change in information previously reported or there is new information to report.

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e-f. Conflict of Interest Disclosure Obligation for Officers and Employees Involved in Procurement or Contract Management. Officers and employees involved in procurement or contract management for the System or an Institution are required to disclose potential conflicts of interest with respect to contracts or bids for the purchase of goods or services from a private vendor that are known by the employee or official at any time during the procurement process, from the initial request for bids for the purchase of goods or services until the completed final delivery, or during the term of the contract with the private vendor. Disclosures shall be made using a form prescribed by the Vice Chancellor for Finance. For the purpose of implementation of this Regents Rule, the System shall adopt a regulation applicable to the System Administration and the Institutions which defines "officers and employees involved in procurement or contract management" and "potential conflict of interest."

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3. Travel. Employees shall comply with the requirements of the Travel Regulations Act, Chapter 660 of the Texas Government Code, rules adopted by the Comptroller, travel provisions of the General Appropriations Act, other applicable federal and state laws, these Regents Rules, and System Administration and/or Institutions policies when seeking travel payments or reimbursements.
4. Benefits, Gifts, and Honoraria. A "benefit" is anything reasonably regarded as pecuniary gain or pecuniary advantage, including benefit to any other person in whose welfare the employee has a direct and substantial interest.
 - a. The following benefits, gifts, and honoraria are prohibited:
 - i. Bribery. No employee may solicit, offer, or accept any benefit in exchange for his or her decision, opinion, recommendation, vote, or other exercise of official power or discretion. A benefit that is otherwise allowed by System

Administration or Institution policy is nevertheless prohibited if it is offered in exchange for official action as described above.

- ii. Benefits From Interested Persons. An employee who exercises discretion in connection with contracts, purchases, payments, claims, and other pecuniary transactions of government may not solicit, accept, or agree to accept any benefit from any person the employee knows is interested in, or is likely to become interested in, any contract, purchase, payment, claim, or transaction involving the employee's discretion.
 - iii. Handling Unsolicited Gifts. System Administration employees shall disclose to the Chancellor through their Vice Chancellor, and Institution employees shall disclose to the President through their chair or department head, any gift received in the course of official business that has a value of more than \$250. The Chancellor or President shall make such disclosure to the Board.
- b. Employees may accept the following benefits, gifts, and honoraria:
- i. Gifts Valued at Less Than \$50. An employee may accept non-cash items of less than \$50 in value when the gift is not offered in exchange for an official action or decision.
 - ii. Benefits from Friends, Relatives, and Associates. An employee may accept a benefit from a person such as a friend, relative, or business associate with whom he or she has a relationship independent of his or her status as an employee if the benefit is given on account of that relationship rather than the employee's official status and not offered in exchange for official action or decision.
 - iii. Payment for Goods or Services. An employee may accept a payment for which he or she gives legitimate consideration in a capacity other than as a public servant. The use of the term "legitimate consideration" means that the payment the employee receives must reflect the actual value of the services or goods the employee provides in exchange for the payment. An employee providing goods and services for payment in a capacity other than as a public servant may be required to report such activities in accordance with applicable outside employment policies of the System Administration or the Institutions.

- iv. Food, Lodging, Transportation, or Entertainment. An employee may accept benefits in the form of food, lodging, transportation, or entertainment in any amount if the employee accepts such benefits as a “guest.” In order for the employee to accept something as a “guest,” the donor must be present when the benefit is conferred.
 - v. Honoraria. An employee may not solicit, accept, or agree to accept an honorarium in consideration for services if the employee would not have been asked to provide the services but for his or her official position or duties. This prohibition includes a request for or acceptance of a payment made to a third party if made in exchange for such services. Employees may, however, accept the direct provision of, or reimbursement for, expenses for transportation and lodging incurred in connection with a speaking engagement at a conference or similar event. Meals provided as a part of the event or reimbursement for actual expenses for meals may also be accepted. In order to receive reimbursement, the employee’s participation in the event must be more than merely perfunctory.
 - vi. Awards. Employees may accept plaques and similar recognition awards.
 - vii. Benefits and Lobbyists. Employees may accept certain gifts, awards, and mementos from persons required to register as lobbyists. “Gift” in this context does not include food, entertainment, transportation, or lodging if the lobbyist is present at the event. Lobbyists may provide an employee with transportation and lodging only in connection with a fact-finding trip related to the employee’s official duties or in connection with an event, such as a conference, at which the employee will be providing “more than perfunctory” services in his or her official capacity.
5. Political Activities. Employees shall not:
- a. Engage in any political activity while on state time or utilize state resources for any political activity;
 - b. Use official authority or influence or permit the use of a program administered by the System, the System Administration, or an Institution to interfere with or affect the result of an election or nomination of a candidate or to achieve any other political purpose;

- c. Use appropriated money to employ, as a regular full-time or part-time or contract employee, a person who is required by Chapter 305 of the Texas Government Code to register as a lobbyist;
 - d. Use or authorize the expenditure of appropriated money to pay, on behalf of the System Administration or an Institution, membership dues to an organization that pays part or all of the salary of a person who is required by Chapter 305 of the Texas Government Code to register as a lobbyist (this rule does not apply to the payment of membership fees under Chapter 81 of the Texas Government Code); or
 - e. Use or authorize the expenditure of appropriated money to pay lobbying expenses incurred by: (1) the recipient of the money, (2) a person or entity that is required to register with the Texas Ethics Commission under Chapter 305, (3) any partner, employee, employer, relative, contractor, consultant, or related entity of a said person or entity, or (4) a person or entity that has been hired to represent associations or other entities for the purpose of affecting the outcome of legislation, agency rules, ordinances, or other government policies.
6. Political Office.
- a. Non-Elective Office. An employee may hold a non-elective public office with a board, commission, or other state, political subdivision, or federal entity if the holding of such office benefits the State of Texas or is required by state or federal law. The holding of such office must not conflict with the employee's duties with the System Administration or an Institution.
 - b. Elective Office. An employee may serve as a member of the governing body of a school district, city, town, or other local governmental district if he or she receives no salary for serving as a member of the governing body and such a position does not conflict with the employee's duties with the System Administration or an Institution.
7. Use of Authority.
- a. Misapplication of Property. Employees shall use government property only for government purposes, not personal or private purposes.

- b. Misuse of Official Information. It is a violation of state law if an employee, in reliance on information to which he or she has access in his or her official capacity and which has not been made public: (1) acquires or aids another to acquire a pecuniary interest in any property, transaction, or enterprise that may be affected by the information; (2) speculates or aids another to speculate on the basis of the information; or (3) discloses or uses the information with the intent to obtain a benefit or harm another.

05.702 Ethics Policy. The System Administration and each Institution shall adopt an ethics policy that outlines federal and state law requirements applicable to their employees as well as the requirements of Regents Rule 05.700. The policy shall include the following:

1. a procedure for employees to report ethical violations;
2. guidance on the use of institutional resources; and
3. training requirements that provide for the regular training of officers and employees on ethical obligations, the ethics policy and this Regents Rule.

Adopted: November 15, 2007
Effective: November 15, 2007
Revised: August 28, 2015, February 26, 2016

Board Briefing



Committee: Finance & Facilities

Date Filed: October 19, 2017

Title: Approval to Request to Exceed the Full-time Equivalent Limitation for Fiscal Year 2018

Background:

Limitations on full-time equivalent (“FTE”) employees paid by appropriated funds (“FTE cap”) are established every two years by the State Legislature in the General Appropriations Act (“GAA”). Components of the UNT System operate using both appropriated funds and non-appropriated funds and pay employees out of both, but FTE caps were not adjusted to reflect the mix and use of different sources of funding.

Article IX, Section 6.10 of the General Appropriations Act requires a governing board to submit a request to the Governor and Legislative Budget Board annually if a component of the System anticipates exceeding the FTE cap by the lesser of 110 percent or 50 FTE.

UNT Dallas anticipates exceeding its FTE cap by 202.8 in FY2018. The addition is due to the use of approximately \$3m in General Revenue – Dedicated (“GR-D”) fund balance in the treasury, as indicated in the FY2018 operating budget. The FTE cap in the GAA was not adjusted to reflect the increase in GR-D funding. The expected increase in FTEs is primarily a result of the increased funding items that support Instruction.

Financial Analysis/History:

The recommendation to report and request to exceed FTE caps has no fiscal impact; exceeding FTE limitations is a product of existing state appropriations.

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Institution Chief Financial Officer

Janet
Waldron
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ou=Vice Chancellor for Finance,
email=janet.waldron@untsystem.edu,
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Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

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Footer
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North Texas System, ou=Office of General
Counsel,
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Vice Chancellor/General Counsel

Schedule:

A letter will be sent to the Governor and Legislative Budget Board immediately upon approval.

Recommendation:

It is recommended that the Board of Regents approve the request to exceed the FTE cap in FY 2018.

Recommended By:

Janet Waldron

Vice Chancellor for Finance

Bob Mong

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ou=Office of the President,
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President

Lesa B. Roe

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Chancellor

Attachments Filed Electronically:

- Fiscal Year 2018 Request to Exceed Full-time Equivalent (FTE) Limitation on Appropriated Funds



Board Order

Title: Approval to Request to Exceed the Full-time Equivalent Limitation for Fiscal Year 2018

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, state law requires a governing board to submit a request to exceed the General Appropriations Act limitation on full-time equivalent employees paid by appropriated funds to the Governor and Legislative Budget Board if it is anticipated that the limitation will be exceeded,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Submission of a request to the Governor and Legislative Budget Board detailing UNT Dallas's anticipated exceeding the fiscal year 2018 limitation on full-time equivalent employees paid by appropriated funds.

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

**University of North Texas System
Fiscal Year 2018 Request to Exceed Full-time Equivalent (FTE) Limitation on Appropriated Funds**

| Request to Exceed the Cap - By Institution | FY2018 Cap | Rider Allowance (lesser of 10% or 50) | Cap + Rider Allowance | Requested Increase Above the Lesser of 110% of the Institution FTE Cap or Institution FTE Cap Plus 50 FTEs | | |
|--------------------------------------------|------------|---------------------------------------------|--------------------------|---------------------------------------------------------------------------------------------------------------|-------|-------|
| | | | | Faculty | Staff | Total |
| University of North Texas at Dallas | 158.4 | 15.8 | 174.2 | 109.5 | 93.3 | 202.8 |

| Source of Funds, Justification and Explanation | Requested Increase Above the Lesser of 110% of the Institution FTE Cap or Institution FTE Cap Plus 50 FTEs | | | Source of Funds | Justification and Explanation |
|------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------|-------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Faculty | Staff | Total | | |
| University of North Texas at Dallas | 109.5 | 93.3 | 202.8 | Education & General (General Revenue and Statutory Tuition) | The addition is due to the use of approximately \$3m in General Revenue – Dedicated fund balance in the treasury, as indicated in the FY2018 operating budget. The FTE cap in the GAA was not adjusted to reflect the increase in GR-D funding. The expected increase in FTEs is primarily a result of the increased funding items that support Instruction. |

In accordance with Article IX, Section 6.10(a)(2), this request is to exceed the lesser of one hundred and ten percent (110%) of the institution FTE cap or institution FTE cap plus fifty FTEs.



Title: Authorization to Amend the UNTS FY18 Capital Improvement Plan to Add Athletics Indoor Practice Facility at UNT

Background:

The University of North Texas (UNT) is in the process of finalizing a master plan for the future development of athletic facilities on the UNT campus. One of the facilities that has been identified as a critical need for the athletic program is an Indoor Practice Facility (IPF).

The IPF will be an important resource for the athletic programs as it would provide an area for practices when the weather is not conducive for outdoor practices. It would also provide a conditioned space for hot weather, afternoon practices reducing the stress on the student athletes. The Indoor Practice Facility of approximately 94,000 GSF will also have a track surface and a sand pit that would allow for use by multiple sports year round. Currently three of the fourteen Conference USA schools have indoor practice facilities. The addition of an Indoor Practice Facility will be a major recruitment tool for the UNT Athletics program. A project budget of \$18,900,000 has been developed based on the complete facility space program.

On September 8, 2017, the Board of Regents approved the UNTS FY18 Capital Improvement Plan (CIP) which identified capital projects for the UNT System. The requested action is to amend the UNTS FY18 to include the new athletic indoor practice facility.

Financial Analysis/History:

This project will be funded through a combination of gifts funds (\$7.5M) and Revenue Finance System (RFS) funds (\$11.4M). Funds for this project have been allocated by the institution and confirmed by signature by the President and CFO. Funding plans have been reviewed by the Vice Chancellor for Finance.

Bob Brown
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UNT Chief Financial Officer

Janet Waldron
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Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
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Vice Chancellor/General Counsel

Schedule:

The following schedule is proposed schedule for the Indoor Practice Facility:

| | |
|-------------------------------------|-----------|
| Design complete | June 2018 |
| Construction Substantial Completion | June 2019 |
| Construction complete | July 2019 |

Recommendation:

It is recommended that the Board of Regents authorize and approve the following Board Order.

Recommended By:

James K. Davis

Associate Vice Chancellor for
Facilities Planning & Development

Neal
Smatresk

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of North Texas, ou=President,
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President

James M.
Maguire

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Planning and Construction, ou=UNT System,
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Vice Chancellor

Lesa B. Roe

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Chancellor

Attachments Filed Electronically:

- FY18 CIP Amendment



Board Order

Title: Authorization to Amend the UNTS FY18 Capital Improvement Plan to Add Athletics Indoor Practice Facility at UNT

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16 - 17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, the Board of Regents approved the UNTS FY18 Capital Improvement Plan, and

Whereas, there was an identified need for an athletic indoor practice field during the development of the athletic facilities master plan, and

Whereas, project scope and budget have been developed for the construction of an athletic indoor practice facility, and

Whereas, funds for expenditure in FY18 have been identified for the design phase and funds for the completion of the project planned for later Fiscal years, and

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Amend the UNTS FY18 Capital Improvement Plan to add the Athletics Indoor Practice Facility.

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

FY2018 Capital Improvement Plan
UNIVERSITY of NORTH TEXAS SYSTEM

FY2018 SUMMARY (in \$Million)

NEW PROJECTS FOR FY2018

| Proj. No. | Project | Funding Source | Prior Yrs Budget | 2018 | 2019 | 2020 | 2021 | 2022+ | Total Project |
|--------------------------------------------------------------|-----------------------------------------------------------|----------------|------------------|--------------|--------------|-------------|----------|----------|---------------|
| University of North Texas | | | | | | | | | |
| 18-01-0001 | Maple Hall MEP & Renovation | AUX | | 4.38 | | | | | 4.38 |
| 18-01-0002 | Clark Hall Lobby Renovation | AUX | | 0.17 | 2.10 | | | | 2.27 |
| 18-01-0003 | Sage Hall Academic Success Center Phase III | HEAF | | 0.26 | 2.75 | | | | 3.01 |
| 18-01-0004 | Art Building Roof Replacement | CP | | 1.35 | | | | | 1.35 |
| 18-01-0005 | Discovery Park Utility Capacity Upgrade | HEAF | | 2.00 | | | | | 2.00 |
| 18-01-0006 | Music Building Courtyard Renovation | HEAF | 0.09 | 1.50 | | | | | 2.59 |
| | | GIFT | | | 1.00 | | | | |
| 18-01-0007 | New Dining Hall | RFS | 0.20 | 3.50 | 15.28 | 6.49 | | | 25.47 |
| 18-01-0008 | Fouts Field Demolition, Parking, and Bus Transfer Station | RFS | | 2.00 | 12.00 | | | | 14.00 |
| | | | | | | | | | |
| 18-01-0009 | Athletic Indoor Practice Facility | RFS | | 1.50 | 9.90 | | | | 18.90 |
| | | GIFT | | | 7.50 | | | | |
| University of North Texas Total | | | 0.29 | 16.66 | 50.53 | 6.49 | - | - | 73.97 |
| University of North Texas Dallas | | | | | | | | | |
| University of North Texas Dallas Total | | | | | | | | | |
| University of North Texas Dallas Total | | | | | | | | | |
| University of North Texas Health Science Center | | | | | | | | | |
| 18-03-0001 | Gross Anatomy Laboratory Renovation | RFS | | 2.47 | | | | | 2.47 |
| 18-03-0002 | 1408 St. Louis Ave. Renovation | HEAF | 0.30 | 1.65 | | | | | 1.95 |
| University of North Texas Health Science Center Total | | | 0.30 | 4.12 | - | - | - | - | 4.42 |
| University of North Texas System | | | | | | | | | |
| University of North Texas System Total | | | | | | | | | |
| Capital Improvement Plan Total | | | 0.59 | 20.78 | 50.53 | 6.49 | - | - | 78.39 |

Summary by Funding Source

| | Funding Source | Prior Yrs Budget | 2017 | 2018 | 2019 | 2020 | 2021+ | Total |
|--------------------------------------|----------------|------------------|--------------|--------------|-------------|----------|----------|--------------|
| HEAF | HEAF | 0.39 | 5.41 | 2.75 | - | - | - | 8.55 |
| HEAF Reserve | HEAF Reserve | - | - | - | - | - | - | - |
| Tuition Revenue Bonds | TRB | - | - | - | - | - | - | - |
| Commercial Paper | CP | - | 1.35 | - | - | - | - | 1.35 |
| Private Placement | PP | - | - | - | - | - | - | - |
| Revenue Bonds | RB | - | - | - | - | - | - | - |
| Revenue Financing System Bonds | RFS | 0.20 | 9.47 | 37.18 | 6.49 | - | - | 53.34 |
| Auxiliary Reserves | AUX | - | 4.55 | 2.10 | - | - | - | 6.65 |
| Grants | GRNT | - | - | - | - | - | - | - |
| Student Fees | SF | - | - | - | - | - | - | - |
| Housing Revenue | HR | - | - | - | - | - | - | - |
| Gift/Donations | GIFT | - | - | 8.50 | - | - | - | 8.50 |
| Annual Budget, Operating and Capital | Local/Cash | - | - | - | - | - | - | - |
| Total | | 0.59 | 20.78 | 50.53 | 6.49 | - | - | 78.39 |

FY2018 (in \$Million)

University of North Texas

| Proj. No. | Project | Funding Source | Prior Yrs Budget | 2018 | 2019 | 2020 | 2021 | 2022+ | Total Project |
|----------------------------------------------------------|-------------------------------------------------------------------|----------------|------------------|---------------|--------------|--------------|--------------|--------------|---------------|
| Previously Approved Projects: | | | | | | | | | |
| 2.20 | Matthews Hall MEP (Amended) | HEAF | 1.20 | | | | | | 1.20 |
| 2.21 | Wooten Hall MEP (Amended) | HEAF | 3.45 | | | | | | 3.45 |
| 2.33 | Willis Library MEP (Amended) | HEAF | 5.16 | 4.69 | | | | | 9.85 |
| 2.34 | Hickory Hall MEP | HEAF | 3.00 | | | | | | 3.00 |
| 16-1.20 | College of Visual Arts and Design | TRB | 27.00 | 38.00 | 5.00 | | | | 70.00 |
| 16-1.84 | New Residence Hall | | | | | | | | |
| 16-1.84a | New Residence Hall - Phase 1 (Amended) | RFS | 17.04 | 28.68 | 13.18 | | | | |
| 16-1.84b | New Residence Hall - Phase 2 | RFS | | | | 11.86 | 18.89 | 12.95 | 102.60 |
| 16-2.25 | General Academic Building MEP & Interior Renovation (Amended) | HEAF | 0.75 | | | | | | |
| | | RFS | | | 9.60 | | | | 10.35 |
| 16-2.50 | Life Science Lab Exhaust Upgrade | HEAF | 3.20 | | | | | | 3.20 |
| 16-2.55 | Discovery Park MEP Upgrade (Amended) | HEAF | | 1.00 | 9.00 | 10.00 | 0.60 | | 20.60 |
| 16-2.62a | Maple Common Area Renovation | AUX | 1.65 | | | | | | 1.65 |
| 16-2.65 | Sycamore 2nd Floor Renovation (Amended) | HEAF | 3.95 | | | | | | 3.95 |
| 16-2.66 | Coliseum Concourse Renovation | RFS | 5.50 | 2.50 | | | | | 8.00 |
| 16-2.67 | 1500 I-35 Building (Amended) | RFS | 10.50 | 2.00 | | | | | 12.50 |
| 16-2.77 | Wooten Hall Code Upgrade (Amended) | HEAF | 2.07 | 2.16 | | | | | 4.23 |
| 16-2.82 | Track and Field Stadium (Amended) | RFS | 4.00 | 5.90 | 2.00 | | | | |
| | | AUX | | | 1.69 | | | | 13.59 |
| 16-2.85 | Sage Hall Academic Success Center | HEAF Reserve | 1.85 | | | | | | 1.85 |
| 17-01-0001 | Terrill Hall MEP & Interior Renovation (Amended) | HEAF | 0.55 | 0.25 | 6.50 | | | | 7.30 |
| 17-01-0002 | Coliseum MEP Renovation (Amended) | RFS | | | 0.90 | 9.50 | | | 10.40 |
| 17-01-0003 | Kerr Hall MEP & Interior Renovation (Amended) | | | | | | | | |
| | | AUX | 2.10 | 3.69 | | | | | 5.79 |
| 17-01-0004 | USB MEP Renovation (Amended) | HEAF | 0.30 | 3.30 | | | | | 3.60 |
| 17-01-0005 | Discovery Park Bio-Medical Engineering Addition | RFS | 2.00 | 15.40 | | | | | 17.40 |
| 17-01-0006 | Sage Hall Academic Success Center Phase II (Amended) | HEAF Reserve | 0.17 | | | | | | |
| | | HEAF | | 2.75 | | | | | 2.92 |
| 17-01-0007 | Life Science Building 4th Floor Laboratories Renovation (Amended) | RFS | | | | 0.70 | 6.30 | | 7.00 |
| 17-01-0008 | Coliseum Roof Replacement (Amended) | Local/Cash | | 2.43 | | | | | 2.43 |
| 17-01-0009 | Off-Site Campus #2 | Local/Cash | 0.10 | 1.40 | | | | | 1.50 |
| 17-01-0010 | New Classroom Building | RFS | | 2.20 | 22.90 | | | | 25.10 |
| 17-01-0011 | Hickory Hall Renovation | RFS | | 0.80 | 8.00 | | | | 8.80 |
| 17-01-0012 | Eagle Point Parking Lot #84 | CP | 2.20 | | | | | | 2.20 |
| Previously Approved Projects Total | | | 97.74 | 117.15 | 78.77 | 32.06 | 25.79 | 12.95 | 364.46 |
| New Projects for Approval: | | | | | | | | | |
| 18-01-0001 | Maple Hall MEP & Renovation | AUX | | 4.38 | | | | | 4.38 |
| 18-01-0002 | Clark Hall Lobby Renovation | AUX | | 0.17 | 2.10 | | | | 2.27 |
| 18-01-0003 | Sage Hall Academic Success Center Phase III | HEAF | | 0.26 | 2.75 | | | | 3.01 |
| 18-01-0004 | Art Building Roof Replacement | CP | | 1.35 | | | | | 1.35 |
| 18-01-0005 | Discovery Park Utility Capacity Upgrade | HEAF | | 2.00 | | | | | 2.00 |
| 18-01-0006 | Music Building Courtyard Renovation | HEAF | 0.09 | 1.50 | | | | | |
| | | GIFT | | | 1.00 | | | | 2.59 |
| 18-01-0007 | New Dining Hall | RFS | 0.20 | 3.50 | 15.28 | 6.49 | | | 25.47 |
| 18-01-0008 | Fouts Field Demolition, Parking, and Bus Transfer Station | RFS | | 2.00 | 12.00 | | | | 14.00 |
| 18-01-0009 | Athletic Indoor Practice Facility | RFS | | 1.50 | 9.90 | | | | |
| | | GIFT | | | 7.50 | | | | 18.90 |
| New Project for Approval Total | | | 0.29 | 16.66 | 50.53 | 6.49 | - | - | 73.97 |
| Planned Projects with Identified Funding Sources: | | | | | | | | | |
| 01-0010 | Administration Building Renovation | HEAF | | | | 1.70 | 7.20 | 10.00 | 18.90 |
| 01-0011 | Curry Hall MEP | HEAF | | | 0.52 | 5.25 | | | 5.77 |
| 01-0012 | Underground Utility Repairs | HEAF | | | 0.28 | 2.73 | | | 3.01 |
| 01-0013 | PAC Foundation Repairs | HEAF | | | | 0.20 | 1.95 | | 2.15 |
| 01-0014 | Physical Education Building (PEB) MEP & Interior Renovation | HEAF | | | | 1.75 | 17.35 | | 19.10 |

FY2018 (in \$Million)

University of North Texas

| Proj. No. | Project | Funding Source | Prior Yrs | 2018 | 2019 | 2020 | 2021 | 2022+ | Total Project |
|---------------------------------------------------------------|----------------------------------------------|----------------|--------------|---------------|---------------|--------------|---------------|--------------|---------------|
| | | | Budget | | | | | | |
| 01-0015 | Language Building MEP | HEAF | | | 0.45 | 5.62 | - | | 6.07 |
| 01-0016 | Demo and Build New Business Svs Whse | AUX | | | | | 1.25 | | 1.25 |
| 01-0017 | SRB MEP Renovation | HEAF | | | | 0.26 | 2.63 | | 2.89 |
| 01-0018 | RTFP MEP Renovation | HEAF | | | 0.75 | 7.00 | | | 7.75 |
| 01-0019 | Sage Hall Academic Success Center - Phase IV | HEAF | | | 0.24 | 1.76 | | | 2.00 |
| 01-0020 | Welch St Complex #2 Demolition | HEAF | | | 1.50 | | | | 1.50 |
| 01-0021 | Chilton Classroom & Restrooms | HEAF | | | 0.40 | 4.00 | | | 4.40 |
| 01-0022 | Discovery Park Greenhouse Addition | RFS | | | 3.00 | | | | 3.00 |
| 01-0023 | ESSC Envelope Upgrade | HEAF | | | 7.80 | | | | 7.80 |
| 01-0024 | New Science & Tech Research Building | TRB | | | | 11.76 | 50.00 | 36.24 | 98.00 |
| 01-0025 | Union Circle Drive Re-surface | AUX | | | | 2.00 | | | 2.00 |
| 01-0026 | USB Interior Renovation | HEAF | | | | 0.25 | 2.50 | | 2.75 |
| Planned Projects with Identified Funding Sources Total | | | - | - | 14.94 | 44.28 | 82.88 | 46.24 | 188.34 |
| Planned Land Acquisitions | | | | | | | | | |
| 3.01 | Land Acquisitions per Master Plan | HEAF | | 1.00 | 1.50 | 1.50 | 1.50 | 1.50 | 7.00 |
| Planned Land Acquisition Total | | | - | 1.00 | 1.50 | 1.50 | 1.50 | 1.50 | 7.00 |
| Capital Improvement Plan Total | | | 98.03 | 134.81 | 145.74 | 84.33 | 110.17 | 60.69 | 633.77 |

Planned Project without Identified Funding Sources

New Construction:

- New Athletics Indoor Practice Facility
- New Sports Arena

Renovation:

- Athletic Center Renovation and Expansion
- Music Building Renovations

Summary by Funding Source

| Funding Source | Funding Source | Prior Yrs | 2018 | 2019 | 2020 | 2021 | 2022+ | Total |
|--------------------------------------|----------------|--------------|---------------|---------------|--------------|---------------|--------------|---------------|
| | | Budget | | | | | | |
| HEAF | HEAF | 23.72 | 18.91 | 31.69 | 42.02 | 33.73 | 11.50 | 161.57 |
| HEAF Reserve | HEAF Reserve | 2.02 | - | - | - | - | - | 2.02 |
| Tuition Revenue Bonds | TRB | 27.00 | 38.00 | 5.00 | 11.76 | 50.00 | 36.24 | 168.00 |
| Commercial Paper | CP | 2.20 | 1.35 | - | - | - | - | 3.55 |
| Private Placement | PP | - | - | - | - | - | - | - |
| Revenue Bonds | RB | - | - | - | - | - | - | - |
| Revenue Financing System Bonds | RFS | 39.24 | 64.48 | 96.76 | 28.55 | 25.19 | 12.95 | 267.17 |
| Auxiliary Reserves | AUX | 3.75 | 8.24 | 3.79 | 2.00 | 1.25 | - | 19.03 |
| Grants | GRNT | - | - | - | - | - | - | - |
| Student Fees | SF | - | - | - | - | - | - | - |
| Housing Revenue | HR | - | - | - | - | - | - | - |
| Gift/Donations | GIFT | - | - | 8.50 | - | - | - | 8.50 |
| Annual Budget, Operating and Capital | Local/Cash | 0.10 | 3.83 | - | - | - | - | 3.93 |
| Total | | 98.03 | 134.81 | 145.74 | 84.33 | 110.17 | 60.69 | 633.77 |

Approved

 President

UNIVERSITY of NORTH TEXAS SYSTEM

UNIVERSITY OF NORTH TEXAS
 New Athletics Indoor Practice Facility
 Capital Improvement Project No. 18-01-0009



PROJECT DESCRIPTION

This project will construct an approximately 94,000 gross square foot indoor practice facility for UNT Athletics just south of the Athletics Center on the site of one of the two existing outdoor football practice fields. The facility is planned to include a synthetic surface, full length football field with additional run-off space for the safety of athletes and at a height that will allow for place kicking. Also it is planned to include an ancillary 3-lane track surface with a sand pit, a yard drill practice area, and other necessary support spaces including storage, restrooms, and a recruiting lounge.

PROJECT INFORMATION

JUSTIFICATION: The NCAA practice guidelines limit the length and quantity of practice for college football teams. The Indoor Practice Facility is an important resource for athletic programs as it provides an area for practices when the weather is not conducive for outdoor practices. It also provides a conditioned space for afternoon walk-throughs reducing the stress on the athletes between contact practices. The facility will also provide utilization by the soccer and track & field teams. Currently, there are seven Texas universities and 144 high schools that have indoor facilities. In Conference USA, three out of fourteen schools have indoor practice facilities. However, in the American Athletic Conference, all but three schools have similar facilities. This facility will become a major recruiting tool for the athletic programs.

| | | |
|-----------------------------------------|------------------|-------------|
| LOCATION ON CAMPUS: | Eagle Point | |
| SIZE (ASF/GSF): | GSF: 94,000 | ASF: 86,750 |
| CIP PROJECT TYPE (NEW CONST. OR RENO.): | New Construction | |
| HISTORICALLY SIGNIFICANT? (Y or N): | N | |
| CONSISTENT WITH MASTER PLAN (Y or N): | Y | |

PROJECT BUDGET

| | |
|-----------------------------------------------|----------------------|
| Design Fees | \$ 940,000 |
| Construction Costs | \$ 15,700,000 |
| Other Costs (Commissioning, Inspection, etc.) | \$ 420,000 |
| Furniture, Fixtures, and Equipment | \$ 350,000 |
| Contingency and Fees | \$ 1,490,000 |
| Total Projects | \$ 18,900,000 |

PROJECT FUNDING (in \$ Millions)

| Funding Source Abbr. | Funding Source | Prior Yrs Costs | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022+ | Source Total |
|-------------------------|--------------------------------|--------------------|---------|----------|---------|---------|----------|--------------|
| RFS | Revenue Financing System Bonds | \$ - | \$ 1.50 | \$ 9.90 | \$ - | \$ - | \$ - | \$ 11.40 |
| GIFT | Gift/Donations | \$ - | \$ - | \$ 7.50 | \$ - | \$ - | \$ - | \$ 7.50 |
| | | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| | | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| | | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| | | \$ - | \$ 1.50 | \$ 17.40 | \$ - | \$ - | \$ - | \$ 18.90 |

UNIVERSITY of NORTH TEXAS SYSTEM

UNIVERSITY OF NORTH TEXAS
New Athletics Indoor Practice Facility
Capital Improvement Project No. 18-01-0009



PROJECT SCHEDULE

| | |
|-------------------------------------------|--------------------------------|
| PREVIOUS APPROVALS FROM BOARD OF REGENTS: | N/A |
| PROGRAMMING/PLANNING: | December 2016 – September 2017 |
| DESIGN: | December 2017 – June 2018 |
| CONSTRUCTION: | June 2018 – July 2019 |
| SUBSTANTIAL COMPLETION: | June 2019 |

LOCATION MAP





Title: Request for Increase of UNT Room and Board Rates for FY19

Background:

The University has completed a multi-year increase in room rates that has provided the financial base for expanding and renovating student housing. In 2014, the BOR approved a 4 year 4.5% average rate increase based on new construction, renovations, peer institution rates and fiscal planning for the long term expansion and maintenance of housing.

Based on new housing coming on line in FY19, a comparison of peer institutions and based upon an expenditure analysis, the University is requesting a one-year rate increase for FY19 of an overall rate increase of 3.7%.

The increase will cover necessary maintenance and capital projects in the residence and dining halls as well as provide funds for construction of future new residence halls.

The University is also seeking a 3.7% increase in the five-day meal plan and the seven-day meal plan for an overall average increase of 3.7% in board rates for FY19 to cover increased labor, operational, and food costs. Inflationary pressures are increasing given the outlook for commodity prices, animal inventories, and ongoing export trends and protein prices are projected to increase at a higher rate. UNT's food costs will remain highly competitive due to our volume discounts, systematized food production management and menu flexibility. This increase combined with a new dining hall in design to replace an aging dining hall schedule to open in FY20 will provide appropriate funding for maintaining current dining facilities and expansion without a more significant increase in future years.

Financial Analysis/History:

The housing rates have been adjusted at different percentages to simplify the housing rate structure, maximize retention, and provide better comparisons with peer institutions. Combined, the rate structure has increased overall 3.7%. The amount of the proposed rate changes vary by room type, with an average increase of \$106 per semester. The proposed room rate changes would result in approximately \$1.2M in additional funds annually.

The proposed meal plan increase of \$55 for a five-day plan and \$60 for a seven-day plan would result in approximately \$700,000 in additional funds annually.

Combined, the anticipated additional revenue would total \$1.9M.

A student in a standard double occupancy room on a five day meal plan would pay \$4,605 per semester, a 3.4% increase of \$145.

Bob Brown

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Texas, ou=VP for Finance and Administration,
email=bob.brown@unt.edu, c=US
Date: 2017.10.27 16:22:43 -05'00'

Institution Chief Financial Officer

Janet Waldron

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ou=Vice Chancellor for Finance,
email=janet.waldron@untsystem.edu, c=US
Date: 2017.10.31 10:54:32 -05'00'

Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer

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DN: cn=Nancy S. Footer, o=University of North
Texas System, ou=Office of General Counsel,
email=nancy.footer@untsystem.edu, c=US
Date: 2017.11.02 09:05:24 -05'00'

Vice Chancellor/General Counsel

Schedule:

The requested increases, if approved, would be implemented beginning with the Fall 2018 semester.

Recommendation:

It is recommended that the Board of Regents authorize and approve the following Board Order.

Recommended By:

Elizabeth With

Vice President for Student Affairs

**Neal
Smatresk**

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DN: cn=Neal Smatresk, o=University
of North Texas, ou=President,
email=neal.smatresk@unt.edu, c=US
Date: 2017.10.30 08:39:37 -05'00'

President

Lesla B. Roe

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Chancellor, email=chancellor@untsystem.edu, c=US
Date: 2017.11.02 16:07:50 -05'00'

Chancellor



Board Order

Title: Request for Increase of UNT Room and Board Rates for FY19

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on Nov 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, fee revenues at the University of North Texas are used to meet increased operating costs to support operations of certain auxiliary programs,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. UNT semester room rates as follows:

| Room Type | % change from FY18 | FY19 | FY18 |
|--------------------------------------------------------|--------------------|---------|---------|
| Private Single Occupancy Suite Room | 3.03% | \$3,400 | \$3,300 |
| Single Occupancy Room | 2.85% | \$3,250 | \$3,160 |
| Double Occupancy with cooking facilities | 3.19% | \$3,075 | \$2,980 |
| Single Occupancy Matchbox - College Inn | 3.09% | \$3,000 | \$2,910 |
| Double Occupancy Room | 3.19% | \$2,915 | \$2,825 |
| Double Occupancy with efficiency kitchen - College Inn | 12.90% | \$2,800 | \$2,480 |
| Double Occupancy - College Inn | 8.87% | \$2,700 | \$2,480 |
| Graduate Student Apartment - College Inn | 6.85% | \$2,650 | \$2,480 |
| Triple Occupancy Room | -7.96% | \$2,600 | \$2,825 |

2. UNT Board rates (excluding tax) as follows:

| Meal Plan | FY19 | FY18 |
|-------------------------|---------|---------|
| Five (5) day meal plan | \$1,530 | \$1,475 |
| Seven (7) day meal plan | \$1,690 | \$1,630 |

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Title: Approval of UNT Undergraduate Differential Tuition Beginning Fall 2018

Background:

Differential tuition is an additional charge that relates the rate of tuition to the cost of instructional delivery based on the college providing the course. This tuition model will allow UNT to continue strategic growth, improve instructional quality, enhance the quality and efficient use of instructional facilities, and increase graduation rates while mitigating cost increases for programs that are not as expensive to deliver.

The Colleges of Business, Engineering, and Music have high instructional costs as a result of much higher average salaries (Business and Engineering) and lower student-to-faculty ratios (Music). Differential tuition will enable UNT to better align tuition with instructional costs. Texas Education Code 54.0513 states a "governing board may set a different tuition rate for each program and course level offered by each institution of higher education."

Financial Analysis/History:

The recommended undergraduate differential tuition rates will be:

- \$15.00 per SCH for the College of Business beginning in fall 2018 - total designated tuition will increase by 6.5% from \$230.11 to \$245.11 and generate approximately \$911k more revenue against the fall 2017 baseline;
- \$10.00 per SCH for the College of Engineering beginning in fall 2018 – total designated tuition will increase by 4.3% from \$230.11 to \$240.11 and generate approximately \$467k more revenue against the fall 2017 baseline;
- \$15.00 per SCH for the College of Music in fall 2018 – total designated tuition will increase by 6.5% from \$230.11 to \$245.11 and generate approximately \$407k more revenue against the fall 2017 baseline; \$30.00 per SCH for the College of Music in fall 2019 – total designated tuition will increase by 6.1% from \$245.11 to \$260.11 and generate approximately \$407k more revenue against the fall 2018 baseline; and \$45.00 per SCH for the College of Music beginning fall 2020 – total designated tuition will increase by 5.8% from \$260.11 to \$275.11 and generate approximately \$407k more revenue against the fall 2019 baseline.

These differential tuition rates will remain in effect until other rates are brought before the Board as deemed necessary.

Implementing differential tuition per above generates approximately \$1.8 million in FY19, \$2.2 million in FY20 and \$2.6 million in FY21. The revenue derived from differential tuition will enable us to enhance undergraduate teaching by hiring and retaining tenure-track faculty, increasing financial aid and scholarships, investing in targeted retention initiatives, and improving instructional facilities.

Implementing differential tuition is not expected to have an effect on enrollment. Many of UNT's Texas peers already have differential tuition, and the total tuition rate for the three colleges

remains very competitive. A study from 2011 found that 41% of doctoral granting institutions have differential tuition. Texas is newer to differential tuition, but most of the universities we look to have it. For example, Texas A&M has differential tuition rates for all colleges up to \$85.13 per SCH, Texas Tech has a differential up to \$40 per SCH, University of Houston has a differential up to \$52 per SCH, and UT-Dallas has a differential up to \$40 per SCH. While we often look inside the state for cues, we also compete nationally in some disciplines. For example, Indiana University – for whom we compete for music students – has recently entered into differential tuition. They have differential tuition of \$500 per semester (equivalent to about \$33 per SCH) for Engineering and \$1,000 per semester (equivalent to about \$67 per SCH) for Music. At least fifteen percent of differential tuition revenue will be set aside for need-based financial aid.

Bob Brown

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ou=VP for Finance and Administration,
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Institution Chief Financial Officer

Janet Waldron

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ou=Vice Chancellor for Finance,
email=janet.waldron@untsystem.edu, c=US
Date: 2017.10.30 16:11:57 -0500'

Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer

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Texas System, ou=Office of General Counsel,
email=nancy.footer@untsystem.edu, c=US
Date: 2017.11.02 09:04:31 -0500'

Vice Chancellor/General Counsel

Schedule:

If approved, the differential tuition rate will be effective in the fall 2018 semester.

Recommendation:

It is recommended that the Board of Regents authorize and approve the following Board Order.

Recommended By:

Jennifer Cowley

Provost and VPAA

**Neal
Smatresk**

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of North Texas, ou=President,
email=neal.smatresk@unt.edu, c=US
Date: 2017.10.30 08:40:28 -0500'

President

Lesla B. Roe

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DN: cn=Lesla B. Roe, o=UNT System, ou=Office of the
Chancellor, email=chancellor@untsystem.edu, c=US
Date: 2017.11.02 16:09:04 -0500'

Chancellor



Board Order

Title: Approval of UNT Undergraduate Differential Tuition Beginning Fall 2018

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, differential tuition will allow UNT to continue strategic growth, improve instructional quality, enhance the quality and efficient use of instructional facilities, and increase graduation rates, and

Whereas, the Colleges of Business, Engineering and Music have high instructional costs, and

Whereas, the additional funds are needed to enhance undergraduate teaching by hiring and retaining tenure-track faculty, increasing financial aid and scholarships, investing in targeted retention initiatives, and improving instructional facilities, and

Whereas, the university will collectively benefit from our ability to increase investment in business, engineering, and music.

Now, Therefore, The Board of Regents authorizes and approves the following:

1. A \$15.00 per semester credit hour undergraduate differential tuition rate for the College of Business beginning in the fall 2018 semester.
 2. A \$10.00 per semester credit hour undergraduate differential tuition rate for the College of Engineering beginning in the fall 2018 semester.
 3. A \$15.00 per semester credit hour undergraduate differential tuition rate for the College of Music in the fall 2018 semester; a \$30.00 per semester credit hour undergraduate differential tuition rate for the College of Music in the fall 2019 semester; and a \$45.00 per semester credit hour undergraduate differential tuition rate for the College of Music beginning in the fall 2020 semester
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Title: Approval of UNT Graduate Differential Tuition Beginning Fall 2018

Background:

Differential tuition is an additional charge that relates the rate of tuition to the cost of instructional delivery based on the college providing the course. This tuition model will allow UNT to continue strategic growth, improve instructional quality, enhance the quality and efficient use of instructional facilities, and increase graduation rates while mitigating cost increases for programs that are not as expensive to deliver.

The Colleges of Business, Engineering, and Music have greater instructional costs as a result of much higher average salaries (Business and Engineering) and lower student-to-faculty ratios (Music). Differential tuition will enable UNT to better align tuition with instructional costs. Texas Education Code 54.0513 states a "governing board may set a different tuition rate for each program and course level offered by each institution of higher education."

Financial Analysis/History:

The recommended graduate differential tuition rates will be:

- \$60.00 per SCH for the College of Engineering beginning in fall 2018 – tuition will increase by 26.3% from \$227.79 to \$287.79 and generate approximately \$532k more revenue against the fall 2017 baseline;
- \$50.00 per SCH for the College of Business beginning in fall 2018 – tuition will increase by 22% from \$227.79 to \$277.79 and generate approximately \$561k more revenue against the fall 2017 baseline; \$100.00 per SCH for the College of Business beginning in fall 2019 – tuition will increase 18% from \$277.79 to \$327.79 and generate approximately \$561k more revenue against the fall 2018 baseline; and \$125.00 per SCH for the College of Business beginning in fall 2020 – tuition will increase by 7.6% from \$327.79 to \$352.79 and generate approximately \$281k more revenue against the fall 2019 baseline.
- \$45.00 per SCH for the College of Music beginning in fall 2018 – tuition will increase by 20% from \$227.79 to \$272.79 and generate approximately \$447k more revenue against the fall 2017 baseline; \$60.00 per SCH for the College of Music beginning in fall 2019 – tuition will increase 5.5% from \$272.79 to \$287.79 and generate approximately \$149k more revenue against the fall 2018 baseline; and \$75.00 per SCH for the College of Music beginning in fall 2020 – tuition will increase 5.2% from \$287.79 to \$302.79 and generate approximately \$149k more revenue against the fall 2019 baseline.
- \$523.00 per SCH for the Executive Master of Science in Computer Science beginning in fall 2018 [replacing a specialized program fee of the same amount].

These graduate differential tuition rates will remain in effect until other rates are brought before the Board as deemed necessary.

Implementing graduate differential tuition per above generates approximately \$1.5 million in FY19, \$2.3 million in FY20, and \$2.7 million in FY21. In addition, the Executive Master of Science

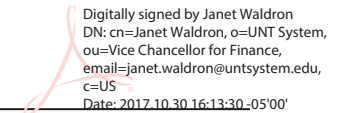
in Computer Science at Frisco assesses a specialized program fee of \$523 per SCH; a differential tuition model would enable conversion of the specialized program fee to a differential tuition rate.

These differential rates have been carefully considered in light of many factors to include student affordability, impact on retention, and peer price benchmarks. UNT will remain competitive on price for graduate programs in these colleges compared to our peers with negligible anticipated impact on enrollment.

The revenue derived from differential tuition will enable UNT to enhance graduate teaching by hiring and retaining tenure-track faculty, increasing financial aid and scholarships, enhancing career preparation services, and improving instructional facilities.

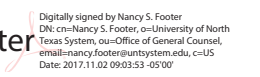
A study from 2011 found that 41% of doctoral granting institutions have differential tuition. Texas is newer to differential tuition, but most of the universities we look to have it. For example, UT-Dallas has differential tuition rates of \$80 per SCH for business and \$40 per SCH for engineering; UT-Arlington has differential tuition (enhanced designated tuition) rates of \$51 per SCH for business, \$31 per SCH for Engineering, and \$24 per SCH for Music; and University of Houston differential of \$415 per SCH for business and \$113 per SCH for engineering. MBA programs typically have program fees in addition to differential tuition. Inclusive of program fees, Texas Tech has an effective differential of approximately \$720 per SCH for its program. UNT would have an effective differential in FY21 of approximately \$181 per SCH inclusive of the \$500 per semester program fee. At least 15% of differential tuition revenue will be set aside for need-based financial aid.


Bob Brown
Institution Chief Financial Officer


Janet Waldron
Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.


Nancy S. Footer
Vice Chancellor/General Counsel

Schedule:

If approved, the differential tuition rates will be effective beginning in the fall 2018 semester.

Recommendation:

It is recommended that the Board of Regents authorize and approve the following Board Order.

Recommended By:

Jennifer Cowley

Provost and VPAA

Neal
Smatresk

Digitally signed by Neal Smatresk
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of North Texas, ou=President,
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Date: 2017.10.30 08:44:26 -05'00'

President

Lesa B. Roe

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ou=Office of the Chancellor,
email=chancellor@untsystem.edu, c=US
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Chancellor



Board Order

Title: Approval of UNT Graduate Differential Tuition for Beginning Fall 2018

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, differential tuition will allow UNT to continue strategic growth, improve instructional quality, enhance the quality and efficient use of instructional facilities, and increase graduation rates, and

Whereas, the Colleges of Business, Engineering and Music have high instructional costs, and

Whereas, the additional funds are needed to enhance graduate programs by hiring and retaining tenure-track faculty, increasing financial aid and scholarships, enhancing career preparation services, and improving instructional facilities, and

Whereas, the university will collectively benefit from our ability to increase investment in graduate programs in business, engineering, and music.

Now, Therefore, The Board of Regents authorizes and approves the following:

1. A \$50.00 per semester credit hour graduate differential tuition rate for the College of Business beginning in the fall 2018 semester; a \$100.00 per semester credit hour graduate differential tuition rate for the College of Business beginning in the fall 2019 semester; and a \$125.00 per semester credit hour graduate differential tuition rate for the College of Business beginning in the fall 2020 semester
 2. A \$60.00 per semester credit hour graduate differential tuition rate for the College of Engineering beginning in the fall 2018 semester.
 3. A \$45.00 per semester credit hour graduate differential tuition rate for the College of Music beginning in the fall 2018 semester; a \$60.00 per semester credit hour graduate differential tuition rate for the College of Music beginning in the fall 2019 semester; and a \$75.00 per semester credit hour graduate differential tuition rate for the College of Music beginning in the fall 2020 semester.
 4. A \$523.00 per semester credit hour graduate differential tuition rate for the Executive Master of Science in Computer Science Program beginning in the fall 2018 semester.
-

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

**Title:** Request for Increase in UNT Recreational Facility Fee

Background:

In the fall of 2000, the Student Government Association conducted an election in which the student body voted to institute a Recreational Facility Fee for constructing, operating, maintaining, improving, and equipping a recreational facility or program at the University of North Texas. In the spring 2001 the Texas State Legislature passed a bill implementing a fee not to exceed \$75 per student for each semester (prorated in the summer and mini-semesters). Per the legislation, the Board of Regents may increase the fee by up to 10% in an academic year without a general election of the student body. The Board of Regents approved a fee increase to \$78 in 2006.

The Pohl Recreation Center opened in September 2003. The fee for the Pohl Recreation Center has not been increased since 2006. Even though enrollment has increased in the last ten years, the overall budget has not increased accordingly due to the losses associated with the Hazelwood Act and other exemptions and waivers.

Additionally, as the facility has aged, there is an increased need for major maintenance and repairs to the facility. Since FY11, maintenance and repairs costs have ranged from \$268,000 to \$470,000 and most of these funds have been taken from Recreation Center reserves. Projections for the next three fiscal years show these costs increasing. Currently, projections for maintenance expenditures for FY18 exceed \$1.1M. The current balance for the reserves is estimated at \$2.3M.

Financial Analysis/History:

The Recreational Facility Fee does not receive funds from the State of Texas but is nevertheless responsible for funding all operating expenses and any increases mandated by the legislature and/or the University. The primary use of the fee increase will be to cover anticipated increases in maintenance, equipment replacement, and operating costs. In addition, the cost of merit raises for staff paid from this fee will have to be funded from operating funds.

In order to assist in funding these expenses, the Student Service Fee Committee approved on April 8, 2016, a request for a \$7.78 increase in the Recreational Facility Fee beginning in the summer semester of 2018.

The fee rate has not changed from \$78 since September 1, 2006. The proposed fee increase of \$7.78 per student per long semester (prorated for the summer and mini-semesters) would result in approximately \$600,000 in additional funds annually.

As a point of reference, in looking at all 24 Texas universities with a recreational facility fee, UNT ranks in the bottom seven. Even with the proposed increase, UNT's ranking would not change.

Bob Brown
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ou=VP for Finance and Administration,
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Date: 2017.10.27 16:25:36 -0500

Institution Chief Financial Officer

**Janet
Waldron**
Vice Chancellor for Finance

Digitally signed by Janet Waldron
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System, ou=Vice Chancellor for
Finance,
email=janet.waldron@untsystem.
edu, c=US
Date: 2017.10.30 16:14:57 -05'00'

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
Vice Chancellor/General Counsel

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Texas System, ou=Office of General Counsel,
email=nancy.footer@untsystem.edu, c=US
Date: 2017.11.02 09:03:09 -05'00'

Schedule:

If approved, the fee increase will be effective in the Summer 2018 semester.

Recommendation:

It is recommended that the Board of Regents approve a \$7.78 increase in the Recreational Facility Fee to be effective in the Summer 2018 semester.

Recommended By:

Elizabeth With
Vice President for Student Affairs

**Neal
Smatresk**
President

Digitally signed by Neal Smatresk
DN: cn=Neal Smatresk, o=University
of North Texas, ou=President,
email=neal.smatresk@unt.edu,
c=US
Date: 2017.10.30 08:45:13 -05'00'

Lesla B. Roe
Chancellor

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ou=Office of the Chancellor,
email=chancellor@untsystem.edu, c=US
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Board Order

Title: Request for Increase in UNT Recreational Facility Fee

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on Nov 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, in 2000 an SGA election of the student body voted to create a Recreational Facility Fee for the construction, operation, maintenance, improvement and equipment of a recreational facility or program, and

Whereas, the Pohl Recreation Center opened in 2003 and serves approximately 15,000 students each semester and corresponding data shows that users have a higher cumulative grade point average than non-users, and

Whereas, the additional funds are needed to cover the associated costs with maintaining, operating, and improving the Pohl Recreation Center and its programs, and

Whereas, the student body and the University benefit from the Pohl Recreation Center

Now, Therefore, The Board of Regents authorizes and approves the following:

1. A \$7.78 increase per student in the UNT Recreational Facility Fee (from \$78 to \$85.78 effective Summer 2018).
-

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Board Order 2017-

Title: Approval of the Minutes of the August 17-18, 2017 Board Meeting and September 8 and 28, 2017 Special Called Board Meetings

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16-17, 2017, pursuant to a motion made by _____ and seconded by _____, the Board approved the motion presented below:

Whereas, the minutes of the August 17-18, 2017 Board Meeting and September 8 and 28, 2017 Special Called Board Meeting have been prepared by the Board Secretary and are attached here for Board approval,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. The minutes of the August 17-18, 2017 Board Meeting and September 8 and 28, 2017 Special Called Board Meeting.
-

VOTE: _____ ayes _____ nays _____ abstentions

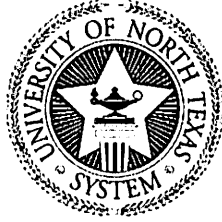
BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



**MINUTES
BOARD OF REGENTS MEETING
August 17-18, 2017**

Thursday, August 17, 2017

The University of North Texas System Board of Regents convened on Thursday, August 17, 2017, in Room 109-111 of the Medical Education and Training (MET) Building, University of North Texas Health Science Center, 1000 Montgomery St., Fort Worth, Texas with the following Regents in attendance: Brint Ryan, Milton Lee, A.K. Mago, Don Potts, Rusty Reid, Gwyn Shea, Al Silva, Glen Whitley, Laura Wright, and Haley Leverett. In accordance with a notice being duly posted with the Secretary of State and there being a quorum present, Chairman Brint Ryan called the meeting to order at 8:37 a.m.

At 8:38 a.m., Chairman Brint Ryan moved the Board into Executive Session to consider matters noted on the Executive Session agenda in accordance with Texas Education Code Section 551.074.

Chairman Ryan reconvened the Board into open session at 9:30 a.m. There was one item to consider from Executive Session.

2017-44 UNTS Announcement of Finalist for Chancellor, University of North Texas System

Pursuant to a motion by Regent Gwyn Shea seconded by Regent Laura Wright the Board approved the above action item unanimously on a 9-0 vote.

Chairman Ryan introduced the sole finalist, Ms. Lesa Roe, Acting Deputy Administrator of NASA, and spoke of how her more than 30 years of experience makes her the ideal candidate for Chancellor. Ms. Roe addressed the Board of Regents and those in attendance before leaving the room.

Chairman Ryan noted that Regents Rule 03.202 calls for the election of officers of the Board of Regents to take place at the August meeting held in odd numbered years. Therefore, Chairman Ryan asked for nominations of individuals to serve as Vice Chair. Regent Laura Wright was nominated and elected Vice Chair by a unanimous vote. Current Vice Chairman Don Potts asked for nominations of individuals to serve as Chair. Regent Ryan was nominated and elected Chair by a unanimous vote.

Chairman Ryan recognized Chancellor Jackson who commented on **our campuses and talented teams and customer service improvements**. The Chancellor also talked about **our students**.

President Williams then gave a brief update on things happening at the UNT Health Science Center, including the **UNT System College of Pharmacy, Collaborative Partnerships, and New Philanthropy.**

Chairman Ryan recessed the Board at 10:05 a.m. for the meetings of the Strategic and Operational Excellence, Academic Affairs and Student Success, Audit, and Finance and Facilities Committees.

Following the Committee meetings, the Board reconvened at 4:26 p.m. and Chairman Ryan moved the Board into Executive Session to consider matters noted on the Executive Session agenda in accordance with Texas Education Code Sections 551.071, .072, and .076.

Chairman Ryan reconvened the Board into open session at 5:55 p.m. There were two action items to consider from Executive Session.

**2017-45 UNTS Authorization to Acquire by Purchase or Eminent Domain
the Property and Improvements Located at 1001 North Texas
Blvd., Denton, Denton County, Texas**

Regent Al Silva made the motion, which was seconded by Regent A.K. Mago. The Board approved the above action item on a 9-0 vote. Brint Ryan voted "yes." Milton Lee voted "yes." A.K. Mago voted "yes." Don Potts voted "yes." Rusty Reid voted "yes." Gwyn Shea voted "yes." Al Silva voted "yes." Glen Whitley voted "yes." Laura Wright voted "yes."

**2017-46 UNTS Authorization to Acquire by Purchase or Eminent Domain
the Property and Improvements Located at 1600 North I-35E,
Denton, Denton County, Texas**

Regent Al Silva made the motion, which was seconded by Regent A.K. Mago. The Board approved the above action item on a 9-0 vote. Brint Ryan voted "yes." Milton Lee voted "yes." A.K. Mago voted "yes." Don Potts voted "yes." Rusty Reid voted "yes." Gwyn Shea voted "yes." Al Silva voted "yes." Glen Whitley voted "yes." Laura Wright voted "yes."

There being no further business, the Board meeting was recessed at 6:00 p.m. on Thursday, August 17, 2017, to be reconvened at 9:00 am on Friday, August 18, 2017, in the same room.

Friday, August 18, 2017

The University of North Texas System Board of Regents convened on Friday, August 18, 2017, in Room 109-111 of the Medical Education and Training (MET) Building, University of North Texas Health Science Center, 1000 Montgomery St., Fort Worth, Texas, with the following Regents in attendance: Brint Ryan, Milton Lee, A.K. Mago, Don Potts, Rusty Reid, Gwyn Shea, Al Silva, Glen Whitley, Laura Wright, and Haley Leverett. In accordance with a notice being duly posted with the Secretary of State and there being a quorum present, Chairman Brint Ryan called the meeting to order at 9:00 a.m.

Chairman Ryan recessed the Board for the meetings of the Academic Affairs and Student Success and Finance and Facilities Committees.

Following the Committee meetings, Chairman Ryan reconvened the full Board at 9:30 a.m.

The Board considered the following items on the Consent Agenda:

- 2017-47 UNTS** **Approval of the Minutes of the May 18-19, 2017 Board Meeting and June 2-3, June 24, June 30, and July 29, 2017 Special Called Board Meetings**

- 2017-48 UNTS** **Approval of Intercomponent Transfers of State Appropriations**

- 2017-49 UNT** **Approval of Tenure for New UNT Faculty Appointees**

- 2017-50 UNTHSC** **Approval of UNTHSC Regents' Professor Recommendations**

- 2017-51 UNTHSC** **Authorization to Enter into Agreement with Tarrant County Medical Examiner's Office for Joint Providership of Continuing Medical Education**

- 2017-52 UNTHSC** **Authorization to Enter into Agreements with Tarrant County Public Health for Continuing Education**

- 2017-53 UNTHSC** **Authorization to Execute an Agreement between UNTHSC and Tarrant County to Provide Physician Services for the Tuberculosis Elimination Program of the Tarrant County Public Health Department**

Regent Glen Whitley recused himself from items, 2017-51, 2017-52, and 2017-53. Therefore, the consent agenda was considered in two motions. Pursuant to a motion by Regent Rusty Reid seconded by Regent Milton Lee, the Board approved action items 2017-47 through 2017-50 on the Consent Agenda. The motion was approved on a 9-0 vote. Pursuant to a motion by Regent Milton Lee seconded by Regent Rusty Reid, the Board approved action items 2017-51 through 2017-53 on the Consent Agenda, on an 8-0 vote with Regent Glen Whitley recused.

The Board then considered the following action items coming out of committees:

Audit Committee Items

- 2017-54 UNTS** **Approval of FY18 UNT System Internal Audit Plan**

- 2017-55 UNTS** **FY18 UNT System Compliance Risk Assessment and Work Plan**

Pursuant to a motion by Regent Glen Whitley seconded by Regent A.K. Mago the Board approved the above Audit Committee agenda item. The motion was approved on a 9-0 vote.

Academic Affairs Committee Items

- 2017-56 UNTD** **Naming of UNT Dallas' First Residence Hall**
- 2017-57 UNTD** **Review of the University of North Texas at Dallas Amended Policy Related to the *Carrying of Concealed Handguns on Campus***

Pursuant to a motion by Regent Al Silva seconded by Regent Milton Lee the Board approved the above Academic Affairs and Student Success Committee action items. The motion was approved on a 9-0 vote.

Finance and Facilities Committee Items

- 2017-58 UNTS** **Approval of the FY18 UNT System Consolidated Budget**
- 2017-59 UNTS** **Approval of the UNTS FY18 Capital Improvement Plan and Amending the FY17 Capital Improvement Plan**
- 2017-60 UNTS** **Resolution Declaring Intention to Reimburse Certain Expenditures with Proceeds from Debt**
- 2017-61 UNTS** **Twenty-Sixth Supplemental Resolution to the Master Resolution Authorizing the Issuance, Sale, and Delivery of Board of Regents of the University of North Texas System Revenue Financing System Bonds, in One or More Series; and Approving and Authorizing Instruments and Procedures Relating Thereto**
- 2017-62 UNTS** **Amendment to Regents Rule 10.300, *Debt Management***
- 2017-63 UNTS** **FY17 Identity Theft Prevention Programs for UNTS, UNT, UNTHSC, and UNTD**
- 2017-64 UNTS** **Authorization of UNT Electric Utility Planning**
- 2017-65 UNT** **Delegation of Authority to Execute an Extension of UNT's Agreement with the Texas Department of Aging and Disability Services on behalf of the Denton State Supported Living Center for Psychology and Behavioral Services**
- 2017-66 UNTHSC** **Delegation of Authority to the Chancellor for Approval of UNTHSC Intergovernmental Transfers (IGT) of Funds for FY 2018 in the Texas Healthcare Transformation and**

Quality Improvement Program (1115 Transformation Waiver)

Pursuant to a motion by Regent Rusty Reid seconded by Regent Laura Wright the Board approved the above Finance and Facilitates Committee action items. The motion was approved on a 9-0 vote.

The following Background Material were shared with the Board through its committees:

- Quarterly Operations Report
- UNTS Administration and Institutional Strategic Planning Documentation
- Quarterly Academic Measures Report
- UNT System Consolidated Quarterly Compliance Report, March 2017 through May 2017

At 9:34 a.m., Chairman Brint Ryan moved the Board into Executive Session to consider matters noted on the Executive Session agenda in accordance with Texas Government Code 551.074.

Chairman Ryan reconvened the Board into open session at 12:04 p.m. There were two items to consider from Executive Session.

2017-67 UNTS Delegation of Authority to Amend the UNTHSC President's Employment Agreement

Pursuant to a motion by Regent Glen Whitley seconded by Regent Rusty Reid, the Board approved the above action item on a 9-0 vote.

2017-68 UNTS Delegation of Authority to Enter into Agreements with UNT System Chancellor Lee Jackson

Pursuant to a motion by Regent Gwyn Shea seconded by Regent Milton Lee the Board approved the above action item. The motion was approved on a 9-0 vote.

There being no further business, the Board meeting was adjourned at 12:08 p.m. on Friday, August 18, 2017.

Submitted By:

Approved By:

Rosemary R. Haggett
Rosemary R. Haggett, Board Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

Date: Aug 22, 2017

Date: _____



**BOARD OF REGENTS
Teleconference Meeting Minutes
September 8, 2017**

University of North Texas System
Room 711
1901 Main Street
Dallas, Texas

The University of North Texas System Board of Regents convened a special called meeting via teleconference on Friday, September 8, 2017 in Room 711, UNT System Building, 1901 Main St. Dallas, Texas, with the following Regents in attendance: Brint Ryan, Milton Lee, Don Potts, Rusty Reid, Al Silva, Glen Whitley, Laura Wright, and Haley Leverett.

In accordance with a notice being duly posted with the Secretary of State and there being a quorum present, Chairman Ryan called the meeting to order at 4:32 p.m.

The Board Secretary called roll and identified all participants in the meeting.

At 4:34 p.m. Chairman Ryan moved the meeting into Executive Session in accordance with Texas Government Code Sections 551.071 and 551.074.

The Board reconvened in Open Session at 4:41 p.m. with one action item coming out of Executive Session.

2017-69 UNTS Appointment of Chancellor of the University of North Texas System

Regent Wright made the motion to approve, and Regent Reid seconded the motion. Chairman Ryan invited discussion, and hearing none, called for the vote. The Board Secretary called the roll and recorded the vote. The motion passed unanimously by a vote of 7-0, according to the roll call vote outlined as follows. Regent Lee voted yes. Regent Potts voted yes. Regent Reid voted yes. Regent Ryan voted yes. Regent Silva voted yes. Regent Whitley voted yes. Regent Wright voted yes.

There was one additional item for action, as noted on the agenda. Vice Chancellor James Maguire presented the item.

2017-70 UNTS Authorization to Amend the UNTS FY18 Capital Improvement Plan for Modified Project Budgets for the Track and Field Stadium at UNT and Interdisciplinary Research Building at UNTHSC

Regent Reid made the motion to approve, and Regent Potts seconded the motion. Chairman Ryan invited discussion, and hearing none, called for the vote. The Board Secretary called the roll and recorded the vote. The motion passed unanimously by a vote of 7-0.

There being no further business, the meeting was adjourned at 4:47 p.m.

Submitted By:

Approved By:

Rosemary R. Haggett
Rosemary R. Haggett,
Board Secretary

Brint Ryan,
Chairman

Date: Oct 4, 2017

Date: _____



**BOARD OF REGENTS
Teleconference Meeting Minutes
September 28, 2017**

University of North Texas System
Room 711
1901 Main Street
Dallas, Texas

The University of North Texas System Board of Regents convened a special called meeting via teleconference on Friday, September 28, 2017 in Room 711, UNT System Building, 1901 Main St. Dallas, Texas, with the following Regents in attendance: Brint Ryan, Milton Lee, Ashok Mago, Don Potts, Rusty Reid, Gwyn Shea, Laura Wright, and Haley Leverett.

In accordance with a notice being duly posted with the Secretary of State and there being a quorum present, Chairman Ryan called the meeting to order at 11:02 a.m.

The acting Board Secretary called roll and identified all participants in the meeting.

At 11:04 a.m. Chairman Ryan moved the meeting into Executive Session in accordance with Texas Government Code Section 551.071.

The Board reconvened in Open Session at 11:19 a.m. with one action item coming out of Executive Session.

2017-70 UNTS Approval of an Amended and Restated Collaboration Agreement and a Vision Statement and Agreement between UNT Health Science Center and Texas Christian University for the Purpose of Furthering Medical Education in Fort Worth

Regent Reid made the motion to approve, and Regent Shea seconded the motion. Chairman Ryan invited discussion, and hearing none, called for the vote. The acting Board Secretary called the roll and recorded the vote. The motion passed unanimously by a vote of 7-0.

There being no further business, the meeting was adjourned at 11:21 a.m.

Submitted By:

Approved By:

Rosemary R. Haggett
Rosemary R. Haggett,
Board Secretary

Brint Ryan,
Chairman

Date: Oct 4, 2017

Date: _____

Board Briefing



Committee: Academic Affairs & Student Success

Date Filed: September 22, 2017

Title: Award of Honorary Degree

Background:

From time to time, the University of North Texas (UNT) awards honorary degrees to recognize outstanding individuals who have made meritorious contributions throughout their distinguished careers. An honorary Bachelor of the Arts degree is recommended for Ms. Carmen Cusack. The degree will be presented at the 2018 UNT Wingspan event.

In accordance with UNT Policy 4.003 Honorary Degree Awards, Ms. Cusack's nomination was received from the College of Music and forwarded to the President's Cabinet who served as the Honorary Degree Committee for this review. The President waived the policy of having a formal degree committee serve for this review and instead used the President's Cabinet for the review process for time efficiency and because the Cabinet had the context of the candidate's prominence and benefit to the Wingspan event. The President's Cabinet recommended the award of an honorary bachelor's degree to Ms. Cusack.

An actress and singer whose career spans the world, Ms. Cusack has been featured in numerous musicals, concerts, recordings, and cabarets. Carmen was a UNT undergraduate student, where she studied in the Performing Arts. While her time at UNT was very positive, she was unable to complete her degree due to financial reasons. After her initial time at UNT, she worked on the Queen Elizabeth II cruise ship, where she was offered a contract with Jean Ann Ryan Productions and then worked in the UK where she attained her breakthrough opportunity – the chance to appear in *Phantom of the Opera* as Christine Daae. Shortly thereafter, another milestone in Carmen's career arrived in the form of her West End debut in London. Starring as Fantine in *Les Miserables*, she earned her first bow in Europe's most prestigious theatre district. A diverse career continued to flourish in Europe, with opportunities ranging from Original West End Casts (*The Secret Garden* and *Personals*) to the futuristic and interactive *Saucy Jack & the Space Vixens*.

In 2006, Ms. Cusack returned to her native country to take on one of musical theatre's most demanding roles – *Wicked's* Elphaba. She initially joined the Chicago company as the stand-by for the role, and a year later, the show's producers asked her to lead the U.S. National Tour as the company's principal Elphaba. As the Wicked Witch of the West, Carmen paired with Katie Rose Clarke as Glinda to become one of the blockbuster's beloved duos.

Following her run as Elphaba, Carmen once again demonstrated her artistic versatility by stepping into the role of Ensign Nellie Forbush to launch the Lincoln Center's North American Tour of *South Pacific*. She then accepted roles with the Chicago Shakespeare Company where she played Dot and Marie in its production of *Sunday in the Park with George* and the Milwaukee Repertory Theater offering the role of Mother in *Ragtime*. She then performed in Steve Martin and Edie Brickell's folk musical, *Bright Star*. Originating the role of Alice Murphy during the show's development in the Powerhouse Theater workshop, she subsequently brought Alice to life in the show's runs at the Old Globe in San Diego and Kennedy Center in Washington D.C. prior to the Broadway opening. She earned critical acclaim and amassed an array of award nominations – including the Tony Awards, Outer Critics Circle Awards, Drama Desk Awards, Drama League

Awards, and Theatre World Awards. Most recently, Carmen appeared in New York City Center's gala concert of *Sunday in the Park with George* and *For the Record – Scorsese: American Crime Requiem* in Los Angeles.

In addition to her theatre credits, Carmen is also an accomplished vocalist, possessing a range that soars impressively through jazz, opera, rock, and pop scores. She made her sold-out U.S. solo concert debut at Feinstein's/54 Below, from which her live "If You Knew My Story" album was recorded. An honorary Bachelor of Arts for Ms. Cusack will recognize this outstanding performing artist who started her career path at UNT.

Financial Analysis/History:

There are no fiscal implications.

Bob Brown
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Institution Chief Financial Officer

Janet Waldron
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Date: 2017.10.30 15:59:04 -05'00'

Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
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Vice Chancellor/General Counsel

Schedule:

The honorary Bachelor of Arts degree will be awarded to Ms. Carmen Cusack during the 2018 UNT Wingspan event.

Recommendation:

The president recommends, with the concurrence of the president's cabinet and the chancellor, that the Board of Regents approve the award of this UNT honorary Bachelor of Arts degree, to Ms. Carmen Cusack.

Recommended By:

Neal J. Smatresk

President

Rosemary R.
Haggett

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Texas System, ou=Vice Chancellor for Academic
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Vice Chancellor

Lesa B. Roe

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Chancellor



Board Order

Title: Award of Honorary Degree

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, from time to time, UNT awards honorary degrees to recognize outstanding individuals who have made meritorious contributions throughout their distinguished careers, and

Whereas, Carmen was a UNT undergraduate student, where she studied in the Performing Arts, and

Whereas, as an actress and singer whose career spans the world, Ms. Cusack has been featured in numerous musicals, concerts, recordings, and cabarets.

Now, Therefore, The Board of Regents authorizes and approves the following:

1. That Ms. Carmen Cusack be awarded a UNT honorary Bachelor of the Arts degree, and
 2. That this degree be conferred to Ms. Cusack during UNT's 2018 Wingspan event.
-

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Title: Approval of Tenure for New UNT Faculty Appointees

Background:

In accordance with UNT Policy 06.004, *Faculty Reappointment, Tenure, and Promotion, I.B.*, Maximum Probationary Period:

"The maximum probationary period for a faculty member appointed as an assistant professor is the equivalent of six (6) years of full-time service. This period shall be specified for each individual at the time of his or her initial appointment, and these provisions do not preclude a recommendation for the granting of tenure at any time prior to the expiration of the maximum probationary period."

And 06.004, V.I., Review of Dossier by the Provost:

"The provost's recommendation is sent to the president. The president's recommendations regarding the candidates for tenure are then sent to the Board of Regents for final approval."

Pia Wood joined UNT International as Vice Provost and Dean for International Affairs with faculty status in the department of Political Science as an associate professor in October 2017. Dr. Wood received a BA from the College of William and Mary, an MA in Latin American Studies from the University of New Mexico, a Master's in International Business from the University of South Carolina, and a PhD in Political Science from the University of Geneva, Switzerland. Dr. Wood served as a tenured associate professor in Political Science and associate provost for the Center of International Education at the University of Tennessee from 2007-2016. From 1999-2007 she served as the director of the Center for International Studies and Chief International Officer at Wake Forest University. Dr. Wood was previously granted tenure at Old Dominion University, Wake Forest University, and the University of Tennessee.

Ana Paula Alonso will join the BioDiscovery Institute with faculty status in the department of Biological Sciences as an associate professor in January 2018. Dr. Alonso completed her Diplôme d'Etudes Approfondies (2000) in Biological and Medical Sciences from the Université Victor Segalen, Bordeaux, France, and a PhD (2003) in Biological and Medical Sciences from the Institut National de la Recherche Agronomique, Villenave D'Ornon, France. Dr. Alonso joined Ohio State University in 2010, and currently serves as associate professor and director of the Targeted Metabolomics Laboratory, achieving promotion to associate professor and tenure in 2010. She also served at Michigan State University from 2004-2010 as a postdoctoral researcher and visiting assistant professor. Dr. Alonso has achieved a record of productive publishing in high impact journals, with 23 published papers, and will bring over \$1.1 million of currently funded federal projects. Dr. Alonso's service record includes serving as a peer reviewer and editor with over 6 years of teaching and committee service.

Financial Analysis/History:

In general, the award of tenure carries with it the assurance of continued employment absent the showing of good cause for termination.

Bob Brown
Institution Chief Financial Officer

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Date: 2017.10.27 16:15:16 -05'00'

Janet Waldron
Vice Chancellor for Finance

Digitally signed by Janet Waldron
DN: cn=Janet Waldron, o=UNT System, ou=Vice Chancellor for Finance, email=janet.waldron@untsystem.edu, c=US
Date: 2017.10.30 15:53:09 -05'00'

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
Vice Chancellor/General Counsel

Digitally signed by Nancy S. Footer
DN: cn=Nancy S. Footer, o=University of North Texas System, ou=Office of General Counsel, email=nancy.footer@untsystem.edu, c=US
Date: 2017.11.02 09:10:52 -05'00'

Schedule:

Tenure will be effective upon Board approval or on the first day of employment if after the date of Board approval for the following individuals:

- Pia Wood
- Ana Paula Alonso

Recommendation:

The President recommends that the Board of Regents authorize and approve the award of tenure for Pia Wood and Ana Paula Alonso.

Recommended By:

Jennifer Cowley
Provost and VPAA

Neal Smatresk

Digitally signed by Neal Smatresk
DN: cn=Neal Smatresk, o=University of North Texas, ou=President, email=neal.smatresk@unt.edu, c=US
Date: 2017.10.30 08:31:48 -05'00'

President

Rosemary R. Haggett

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DN: cn=Rosemary R. Haggett, o=University of North Texas System, ou=Vice Chancellor for Academic Affairs and Student Success, email=rosemary.haggett@untsystem.edu, c=US
Date: 2017.11.02 09:02:09 -05'00'

Vice Chancellor

Lesla B. Roe

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Date: 2017.11.02 15:25:44 -05'00'

Chancellor



Board Order

Title: Approval of Tenure for New UNT Faculty Appointees

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, in accordance with UNT Policy 06.004, *Faculty Reappointment, Tenure, and Promotion*:

"The maximum probationary period is defined as the maximum amount of time a faculty member may be appointed in probationary ranks in the university," and

Whereas, this period shall be specified for each individual at the time of his or her initial appointment," and

Whereas, "these provisions do not preclude a recommendation for the granting of tenure at any time prior to the expiration of the maximum probationary period," and

Whereas, Pia Wood and Ana Paula Alonso were awarded tenure at their previous institutions, and

Whereas, Pia Wood and Ana Paula Alonso have the credentials and experience to be awarded tenure as confirmed by their department, college, and provost, and

Now, Therefore, The Board of Regents authorizes and approves the following:

1. The conferring of tenure will be effective upon Board approval or on the first day of employment if after the date of Board approval for the following individuals: Pia Wood and Ana Paula Alonso.

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Title: Approval of UNT Emeritus Recommendations

Background:

In accordance with UNT Policy 06.013, *Conferring Emeritus Status*,

The title "Emeritus" may be conferred by the Board of Regents to a faculty member or librarian at the time of retirement, upon the recommendation of the department/unit, and with administrative approval.

A university administrator, to be eligible for emeritus status, must hold a faculty or librarian appointment, have served in a position at the dean level or higher, and have a record of distinguished service. The emeritus title for an administrator will be commensurate with the position held at the time of retirement (e.g., dean emeritus, president emeritus, etc.). For faculty or librarians recruited directly to administrative positions, the ten year employment requirement is waived.

Emeritus status is recommended for the following individuals:

Jerry Austin, Associate Professor, College of Visual Arts and Design (CVAD)

Mr. Jerry Austin joined UNT in 1982 as an assistant professor. He was tenured and promoted to associate professor in 1988. Mr. Austin has participated in over 150 shows of his work- in solo, invited, and group exhibitions in private galleries, centers, and museums. He has provided excellent and continuous service to CVAD in multiple key leadership roles. During his tenure, Mr. Austin helped build the reputation of the CVAD Ceramics program at the national level. Today the program is widely recognized, especially for its alternative wood firing processes.

Weston T. Borden, University Distinguished Research Professor, College of Science

Dr. Weston T. Borden joined UNT in 2004 as the first Robert A. Welch Professor of Chemistry. He held this position for 14 years. Starting in 2010, Dr. Borden held the title of Distinguished University Research Professor. Since joining the faculty at UNT, Dr. Borden has published over 80 papers in first-rate journals. He also won three major research awards from the American Chemical Society (ACS) and he served as an associate editor for the Journal of the American Chemical Society, ACS's flagship journal. During his time at UNT, Dr. Borden's research was supported by several grants from the National Science Foundation and the Robert A. Welch Foundation. Based on the student ratings for the graduate courses, Dr. Borden proved to be one of the Chemistry Department's most outstanding teachers.

Neal Brand, University Distinguished Teaching Professor, College of Science

Dr. Neal Brand joined UNT in 1983 as an assistant professor of Mathematics. He was tenured in 1998 and promoted to full professor in 1997. Dr. Brand was the Mathematics department chair

from 1999 to 2007. He has held grants from the National Science Foundation and the Texas Higher Education Coordinating Board (THECB), and served as the Texas section of the Mathematical Association of America's governor from 2010-13, chair from 2007-08, and executive committee member from 2001-09. In 2014, Dr. Brand received the association's Distinguished Service Award and in 2017 its Distinguished Teaching Award. Dr. Brand has been awarded several UNT teaching awards, including being named University Distinguished Teaching Professor, an honor he held from 2013 until his retirement in 2017. He served on numerous departmental, college, and university committees during his tenure, including the Graduate Council and the College of Arts and Sciences Faculty Council. Dr. Brand published 26 research articles and 4 works in mathematical education, including two textbooks. He also directed 6 PhD and 5 MS students.

Sue Bratton, Professor, College of Education

Dr. Sue Bratton joined UNT in 1994 as an assistant professor in the College of Education. She was tenured in 2001 and promoted to full professor in 2010. Over the past 24 years, she has taught graduate courses with student evaluation scores consistently above the department mean - in a department with mean scores consistently above the college mean and in a college with mean scores nearly always above the university mean. As an author or co-author, Dr. Bratton has published more than 19 books, 29 book chapters, and 31 national and 5 state journal articles. She procured 12 national, 24 state, and 17 local external grants totaling over a half million dollars. As lead- or co-presenter, she presented 114 national, 5 regional, and 27 state refereed conference presentations and 57 national/international, 44 regional, and 27 state-invited professional presentations. Most of her publications, presentations, and grants involved her mentorship of graduate - mostly doctoral - students. She served as chair for over 30 completed Counseling PhD students and served on the dissertation committees of dozens more. For 10 years she directed the Counseling program's Child and Family Resource Clinic, and since 2004 she has directed the program's world-renowned Center for Play Therapy, overseeing several conferences each year, regularly publishing academic resources for researchers, and hosting international scholars. She has been honored with 17 national, 1 state, and 12 local professional awards.

Lyndal M. Bullock, Regents Professor, College of Education

Dr. Lyndal M. Bullock joined UNT in 1978 as an untenured full professor in the College of Education. He was tenured in 1981, remaining at the rank of full professor. In 1991, he was given the status of Regents Professor and he maintained this status until his retirement. During his tenure at UNT, he engaged in numerous scholarly activities (e.g., significant number of refereed publications, leadership roles at the national and international levels through various professional association, and regular invitations to present at national and international conferences) and generated in excess of \$16 million of external funding to facilitate research, program development, and support of graduate scholars. In addition to coordinating the specialization area of emotional/behavioral disorders in the Department of Educational Psychology and serving as principal investigator for numerous external grants, Dr. Bullock consistently taught a full teaching load, initiated recruitment plans for potential scholars, and served as faculty advisor and mentor to a large number of graduate scholars. Dr. Bullock served as the major advisor and dissertation chairperson for 78 doctoral scholars during his tenure at UNT. In addition, he established a scholarship in the Department of Educational Psychology to help support the dissertation research of doctoral scholars majoring in special education.

Harlan Butt, Regents Professor, CVAD

Mr. Harlan Butt joined UNT in 1976 as an assistant professor in the CVAD. He was tenured in 1982 and promoted to full professor in 1988. Mr. Butt provided important leadership and focus

for the Metalsmithing and Jewelry program. During his tenure at UNT he raised the profile and reputation of the Metalsmithing and Jewelry program, and CVAD regionally, nationally, and internationally. Mr. Butt participated in over 150 shows of his work in solo, invited, and group exhibition formats, in private galleries, centers, and museums around the world. His artwork has been acquired by numerous private and public collections over the span of his 40-year career at UNT. Mr. Butt served his discipline by providing leadership in many capacities, including as president of the Society of North American Goldsmiths, and president of the Enamellist Society. He was also named a Fellow of the American Crafts Council.

Susan Cheal, Associate Professor, CVAD

Ms. Susan Cheal joined UNT in 2000 as an assistant professor in CVAD. She was tenured and promoted to associate professor in 2005. Ms. Cheal has taught all levels of drawing and painting at both the undergraduate and graduate levels at UNT. During CVAD tenure, she was particularly invested in the Hybrid Forms class which she took on, developed, and nurtured. Her Hybrid Forms class brought together students from disparate studio areas to explore new methodologies and materials for art-making in a truly cross-disciplinary way. Her contributions to CVAD's curriculum ultimately preserved the context out of which UNT's new media program would eventually emerge and helped pave the way towards our current culture of creative collaboration. Ms. Cheal has had many solo exhibitions at state, national, and international levels in high-profile spaces, well-respected galleries, museums, and colleges and universities. Her artwork has been included in prestigious group exhibitions. She received numerous UNT-funded grants. In 2007, Ms. Cheal's artwork was included in the highly competitive publication *New American Painting*. She has been a visiting artist/guest lecturer at many important American art centers and universities.

Teresa Conover, Paden Neeley Professor for Excellence in Teaching, College of Business

Dr. Teresa Conover joined UNT in 1989 as an assistant professor in the College of Business. She was tenured in 1995 and was promoted to full professor in 2001. She was named the inaugural Paden Neeley Professor for Excellence in Teaching in 2009. During her tenure, Dr. Conover served as a director and president of Beta Alpha Psi, the Accounting honors organization. She served as UNT's representative to THECB's Tuning Texas curriculum task force and chaired THECB's Tuning Business Council for the State of Texas. She has authored over 25 peer-reviewed publications in top-quality professional and academic journals. She has mentored over 50 graduate students in Accounting and other business disciplines to complete their advanced degrees. She has served roles in research and teaching grants with awards totaling over \$500,000. Externally, she has represented UNT as a visiting scholar at the London School of Economics and as a faculty in residence at Ernst & Young, London. Dr. Conover's teaching abilities have been recognized by students, colleagues, and university administrators with numerous teaching awards.

William Cutright, Associate Professor, College of Education

Dr. William Cutright joined UNT in 2007 as an associate professor in the College of Education. Dr. Cutright focused his scholarship on the advancement of higher education in Africa, and in 2016 he was named a Fulbright Scholar to conduct this work in Uganda, supported by a UNT Charn Uswachoke grant. Dr. Cutright's direction of the annual Texas Higher Education Law Conference, held at UNT each spring, is a substantial contribution to UNT and the higher education profession. First under his co-directorship, then under his sole directorship, the

conference draws an average of 250 higher education administrators each year from across Texas who seek to learn the most current information regarding legal aspects of their jobs. The conference has regularly generated over \$30,000 after expenses each year. Of this amount, Dr. Cutright has allocated at least \$20,000 to support Higher Education student scholarships. In addition, Dr. Cutright's student evaluation scores were excellent. In a program that has one of the highest doctoral production rates at UNT, Dr. Cutright is tied with another faculty member for chairing the most dissertations to completion - at least 19 since 2010 when the department began keeping our own records. He has also served on numerous additional dissertation committees of completed doctorates.

Paul Dworak, University Distinguished Teaching Professor, College of Music

Dr. Paul Dworak joined UNT in 1979 as an assistant professor in the College of Music. He was tenured in 1985 and promoted to professor in 1995. As a professor of required Music Theory courses, Dr. Dworak interacted with nearly every student in the College of Music. Throughout his career, his teaching has been exemplary, and he was consistently forward-thinking in the area of curricular development. As a result of Dr. Dworak's accomplishments, he was recognized in 2014 with one of the university's highest honors, the University Distinguished Teaching Professorship. In addition to his teaching, Dr. Dworak focused his research in the area of technology and its impact on ear training for musicians. He published his work and gave countless presentations at symposia throughout the country. Dr. Dworak also served as the associate dean for operations in the College of Music for 6 years and also as UNT's director of compliance for 8 years.

Thomas Evenson, Dean, College of Health and Public Service

Dr. Thomas Evenson joined UNT in 1980 as an assistant professor. He was tenured in 1987 and promoted to associate professor. During his time as a faculty member and later as an administrator, Dr. Evenson was a tireless advocate for students in his college and is responsible, in large part, for the great success of the college's advising office. He has given both his time and resources to UNT, working countless hours and establishing a scholarship to support students in the college. Dr. Evenson was instrumental in the development of one of the first live-and-learn communities at UNT: UNT Serves. This successful residential community has resulted in thousands of hours of community service by its residents. He has served as department chair, associate dean, special assistant to the president, and dean. Under his guidance and vision, the college was transformed from the College of Public Affairs and Community Service to the College of Health and Public Service. Dr. Evenson has been a tireless public servant to his department, the college, the university, and the community as a whole for almost 40 years.

Vincent Falsetta, Professor, CVAD

Mr. Vincent Falsetta joined UNT in 1978 as an assistant professor. He was tenured in 1984 and promoted to full professor in 1992. Mr. Falsetta's paintings are in the collections of over 180 private, public, corporate, and museum collections; including the corporate and university collections of the Exxon Corporation, Miami University, and Temple University. Mr. Falsetta's works are also part of the holdings of a number of museums, including the Utah Museum of Fine Arts and the Museum of Fine Arts in Houston. His paintings have been included in over 110 solo, invited, and/or group exhibitions in galleries and museums during his career at UNT. In 2007, Mr. Falsetta was a finalist in the prestigious Hunting Art Prize. His work has been chronicled in 13 catalogues and essays, and 2 books. Mr. Falsetta taught across all levels of the drawing and painting curriculum and held enormously high expectations for his students. During his almost 40-year tenure at UNT, he served numerous times as the coordinator for the drawing and painting program in the Department of Studio Art. Mr. Falsetta's reputation as a painter and educator have

raised the stature of the CVAD over his distinguished career.

Phillip Foster, University Distinguished Teaching Professor, College of Engineering

Dr. Phillip Foster joined UNT in 1982 as an assistant professor in the Department of Industrial Technology. He was tenured and promoted to associate professor in 1988. Dr. Foster was instrumental in launching Engineering Technology in 1992, which in 2003 became one of the three founding departments of the College of Engineering. He served as program coordinator for securing and maintaining ABET accreditation for the BS degrees in Manufacturing Engineering Technology and Mechanical Engineering Technology for 20 years. He is the single inventor and author of a U.S. Patent for a *liquid cooled stirling engine with a segmented rotary displacer*. This engine has sparked new research in green energy and waste heat recovery. In 2015, Dr. Foster was recognized for his record of teaching excellence with the honorable distinction of University Distinguished Teaching Professor. Dr. Foster has impacted thousands of students, many of whom are alumni in the DFW area.

Harland Hagler, Associate Professor, College of Liberal Arts and Social Sciences (CLASS)

Dr. Harland Hagler joined UNT in 1966 as an assistant professor in the Department of History. He was tenured and promoted to associate professor in 1970. During his 51-year career, Dr. Hagler earned a reputation for excellence in teaching, both as a popular instructor of undergraduate courses and as mentor/committee member to graduate students. With enthusiasm and kindness, he imparted not just knowledge, but a love of history to his students, leading to many student testimonials and accolades. Dr. Hagler's former student Bruce Marquis expressed his gratitude by establishing and funding the Hagler-Marquis History Scholarship. Dr. Hagler's teaching has been recognized with numerous teaching awards. In addition to his teaching, he performed significant service to the department, college, and university; including service as assistant director of the Classic Learning Core program (1987-1990) and as associate chair of the Department of History (1990-1995, 2002-2005, 2006-2016).

Frederick Hamilton, Professor, College of Music

Mr. Fred Hamilton joined UNT as an assistant professor in 1989 in the College of Music. He was tenured in 1995 and promoted to full professor in 2001. As the jazz guitar specialist in the highly acclaimed Division of Jazz Studies, Mr. Hamilton recruited exceptionally talented students from all over the world. His teaching has been consistently at the highest level, and in 1992 he was recognized with the 'Fessor Graham Award,' which is awarded by the student body to professors who are judged on teaching excellence, unselfish service, and scholarly work. Mr. Hamilton is nationally known for his pedagogical DVDs and his own jazz guitar playing can be heard on innumerable recordings. His career as a teacher and musician has had an enormous impact on the education of UNT's students and the international reputation of UNT's Jazz Studies program.

Paula Homer, Professor, College of Music

Ms. Paula Homer joined UNT in 1992 as an associate professor in the College of Music. She was tenured in 1995 and promoted to professor in 2001. Ms. Homer is known nationally as a creative, innovative opera director whose productions have been recognized with national awards. During her career, she was invited to stage operas for companies throughout the U.S. including the Orlando Opera, Des Moines Metro Opera, and Amarillo Opera. While at UNT, Ms. Homer directed over 100 productions that have entertained audiences throughout the Metroplex. Her productions of Argento's Postcard from Morocco and Britten's Albert Herring received first place

awards from the National Opera Association. In 2012, Dr. Homer and select opera students were invited to perform at the Annual Gala Concert of the Center for Contemporary Opera in New York City at the National Arts Club. This was an honor that reflects the high quality of Ms. Homer's work and the accomplishments of the student singers in the College of Music. In 2013, the College of Music named Ms. Homer the first recipient of the Margot and Bill Winspear Endowed Chair for Opera Studies, a position she held until her retirement.

James L. Marshall, Professor, College of Sciences

Dr. James Marshall joined UNT as an assistant professor in the Department of Chemistry in 1967. He was tenured in 1970 and promoted to professor in 1975. Dr. Marshall's dedication to teaching is evident from his numerous teaching awards and his development of innovative introductory chemistry laboratory programs in the 1970s and 1990s. After a brief stint in industry in the 1980s, Dr. Marshall returned to UNT in 1987 to offer new experience in industry/academic liaisons, and he briefly served as chair of two departments - the Materials Characterization Group (the predecessor of the now Department of Materials Science and Engineering) and the Chemistry Department. Since the 1990s he has been involved in the National Speaker's program of the American Chemical Society (ACS) and has delivered almost 100 lectures in this program. As a member of the ACS he has: been awarded Outstanding Award of the History Division (2004), served as Managing Editor of *The Southwest Retort* (the ACS publication of the Southwest Region of the U.S.), and served on the advisory board of the ACS History Division. Dr. Marshall's research has spanned several disciplines, including Organic Chemistry, Materials Characterization, and Chemistry. He gained numerous grants supporting this research during the past 50 years. In 2011, Dr. Marshall was elected a Fellow of the ACS.

Alan McClung, Associate Professor, College of Music

Dr. Alan McClung joined UNT as an assistant professor in 2002 in the College of Music. He was tenured and promoted to associate professor in 2008. During his tenure at UNT, Dr. McClung built a vibrant Choral Music Education program that was admired by educators throughout the state and beyond. His research focused on the area of middle school choral music and was published in some of the discipline's most visible journals and presented at many national and state conferences. In addition to his research, Dr. McClung was a very active choral conductor, serving as an adjudicator and guest conductor at festivals and all-state events throughout the country. Of particular mention is the summer all-state program that he launched in 2006. Because of his efforts, hundreds of high school students come to UNT's campus each summer. This has served as an important recruitment strategy both for the College of Music and UNT.

Edward B. Soph, Professor, College of Music

Mr. Edward Soph joined UNT in 1988 as an assistant professor in the College of Music. He was tenured in 1996 and promoted to full professor in 2007. Throughout his 30-year career at UNT, Mr. Soph mentored countless numbers of jazz drummers, many of whom are considered to be elite jazz drummers in the field. Mr. Soph's national profile as a percussionist was established not only through his recordings, but also through his reputation as a highly acclaimed pedagogue. As a result of his significant contributions to the field, Mr. Soph was honored by the Jazz Education Network and inducted into the Hall of Fame of the Percussive Arts Society.

Sandra Spencer, Principal Lecturer, CLASS

Dr. Sandra Spencer joined UNT in 1996 as a lecturer. She became director of the Women's Studies program in 2002 and was promoted to principal lecturer in 2009. Under her leadership, the Women's Studies program became a major interdisciplinary player in the academic life of UNT students. Dr. Spencer led students on a number of study abroad experiences, including the first

such experience for Women's Studies students at UNT in 2004. At that time, it was one of only 9 programs nationally providing this opportunity. The extraordinary results in international and global education through her leadership of numerous study abroad programs were recognized in 2015 when UNT awarded her the Citation for Distinguished International Service. Her efforts earned her the recognition of finalist for the Mentor of the Year recognition program, sponsored by the Women of Visionary Influence (WOVI). At a national level, she has been an active member of the National Women's Studies Association, the Denton Branch of the American Association of University Women, and Peacemakers Inc. Furthermore, she has also served on the UNT Castleberry Peace Institute and Contemporary Arab and Muslim Cultural Studies Initiative boards. She is an active professional writer, keynote speaker, and participant in numerous local, regional, and national events and professional conferences.

Financial Analysis/History:

There are no financial implications.

Bob Brown
Digitally signed by Bob Brown
DN: cn=Bob Brown, o=University of North Texas, ou=VP for Finance and Administration, email=bob.brown@unt.edu, c=US
Date: 2017.10.27 16:16:12 -05'00'

Institution Chief Financial Officer

Janet Waldron
Digitally signed by Janet Waldron
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Date: 2017.10.30 15:55:00 -05'00'

Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
Digitally signed by Nancy S. Footer
DN: cn=Nancy S. Footer, o=University of North Texas System, ou=Office of General Counsel, email=nancy.footer@untssystem.edu, c=US
Date: 2017.11.02 09:11:35 -05'00'

Vice Chancellor/General Counsel

Schedule:

Effective upon approval by the Board of Regents.

Recommendation:

The President recommends that the Board of Regents grant Emeritus status to these distinguished retired individuals.

Recommended By:

Jennifer Cowley

Provost and VPAA

Neal Smatresk
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DN: cn=Neal Smatresk, o=University of North Texas, ou=President, email=neal.smatresk@unt.edu, c=US
Date: 2017.10.30 08:34:27 -05'00'

President

Rosemary R.
Haggett

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DN: cn=Rosemary R. Haggett, o=University of
North Texas System, ou=Vice Chancellor for
Academic Affairs and Student Success,
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Date: 2017.11.02 09:03:46 -05'00'

Vice Chancellor

Lesa B. Roe

Digitally signed by Lesa B. Roe
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Chancellor, email=chancellor@untsystem.edu, c=US
Date: 2017.11.02 15:28:06 -05'00'

Chancellor



Board Order

Title: Approval Of UNT Emeritus Recommendations

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, UNT Policy 06.013, *Conferring of Emeritus Status*, states that the title "Emeritus" may be conferred by the Board of Regents to a faculty member or librarian at the time of retirement, upon the recommendation of the department/unit, and with administrative approval, and

Whereas, a university administrator, to be eligible for emeritus status, must hold a faculty or librarian appointment, have served in a position at the dean level or higher, and have a record of distinguished service. The emeritus title for an administrator will be commensurate with the position held at the time of retirement (e.g., dean emeritus, president emeritus, etc.). For faculty or librarians recruited directly to administrative positions, the ten year employment requirement is waived, and

Now, Therefore, The Board of Regents authorizes and approves the following:

- Jerry Austin, Associate Professor Emeritus
- Weston T. Borden, Distinguished Research Professor Emeritus
- Neal Brand, Distinguished Teaching Professor Emeritus
- Sue Bratton, Professor Emeritus
- Lyndal M. Bullock, Regents Professor Emeritus
- Harlan Butt, Regents Professor Emeritus
- Susan Cheal, Associate Professor Emeritus
- Teresa Conover, Professor Emeritus
- William Cutright, Associate Professor Emeritus
- Paul Dworak, Distinguished Teaching Professor Emeritus
- Thomas Evenson, Dean Emeritus
- Vincent Falsetta, Professor Emeritus
- Phillip Foster, Distinguished Teaching Professor Emeritus
- Harland Hagler, Associate Professor Emeritus
- Frederick Hamilton, Professor Emeritus
- Paula Homer, Professor Emeritus
- James L. Marshall, Professor Emeritus
- Alan McClung, Associate Professor Emeritus
- Edward B. Soph, Professor Emeritus
- Sandra Spencer, Lecturer Emeritus

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Title: Approval of Grant Agreement to Tarrant County

Background:

UNTHSC received a grant from the National Library of Medicine (NLM) for approximately \$6.2 million in 2016 to host the Regional Medical Library of the South Central Region of the National Network of Libraries of Medicine (NNLM SCR). The Principal Investigator (PI) on this project is Mr. Daniel Burgard. A portion of this award is reserved for competitive awards. This year, Tarrant County Public Health applied and was awarded a Health Literacy award through NNLM SCR's competitive award process. The agreement presented for Board review is to fund Tarrant County Public Health's Health Literacy project for the project period May 23, 2017 to April 30, 2018 under the NLM grant.

Financial Analysis/History:

This agreement will provide an amount not to exceed \$39,997.73 to Tarrant County Public Health for the Let's Talk Health project. TCPH is proposing to contribute to the capacity building for health literacy in the limited English proficiency populations in Tarrant County. The project will integrate existing community-based English as a Second Language (ESL) classes, Maternal & Child health classes, large community health fairs for the Tarrant County Hispanic community, and Tarrant County College health professions. The prime award was issued to UNTHSC by the NLM in 2016, and is re-issued annually. This work is the result of a proposal submitted to NNLM SCR by Tarrant County Public Health for the project period of May 23, 2017 to April 30, 2018 as a professional services agreement under the NLM grant.

Gregory R. Anderson
Institution Chief Financial Officer

Digitally signed by Gregory R. Anderson
DN: cn=Gregory R. Anderson, o=University of North Texas Health Science Center, ou=Executive Vice President/CFO, email=gregory.anderson@unthsc.edu, c=US
Date: 2017.10.30 13:16:06 -05'00'

Janet Waldron
Vice Chancellor for Finance

Digitally signed by Janet Waldron
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Date: 2017.10.30 15:56:21 -05'00'

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
Vice Chancellor/General Counsel

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Date: 2017.11.02 09:12:15 -05'00'

Schedule:

Project period is May 23, 2017 to April 30, 2018. The agreement is not expected to be renewed.

Recommendation:

It is recommended that the Board of Regents approve the execution of a grant agreement to Tarrant County under NLM grant UG4LM012345.

Recommended By:

Claire Peel

Interim Provost

Michael R. Williams

Digitally signed by Michael R. Williams
DN: cn=Michael R. Williams, o=UNTHSC, ou=President, email=michael.williams@unthsc.edu, c=US
Date: 2017.10.30 13:40:42 -05'00'

President

Lesa B. Roe

Digitally signed by Lesa B. Roe
DN: cn=Lesa B. Roe, o=UNT System, ou=Office of the Chancellor, email=chancellor@untsystem.edu, c=US
Date: 2017.11.02 15:29:36 -05'00'

Chancellor



Board Order

Title: Approval of Grant Agreement to Tarrant County

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, UNTHSC intends to grant funds under its National Library of Medicine (NLM) grant to Tarrant County Public Health for their project entitled "Let's Talk Health" which aims to contribute to the capacity building for health literacy in the limited English proficiency populations in Tarrant County. The project will integrate existing community-based English as a Second Language (ESL) classes, Maternal & Child health classes, large community health fairs for the Tarrant County Hispanic community, and Tarrant County College health professions; as one of the competitive funded projects of the NLM grant UG4LM012345, and

Whereas, the parties wish to execute an agreement for the period of May 23, 2017 through April 30, 2018 in accordance with the NLM grant period,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Delegation of authority to the Chancellor to negotiate, approve, and sign the grant agreement with Tarrant County Public Health for the term of May 23, 2017 through April 30, 2018.

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Title: Authorization to Enter into Agreement with Tarrant County Medical Examiner's Office for Joint Providership of Continuing Medical Education

Background:

UNTHSC Professional and Continuing Education (PACE) seeks to enter into an agreement to provide management and accreditation of three regularly scheduled series (RSS) medical education events hosted by Tarrant County Medical Examiner's Office. PACE's responsibilities will be to evaluate the proposed needs assessment and gap analysis, educational plan, learning objectives, implementation plan, budget, faculty/presenters, evaluation. PACE will provide continuing education credit for the meeting(s).

Financial Analysis/History:

The proposed agreement is for \$3,000.00 and includes three programs to be paid by Tarrant County Medical Examiner's Office Fort Worth to UNTHSC for PACE services.

Gregory R.
Anderson

Digitally signed by Gregory R. Anderson
DN: cn=Gregory R. Anderson, o=University of North Texas Health Science Center, ou=Executive Vice President/CFO,
email=gregory.anderson@unthsc.edu, c=US
Date: 2017.10.30 13:17:50 -0500'

Institution Chief Financial Officer

Janet Waldron

Digitally signed by Janet Waldron
DN: cn=Janet Waldron, o=UNT System, ou=Vice Chancellor for Finance,
email=janet.waldron@untssystem.edu, c=US
Date: 2017.10.30 15:57:22 -0500'

Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer

Digitally signed by Nancy S. Footer
DN: cn=Nancy S. Footer, o=University of North Texas System, ou=Office of General Counsel,
email=nancy.footer@untssystem.edu, c=US
Date: 2017.11.02 09:12:59 -0500'

Vice Chancellor/General Counsel

Schedule:

The program will be offered between January 1, 2018 and December 31, 2018. \$3,000.00 payment is due upon execution of the agreement.

Recommendation:

It is recommended that the Board of Regents authorize and approve UNTHSC to enter into an agreement with Tarrant County Medical Examiner's Office Fort Worth.

Recommended By:

Claire Peel

Interim Provost

Michael R.
Williams

Digitally signed by Michael R.
Williams
DN: cn=Michael R. Williams,
o=UNTHSC, ou=President,
email=michael.williams@unthsc.
edu, c=US
Date: 2017.10.30 10:37:46 -0500

President

Lesa B. Roe

Digitally signed by Lesa B. Roe
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Chancellor, email=chancellor@untsystem.edu, c=US
Date: 2017.11.02 15:30:26 -0500

Chancellor



Board Order

Title: Authorization to Enter into Agreement with Tarrant County Medical Examiner's Office for Joint Providership of Continuing Medical Education

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, Tarrant County Medical Examiner's Office Fort Worth determined that providing continuing medical education (CME) in-house to their physicians is an important employment benefit, and

Whereas, UNTHSC Professional and Continuing Education (PACE) is a nationally recognized CME provider and proposes to provide education credit to the Medical Examiner's programs,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Authorization for UNTHSC to enter into an agreement with Tarrant County Medical Examiner's Office for joint providership of continuing medical education.
-

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Title: Appointment of Lee F. Jackson as Chancellor Emeritus and Naming of 1901 Main Street, Dallas, Texas

Background:

In accordance with Regents Rule 06.303, the Board of Regents may confer the designation of emeritus upon individuals after their retirement from full time employment with the UNT System. Emeritus titles for an administrator are normally commensurate with the title held at the time of retirement.

Lee F. Jackson was hired as Chancellor of the UNT System in 2002, as the second Chancellor of the UNT System and stepped down as Chancellor on October 8, 2017. He will retire from the UNT System at the end of 2017. The longest-serving system chancellor in the State of Texas, he guided the UNT System and its three institutions through a period of unprecedented growth. Prior to his arrival, the UNT System was made up of two universities, University of North Texas and University of North Texas Health Science Center, with total system enrollment at the time of less than 28,000, while awarding just under 5,000 degrees annually. During Jackson's 15 years of service, the UNT System reached a new level of visibility and activity, growing markedly by adding a new university, UNT Dallas, as well as many new degree programs and professional programs in engineering, law, pharmacy, and medicine. The number of degrees awarded nearly doubled and the graduation rates increased significantly during his 15 years as Chancellor.

In 2006, Lee Jackson led the effort to purchase the building known as the Universities Center at Dallas (UCD) located at 1901 Main Street, Dallas. The acquisition complimented the UNT System campuses in Denton, Dallas, and Fort Worth by offering each of the component institutions the opportunity to provide much needed educational services at a location convenient to the business community, arts district, and the thousands of individuals who live and work in downtown Dallas. 1901 Main Street now houses the UNT Dallas College of Law, the UCD, and a portion of the UNT System Administration. This acquisition has also had a positive impact on the city, sparking the redevelopment of the east end of downtown Dallas, and has led to the lively renewal that exists today.

These accomplishments merit the appointment of Lee F. Jackson as Chancellor Emeritus and the naming of 1901 Main Street, Dallas, Texas, as the "Lee F. Jackson Building."

Financial Analysis/History:

There are no financial implications.

**Janet
Waldron**

Digitally signed by Janet Waldron
DN: cn=Janet Waldron, o=UNT System,
ou=Vice Chancellor for Finance,
email=janet.waldron@untsystem.edu,
c=US
Date: 2017.11.07 14:27:44 -06'00'
Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
Digitally signed by Nancy S. Footer
DN: cn=Nancy S. Footer, o=University of North
Texas System, ou=Office of General Counsel,
email=nancy.footer@untsystem.edu, c=US
Date: 2017.11.08 15:19:30 -06'00'

Vice Chancellor/General Counsel

Schedule:

Effective upon retirement from fulltime employment with the UNT System.

Recommendation:

Chancellor Roe recommends that the Board of Regents grant Emeritus status to Lee Jackson, naming him Chancellor Emeritus upon his retirement and that 1901 Main Street be named the "Lee F. Jackson Building."

Recommended By:

Lesa B. Roe
Digitally signed by Lesa B. Roe
DN: cn=Lesa B. Roe, o=UNT System,
ou=Office of the Chancellor,
email=chancellor@untsystem.edu,
c=US
Date: 2017.11.08 07:20:52 -06'00'

Chancellor



Board Order

Title: Appointment of Lee F. Jackson as Chancellor Emeritus and Naming of 1901 Main Street, Dallas, Texas

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on November 16-17, 2017, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, Lee F. Jackson stepped down as Chancellor of the UNT System on October 8, 2017 after serving for 15 years and will retire from the UNT System by the end of 2017, and

Whereas, his tenure as Chancellor was marked by unprecedented growth and a new level of visibility and activity, and

Whereas, per Regents Rule 06.303, the Board of Regents may confer the designation of emeritus upon individuals after their retirement from full time employment with the UNT System, and

Whereas, Chancellor Roe and the Board of Regents wish to recognize the valuable contributions made by Lee Jackson and commemorate his service upon his retirement,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Appointment of Lee F. Jackson as Chancellor Emeritus, effective on January 1, 2018.
2. Naming of 1901 Main Street, Dallas, Texas, as the "Lee F. Jackson Building."

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents