

## SECTION 013200

### CONSTRUCTION PROGRESS DOCUMENTATION

#### PART 1 - GENERAL

##### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

##### 1.2 SUMMARY

- A. Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:
  1. Contractor's Work Progress Schedule
  2. Daily construction reports
  3. Material location reports
  4. Field condition reports
  5. Special reports

##### 1.3 DEFINITIONS

- A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and/or controlling the construction project. Activities included in a construction schedule that consume time and resources.
    1. Critical Activity: An activity on the critical path that must start and finish on the planned early start and finish times.
    2. Predecessor Activity: An activity that precedes another activity in the network.
    3. Successor Activity: An activity that follows another activity in the network.
  - 1.1 Baseline Schedule: The initial time schedule prepared by Contractor for Owner's information and acceptance that conveys Contractor's and Subcontractors' activities (including coordination and review activities required in the Contract Documents to be performed by Design Professional and Owner), durations, and sequence of work related to the entire Project to the extent required by the Contract Documents. The schedule clearly demonstrates the Longest Path of activities, durations, and necessary predecessor conditions that drive the end date of the schedule. The Baseline Schedule shall not exceed the time limit current under the Contract Documents.
  - 1.2 Longest Path: The sequence of directly related activities that comprise the longest continuous chain of activities from the start of the first activity to the finish of the last activity. The activities represent critical path plus float plus historical weather days. Each activity in the Longest Path is critical and directly related in that it prevents its successor from being scheduled earlier than it is.
  - B. Event: The starting or ending point of an activity.
  - C. Work Progress Schedule: The continually updated time schedule prepared and monitored by the Contractor that coordinates and integrates activities of the Project, including Contractor's services, Design Professional's services, the work of other consultants, suppliers, and Owner's activities with the anticipated construction schedules for other contractors. The WPS accurately indicates all necessary and appropriate revisions including a longest path impact analysis, as required by the conditions of the Work and the Project while maintaining a concise comparison to the Baseline Schedule.
  - D. Float: The period of time a task can be delayed without delaying Substantial Completion date.
- ##### 1.4 INFORMATIONAL SUBMITTALS
- A. Format for Submittals: Submit required submittals in the following format:
    1. PDF electronic file.
  - B. Contractor's Baseline Schedule: Initial Baseline Schedule due with Guaranteed Maximum Price in a Construction Manager-At-Risk and with the Proposal Response in a CSP, of size required to display entire schedule for entire construction period. The Baseline Schedule shall become the comparison to the actual conditions throughout the Contract duration and become part of the Contractor's Work Progress Schedule.
    1. Submit a working electronic copy of schedule, using software indicated, and labeled to comply with requirements for submittals. Include type of schedule (baseline or updated) and date on label.
  - C. WPS Reports: Concurrent with WPS schedule, submit each of the following reports. Format for each activity in reports shall contain activity number, activity description, original duration, and remaining duration in calendar days.

1. Activity Report: List of all activities sorted by activity number and then early start date, or actual start date if known.
  2. Logic Report: List of preceding and succeeding activities for all activities, sorted in ascending order by activity.
  3. Earnings Report: Compilation of Contractor's total earnings from commencement of the Work until most recent Application for Payment.
- D. Material Location Reports: Submit at prior to application for payment
- E. Field Condition Reports: Submit at time of discovery of differing conditions
- F. Special Reports: Submit at time of unusual event
- 1.5 QUALITY ASSURANCE
- A. Scheduling Consultant Qualifications: An experienced specialist in WPS scheduling and reporting, with capability of producing WPS reports and diagrams within twenty-four (24) hours of Design Professional's request.
- 1.6 COORDINATION
- A. Coordinate preparation and processing of schedules and reports with performance of construction activities and with scheduling and reporting of separate contractors.
- B. Coordinate Contractor's construction schedule with the schedule of values, submittal schedule, progress reports, payment requests, and other required schedules and reports.
1. Secure time commitments for performing critical elements of the Work from entities involved.
  2. Coordinate each construction activity in the network with other activities and schedule them in proper sequence.

## **PART 2 - PRODUCTS**

- 2.1 CONTRACTOR'S WORK PROGRESS SCHEDULE, GENERAL
- A. Time Frame: Extend schedule from date established for commencement of the Work to date of Final Completion.
1. Contract completion date shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by Change Order.
- B. Activities: Treat each story or separate area as a separate numbered activity for each principal element of the Work. Comply with the following:
1. Procurement Activities: Include procurement process activities for long lead items (as identified by Contractor) and major items, requiring a cycle of more than sixty (60) days, as separate activities in schedule. Procurement cycle activities include, but are not limited to, submittals, approvals, purchasing, fabrication, and delivery.
  2. Submittal Review Time: Include review and resubmittal times indicated in Division 01 Section 013300, "Submittal Procedures" in schedule. Coordinate submittal review times in Contractor's construction schedule with submittal schedule.
  3. Startup and Testing Time: Include not less than fifteen (15) days for startup and testing.
  4. Substantial Completion: Indicate completion in advance of date established for Substantial Completion, and allow time for Design Professional's administrative procedures necessary for certification of Substantial Completion.
  5. Punch List and Final Completion: Include not more than thirty (30) days for punch list and final completion.
- C. Constraints: Include constraints and work restrictions indicated in the Contract Documents and as follows in schedule, and show how the sequence of the Work is affected.
1. Phasing: Arrange list of activities on schedule by phase.
  2. Work under More Than One Contract: Include a separate activity for each contract.
  3. Work by Owner: Include a separate activity for each portion of the Work performed by Owner.
  4. Products Ordered in Advance: Include a separate activity for each product. Include delivery date indicated in Division 01 Section 011000, "Summary". Delivery dates indicated stipulate the earliest possible delivery date.
  5. Owner-Furnished Products: Include a separate activity for each product. Include delivery date indicated in Division 01 Section 011000, "Summary". Delivery dates indicated stipulate the earliest possible delivery date.
  6. Work Restrictions: Show the effect of the following items on the schedule:
    - a. Coordination with existing conditions
    - b. Limitations of continued occupancies
    - c. Uninterruptible services
    - d. Partial occupancy before Substantial Completion
    - e. Use of premises restrictions

- f. Lead time for future construction
    - g. Seasonal variations
    - h. Environmental control
  - 7. Work Stages: Indicate important stages of construction for each major portion of the Work, including, but not limited to, the following:
    - a. Subcontract awards
    - b. Submittals
    - c. Purchases
    - d. Mockups
    - e. Fabrication
    - f. Sample testing
    - g. Deliveries
    - h. Installation
    - i. Tests and inspections
    - j. Adjusting
    - k. Curing
    - l. Startup and placement into final use and operation
  - 8. Construction Areas: Identify each major area of construction for each major portion of the Work. Indicate where each construction activity within a major area must be sequenced or integrated with other construction activities to provide for the following:
    - a. Structural completion
    - b. Permanent space enclosure
    - c. Completion of mechanical installation
    - d. Completion of electrical installation
    - e. Substantial Completion
  - D. Milestones: Include milestones indicated in the Contract Documents in schedule, including, but not limited to, the Notice to Proceed, Substantial Completion, and Final Completion.
  - E. Cost Correlation: At the head of schedule, provide a cost correlation line, indicating planned and actual costs. On the line, show dollar volume of the Work performed as of dates used for preparation of payment requests.
    - 1. Refer to Division 01 Section 012900, "Payment Procedures" for cost reporting and payment procedures.
  - F. Upcoming Work Summary: Prepare summary report indicating activities scheduled to occur or commence prior to submittal of next schedule update. Summarize the following issues:
    - 1. Unresolved issues
    - 2. Unanswered RFIs
    - 3. Rejected or unreturned submittals
    - 4. Notations on returned submittals
  - G. Recovery Schedule: When periodic update indicates the Work is fourteen (14) or more calendar days behind the current approved schedule, submit a separate recovery schedule indicating means by which Contractor intends to regain compliance with the schedule. Indicate changes to working hours, working days, crew sizes, and equipment required for compliance, and date by which recovery will be accomplished.
  - H. Computer Scheduling Software: Prepare schedules using current version of a program that has been developed specifically to manage construction schedules. Confirm acceptability of software with Owner. Contractor is responsible for all costs associated with licensing and training of the software.
  - I. Schedule shall be updated with the weekly OAC meeting and must include current details for all activities.
- 2.2 CONTRACTOR'S WORK PROGRESS SCHEDULE (WPS SCHEDULE)
- A. General: Contractor shall submit for review and approval a Baseline Schedule that will indicate starting and completing dates of various aspects required to complete the work using the Longest Path. The Baseline Schedule shall become the comparison to the actual conditions throughout the contract and become a part of the Work Progress Schedule.
  - B. Contractor's Work Progress Schedule (WPS) shall coordinate and integrate the services and activities of Contractor, Design Professional and Owner, other consultants/suppliers, subcontractors and requirements of governmental entities. The WPS is due within twenty-one (21) days after the effective date of Notice to Proceed.
  - C. Contractor shall be responsible to:
    - 1. Conduct educational workshops to train and inform key Project personnel, including subcontractors' personnel and Owner's Representative, in proper methods of providing data and using WPS information.
    - 2. Establish procedures for monitoring and updating WPS and for reporting progress. Coordinate procedures with progress meeting and payment request dates.

3. Use "one workday" as the unit of time for individual activities. Indicate nonworking days and holidays incorporated into the schedule in order to correlate with Contract Time.
  - D. WPS Preparation: Prepare a list of all activities required to complete the Work.
    1. Activities: Indicate the estimated time duration, sequence requirements, and relationship of each activity in relation to other activities. Include estimated time frames for the following activities:
      - a. Preparation and processing of submittals
      - b. Mobilization and demobilization
      - c. Purchase of materials
      - d. Delivery
      - e. Fabrication
      - f. Utility interruptions
      - g. Installation
      - h. Work by Owner that may affect or be affected by Contractor's activities
      - i. Testing
      - j. Punch list and final completion
      - k. Activities occurring following final completion
    2. Critical Path Activities: Identify critical path activities, including those for interim completion dates. Scheduled start and completion dates shall be consistent with Contract milestone dates.
    3. Processing: Process data to produce output data on a computer drawn, time scaled network. Revise data, reorganize activity sequences, and reproduce as often as necessary to produce the WPS within the limitations of the Contract Time.
    4. Format: Mark the critical path. Locate the critical path near center of network; locate paths with most float near the edges.
      - a. Sub-networks on separate sheets are permissible for activities clearly off the critical path.
  - E. Contract Modifications: For each proposed contract modification and concurrent with its submission, prepare a time impact analysis to demonstrate the effect of the proposed change on the overall project schedule.
  - F. Initial Issue of Schedule: Prepare initial schedule from a sorted activity list indicating straight "early start ". Identify critical activities. Prepare tabulated reports showing the following:
    1. Contractor or subcontractor and the Work or activity
    2. Description of activity
    3. Principal events of activity
    4. Immediate preceding and succeeding activities
    5. Activity duration in workdays
  - G. Schedule Updating: Concurrent with making revisions to schedule, prepare tabulated reports showing the following:
    1. Identification of activities that have changed
    2. Changes in activity durations in workdays
    3. Changes in the critical path
    4. Changes in total float time
    5. Changes in the Contract Time
    6. Show relationship between activities on initial and updated schedule.
- 2.3 REPORTS
- A. Daily Construction Reports: Prepare a daily construction report record the following information concerning events at Project site:
    1. List of subcontractors at Project site
    2. List of separate contractors at Project site
    3. Approximate count of personnel at Project site
    4. Equipment at Project site
    5. Material deliveries
    6. High and low temperatures and general weather conditions, including presence of rain or snow
    7. Accidents
    8. Meetings and significant decisions
    9. Unusual events (refer to special reports)
    10. Stoppages, delays, shortages, and losses
    11. Meter readings and similar recordings
    12. Emergency procedures
    13. Orders and requests of authorities having jurisdiction
    14. Change Orders received and implemented
    15. Construction Change Directives received and implemented
    16. Services connected and disconnected
    17. Equipment or system tests and startups

18. Partial completions and occupancies
  19. Substantial Completions authorized
  - B. Material Location Reports: Monthly prepare and submit a comprehensive list of materials delivered to and stored at Project site. Include with list a statement of progress on and delivery dates for materials or items of equipment fabricated or stored away from Project site.
  - C. Field Condition Reports: Immediately on discovery of a difference between field conditions and the Contract Documents prepare and submit, to the Design Professional, a detailed report. Submit with a Request for Information. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.
  - D. Executive Summary Reports: Provided monthly with Payment Applications. Provides highlight details, schedule summary, and other information pertinent to Owner, including, but not limited to the following:
    1. Table of contents, simple project schedule clearly indicating benchmark dates, a narrative stating the current status of construction, a list of construction concerns, a look at what is coming up, potential change order log, and progress photo's.
- 2.4 SPECIAL REPORTS
- A. General: Submit special reports directly to Owner within one (1) day of an occurrence. Distribute copies of report to parties affected by the occurrence.
  - B. Reporting Unusual Events: When an event of an unusual and significant nature occurs at Project site, whether or not related directly to the Work, prepare and submit a special report. List chain of events, persons participating, response by Contractor's personnel, evaluation of results or effects, and similar pertinent information. Advise Owner in advance when these events are known or predictable.

### **PART 3 - EXECUTION**

- 3.1 CONTRACTOR'S WORK PROGRESS SCHEDULE
- A. Scheduling Consultant: Engage a consultant to provide planning, evaluation, and reporting using WPS scheduling.
    1. In-House Option: Owner may waive the requirement to retain a consultant if Contractor employs skilled personnel with experience in WPS scheduling and reporting techniques. Submit qualifications.
    2. Meetings: Scheduling consultant shall attend all meetings related to Project progress, alleged delays, and time impact.
  - B. Contractor's WPS Updating: Update and submit the WPS with the OAC meeting minutes to reflect actual construction progress and activities.
    1. Revise schedule immediately after each meeting or other activity where revisions have been recognized or made. Issue updated schedule concurrently with the report of each such meeting.
    2. Include a report with updated schedule that indicates every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
    3. As the Work progresses, indicate final completion percentage for each activity.
  - C. Distribution: Distribute copies of approved schedule to Design Professional, Owner, commissioning agent, and other parties identified by Contractor with a need-to-know schedule responsibility.
    1. Post copies in Project meeting rooms and temporary field offices.
    2. When revisions are made, distribute updated schedules to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in performance of construction activities.

**END OF SECTION**